Practice guideline: Treatment for insomnia and disrupted sleep behavior in children and adolescents with autism spectrum disorder

- 5 Report of the Guideline Development, Dissemination, and Implementation Subcommittee of the
- 6 American Academy of Neurology

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8 Ashura Williams Buckley, MD,<sup>1</sup> Deborah Hirtz, MD,<sup>2</sup> Maryam Oskoui, MD,<sup>3</sup> Melissa J.

- 9 Armstrong, MD, MSc,<sup>4</sup> Anshu Batra, MD,<sup>5</sup> Carolyn Bridgemohan, MD,<sup>6</sup> Daniel L. Coury, MD,<sup>7</sup>
- Geraldine Dawson, PhD, <sup>8</sup> Diane Donley, MD, <sup>9</sup> Robert L. Findling, MD, MBA, <sup>10</sup> Thomas
- Gaughan, David Gloss, MD, MPH&TM, Gary Gronseth, MD, 2 Riley Kessler, Shannon
- Merillat, MLIS, <sup>13</sup> David Michelson, MD, <sup>14</sup> Judith Owens, MD, MPH, <sup>15</sup> Tamara Pringsheim,
- 13 MD,<sup>16</sup> Linmarie Sikich, MD, MA,<sup>8</sup> Aubyn Stahmer, PhD,<sup>17</sup> Audrey Thurm, PhD,<sup>1</sup> Roberto
- 14 Tuchman, MD,<sup>18</sup> Zachary Warren, PhD,<sup>19</sup> Amy Wetherby, PhD,<sup>20</sup> Max Wiznitzer, MD,<sup>21</sup>
- 15 Stephen Ashwal, MD<sup>14</sup>
- 1. Pediatrics and Developmental Neuroscience Branch, National Institute of Mental Health,
- NIH, Bethesda, MD
- 19 2. Department of Neurological Sciences, University of Vermont Medical Center, Burlington
- 3. Department of Pediatric Neurology, McGill University Health Centre, Montréal, Québec,
- 21 Canada
- 4. Department of Neurology, University of Florida College of Medicine, Gainesville
- 5. Developmental Pediatrics, Our Special Kids Pediatric Care, Los Angeles, CA
- 6. Division of Developmental Medicine, Boston Children's Hospital, Boston, MA

- 7. Departments of Pediatrics and Psychiatry, The Ohio State University College of
- 2 Medicine, Columbus
- 8. Duke Center for Autism and Brain Development, Duke Institute for Brain Sciences, and
- 4 Department of Psychiatry and Behavioral Sciences, Duke University School of Medicine,
- 5 Durham, NC
- 6 9. Northern Michigan Neurology, Traverse City
- 7 10. Department of Child and Behavioral Sciences, Johns Hopkins University, Baltimore
- 8 11. Department of Neurology, Charleston Area Medical Center, WV
- 9 12. Department of Neurology, Kansas University Medical Center, Kansas City
- 10 13. American Academy of Neurology, Minneapolis, MN
- 11 14. Division of Pediatric Neurology, Department of Pediatrics, Loma Linda University
- 12 School of Medicine, CA
- 13 15. Center for Pediatric Sleep Disorders, Boston Children's Hospital, MA
- 14 16. Department of Clinical Neurosciences, University of Calgary, Alberta, Canada
- 17. Department of Psychiatry and Behavioral Science and MIND Institute, University of
- 16 California, Davis
- 17 18. Division of Neurology, Nicklaus Children's Hospital, and Miami Children's Hospital, FL
- 19. Treatment and Research Institute for Autism Spectrum Disorders, Vanderbilt Kennedy
- 19 Center, Nashville, TN
- 20. Autism Institute, College of Medicine, Florida State University, Tallahassee
- 21. Division of Neurology, Rainbow Babies & Children's Hospital, Cleveland, OH

1	
2	Address correspondence and reprint requests to
3	American Academy of Neurology:
4	guidelines@aan.com
5	
6	Approved by the Guideline Development, Dissemination, and Implementation Subcommittee on
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9	
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20	AAN for expenses related to travel to subcommittee meetings where drafts of manuscripts were
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22	
23	DISCLOSURE

2 A. Buckley has nothing to disclose.

3

- 4 D. Hirtz is on the executive Boards of the Pediatric Epilepsy Research Foundation, the Cerebral
- 5 Palsy Research Network, The CHILD-BRITE network, (Canada), The North American
- 6 Antiepileptic Drug Pregnancy Registry and the TENDR group (Targeting Environmental
- 7 Neurodevelopmental Risks), and has received funding for travel to meetings from those
- 8 organizations. She is also on the Vermont State Concussion Task Force, The UVM Autism
- 9 Collaborative, and the Vermont Interagency Committee on Chemical Management, with no
- 10 funds received.

- M. Oskoui has received funding for travel to guideline subcommittee meetings from the AAN;
- serves as a paid evidence-based medicine methodologist for the AAN; has received research
- support from the government entities of Fonds de recherche Sante du Québec, Canada Institute
- of Health Research, McGill University Research Institute, the SickKids Foundation, Cerebral
- Palsy Alliance Foundation, and Kids Brain Health Network for research in cerebral palsy; serves
- on the data safety monitoring board for AveXis; has received financial compensation for
- 18 consulting work for Biogen and Roche; and has received research support as site principal
- 19 investigator for Ionis, Biogen, Roche, and Cytokinetics for clinical trials in spinal muscular
- atrophy.
- 21 M. Armstrong serves on the Level of Evidence editorial board for *Neurology* (not compensated
- financially), has received funding for travel to guideline subcommittee meetings from the AAN,
- and serves as a paid evidence-based medicine methodologist for the AAN.

1 A. Batra has nothing to disclose.

11

- 2 C. Bridgemohan has received funding for travel to committee meetings from the American
- 3 Academy of Pediatrics (AAP) and to the American Board of Pediatrics (ABP) sub-board in
- 4 Developmental-Behavioral Pediatrics, has received continuing medical education (CME)
- 5 honoraria from the AAP National Conference and Exhibition and the American Associate of
- 6 Pediatric Dentistry Pediatric Medicine Update; has received honoraria from the ABP; has
- 7 received royalties as an author and Developmental Behavioral Pediatrics section editor for
- 8 UpToDate; has received research support from Simons Foundation Autism Research Initiative;
- 9 and has received government funding through the Health Resources & Services Administration
- 10 (HRSA) Maternal and Child Health Training Developmental Behavioral Pediatrics Grant.
- D. Coury serves on an advisory board for Cognoa; receives research grant support from Neurim
- 13 Pharmaceuticals and Stalicla SA; serves on a data safety monitoring committee for AMO
- 14 Pharma; serves as co-investigator for the National Center for Complementary and Integrative
- 15 Health (NCCIH) study Fatty Acid Supplementation in Children with ASD (Omega Heroes;
- 16 ClinicalTrials.gov identifier NCT03550209), examining an omega-3 supplement to treat autism
- spectrum disorder (ASD); serves on an advisory board for Quadrant Biosciences; and receives
- research grant support from Stemina Biomarker Discovery.
- 20 G. Dawson is on the Scientific Advisory Boards of Janssen Research and Development, Akili, Inc.,
- 21 LabCorp, Inc., and Roche Pharmaceutical Company, a consultant for Apple, Inc., Gerson Lehrman Group,
- 22 Guidepoint, Inc. and Axial Ventures, has received grant funding from Janssen Research and
- Development, and is CEO of DASIO, LLC. Dawson has developed technology that has been licensed and

- 1 Dawson and Duke University have benefited financially. Dawson receives royalties from Guilford Press,
- 2 Springer, and Oxford University Press.
- 3 D. Donley has received funding for travel to guideline subcommittee meetings from the AAN,
- 4 reviews child neurology cases for Physicians Review Organization of Michigan (PROM), an
- 5 independent company related to the Michigan State Medical Society that performs independent
- 6 reviews of hospitalizations at various Michigan facilities, and her husband, Bradley Evans, MD,
- 7 reviews adult neurology PROM cases. Dr. Donley reads pediatric EEGs, and her husband reads
- 8 adult EEGs, that are performed at Munson Medical Center. Dr. Donley's husband is principal
- 9 investigator at the Northern Michigan Neurology site for multicenter clinical drug trials, phases
- 10 2–4, for multiple sclerosis (MS), Alzheimer disease, and Parkinson disease, and she serves as
- blinded rater for these trials, 2 of which were MS trials that Sanofi has sponsored and for which
- 12 patients were compensated for travel mileage.

- R. Findling receives or has received research support from Aevi, Akili, Albcobra, Allergan,
- 15 Forest, Lundbeck, the NIH, Neurim, PCORI, Pfizer, Roche, Shire, Sunovion, Supernus
- 16 Pharmaceuticals, Syneurx, and Validus; has served as a consultant for Acadia, Aevi, Akili,
- 17 Alcobra, Allergan, Amerex, Arbor, Bracket, ePharmaSolutions, Genentech, Ironshore,
- 18 KemPharm, Luminopia, Lundbeck, Merck, the NIH, Neurim, Noven, Nuvelution, Otsuka,
- 19 Physicians Postgraduate Press, Receptor Life Sciences, Shire, Sunovion, Supernus
- 20 Pharmaceuticals, Teva, Touchpoint, Tris, and Validus; has served on speakers bureaus for the
- 21 American Academy of Child and Adolescent Psychiatry and Daiichi-Sankyo; and has received
- 22 Royalties from the American Psychiatric Press and Sage.
- T. Gaughan has nothing to disclose.

- 1 D. Gloss has received funding for travel to guideline subcommittee meetings from the AAN; has
- 2 served as a paid evidence-based medicine consultant for the AAN; and has served as an associate
- 3 editor (risk of bias classification) for *Neurology*.
- 4 G. Gronseth has received funding for travel to guideline subcommittee meetings from the AAN;
- 5 has served as a paid evidence-based medicine consultant for the AAN; serves as an associate
- 6 editor of *Neurology* and of *Brain & Life*; and has received honoraria for presentations at the
- 7 AAN Annual Meeting.

- 8 R. Kessler has nothing to disclose.
- 9 S. Merillat has nothing to disclose.
- 10 D. Michelson has nothing to disclose.
- J. Owens serves as a consultant for Jazz Pharmaceuticals, TouchPoint, and Sleep Number;
- 12 receives royalties from Wolters Kluwer and WebMD; has received funding for travel for
- presentations at meetings; receives compensation as Editor-in-Chief of *Behavioral Sleep*
- 14 *Medicine*; and serves on the editorial boards of *Sleep Medicine Reviews* and the *Sleep Health*.
- 16 T. Pringsheim has received funding for travel to guideline subcommittee meetings from the AAN
- and has served as a paid evidence-based medicine methodologist for the AAN.
- 19 L. Sikich has served on the advisory board (unpaid) for Neuren Pharmaceuticals, has participated
- 20 in industry-funded trials as a site principal investigator or co-investigator for Otsuka / Bristol-
- 21 Myers Squibb, Sunovion, Roche, Janssen, and Curemark; has served as Duke clinical research
- staff on trials conducted with KemPharm and Akili; has received research funding from the NIH
- as a principal investigator or project lead for studies examining treatment of comorbid ASD and

1 attention-deficit/hyperactivity disorder, long-term safety of antipsychotic use, biomarkers in

2 ASD, oxytocin in ASD, and treatment of antipsychotic medication—associated weight gain in

3 children; and receives publishing royalties for *Pediatric Psychopharmacology*, 2nd edition. A.

Stahmer serves as an editor for Autism: International Journal of Research and Practice and on

the editorial board for the *Journal of Early Intervention*; has received funding for travel to

scientific meetings and research support from the NIH National Institute of Mental Health

7 (NIMH), HRSA, and Institute of Education Sciences (IES); has received speaking honoraria; has

received publishing royalties from Guilford Press; has received honoraria from Autism Speaks

and NIMH for grant reviews; received payment to conduct training in behavioral interventions

for ASD; dedicates 10% of clinical efforts to behavioral parent training; and has received

research support from the NIMH, HRSA, and the IES.

12 A. Stahmer serves as an editor for Autism: International Journal of Research and Practice and

on the editorial board for the *Journal of Early Intervention*; has received funding for travel to

scientific meetings and research support from the NIMH, HRSA, and Institute of Education

Sciences (IES); has received speaking honoraria; has received publishing royalties from Guilford

Press; has received honoraria from Autism Speaks and NIMH for grant reviews; received

payment to conduct training in behavioral interventions for ASD; dedicates 10% of clinical

efforts to behavioral parent training; and has received research support from the NIMH, HRSA,

and the IES.

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A. Thurm serves on the editorial boards of the Journal of Autism and Developmental Disorder

and Journal of Developmental and Behavioral Pediatrics.

1 R. Tuchman serves on the Autism Speaks Scientific Advisory Committee and expends 10% of

his clinical efforts performing EEG and video EEG.

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4 Z. Warren serves on the editorial board for Autism: International Journal of Research and

5 Practice and Journal of Autism and Developmental Disorders; has been compensated for service

on scientific advisory and data safety and monitoring boards for Roche pharmaceutical; received

research support from Cognoa, SynapDx, and Stemina; expends 20% of his clinical efforts

performing diagnostic assessments for children at risk for ASD; receives payment from the

Adaptive Technology Consulting as an external consultant on an NIH NIMH Small Business

Innovation Research grant; and has received grant support from the Agency for Healthcare

Research and Quality, Autism Speaks, the Centers for Disease Control and Prevention,

12 Department of Defense, HRSA, Maternal and Child Health Bureau, NIMH, the National Institute

of Child Health and Development, National Science Foundation, and Simons Foundation.

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A. Wetherby serves on the editorial advisory board for the *Journal of Autism and Developmental* 

Disorders; performs grant review for the NIH; receives publishing royalties from Brookes

17 Publishing Company for Communication and Symbolic Behavior Scales and the SCERTS Model:

A Comprehensive Educational Approach for Children with Autism Spectrum Disorders; and

received financial support from the NIH NIMH, NIH NICHD, NIH National Institute on

20 Deafness and Communication Disorders, the Centers for Disease Control and Prevention, and the

21 US Department of Education.

M. Wiznitzer serves on the editorial boards of Lancet Neurology and the Journal of Child Neurology; received honoraria as a speaker for AAN and AAP meetings; has given expert testimony for medical malpractice proceedings and the Vaccine Injury Compensation Program— Expert Witness for the US Department of Health and Human Services (written opinions and hearing testimony); and prepared an affidavit for a medical malpractice case. S. Ashwal has received funding for travel to guideline subcommittee meetings from the AAN; serves on the medical advisory board of the Tuberous Sclerosis Association; receives publishing royalties as coeditor of *Pediatric Neurology: Principles and Practice*, 6th edition; serves on the executive board of the Pediatric Epilepsy Research Foundation; and is a paid staff member at Loma Linda University School of Medicine, Department of Pediatrics. 

### GLOSSARY

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- 3 ABC: Aberrant Behavior Checklist
- 4 ASD: autism spectrum disorder
- 5 ADHD: attention-deficit/hyperactivity disorder
- 6 AEs: adverse events
- 7 BR: bedtime resistance
- 8 CAM: complementary and alternative medicine
- 9 CBT: cognitive behavioral therapy
- 10 CSHQ: Children's Sleep Habit Questionnaire
- 11 CSHQ-BR: Children's Sleep Habit Questionnaire-Bed Resistance
- 12 CSHQ-SOD: Children's Sleep Habit Questionnaire-Sleep Onset Delay
- 13 DBC: Developmental Behavior Checklist
- 14 FDA: Food and Drug Administration
- 15 GERD: gastroesophageal reflux disease
- 16 OSA: obstructive sleep apnea
- 17 OTC: over the counter
- 18 RMD: raw mean difference
- 19 SE: sleep efficiency
- 20 SOL: sleep onset latency
- 21 STS: Sound-to-Sleep
- TST: time to sleep
- 23 WASO: wake after sleep onset

#### ABSTRACT

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- 2 **Objective:** To review pharmacologic and nonpharmacologic strategies for treating sleep
- disturbances in children and adolescents with autistic spectrum disorder (ASD) and to develop
- 4 recommendations for addressing sleep disturbance in this population.
- 5 **Methods:** The guideline panel followed the American Academy of Neurology 2011 guideline
- 6 development process, as amended. The systematic review included studies through December
- 7 2017. Recommendations were based on evidence, related evidence, principles of care, and
- 8 inferences.

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Major recommendations (Level B): For children and adolescents with ASD and sleep disturbance, clinicians should assess for medications and coexisting conditions that could contribute to the sleep disturbance and should address identified issues. Clinicians should counsel parents regarding strategies for improved sleep habits with behavioral strategies as a first-line treatment approach for sleep disturbance either alone or in combination with pharmacologic or nutraceutical approaches. Clinicians should offer melatonin if behavioral strategies have not been helpful and contributing coexisting conditions and use of concomitant medications have been addressed, starting with a low dose. Clinicians should recommend using pharmaceutical-grade melatonin if available. Clinicians should counsel children, adolescents, and parents regarding potential adverse effects of melatonin use and the lack of long-term safety data. Clinicians should counsel that there is currently no evidence to support the routine use of weighted blankets or specialized mattress technology for improving disrupted sleep. If asked about weighted blankets, clinicians should counsel that the trial reported no serious adverse events with blanket use and that blankets could be a reasonable nonpharmacologic approach for some individuals.

### INTRODUCTION

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2 Autism spectrum disorders (ASD) are complex neurodevelopmental disorders characterized by social interaction/communication challenges and restrictive, stereotyped behavior patterns. Sleep 3 4 disturbances in ASD are common, including difficulties initiating and maintaining sleep, 5 frequent and prolonged night awakenings, irregular sleep—wake patterns, short sleep duration, 6 and early-morning waking. Between 44% and 83% of children and adolescents with ASD report 7 coexisting sleep abnormalities, adversely affecting daily functioning.<sup>2</sup> Although up to 40% of 8 typically developing children and adolescents have sleep problems, these often lessen with age. In children and adolescents with ASD, sleep problems often persist.<sup>3</sup> Sleep disturbance severity 9 is associated with poor physical health and quality of life. Poor sleep quality and insufficient 10 11 nighttime sleep can exacerbate core and associated ASD features, contributing to negative effects 12 on mood and emotional regulation, behavior, and cognitive functioning. Children and 13 adolescents with intellectual disabilities and severe symptoms associated with ASD are at especially high risk for sleep problems.<sup>5-7</sup> Sleep disturbances are associated with communication 14 deficits and restrictive and repetitive behaviors in ASD.<sup>8,9</sup> Sleep disorders negatively affect sleep 15 and quality of life of affected individuals and their families. <sup>10</sup> Disordered sleep is also associated 16 with daytime behavioral disturbances, 11-13 increased injury risk, 14, 15 obesity, 16 and poor academic 17 performance<sup>17-19</sup> in general pediatric populations. 18 19 20 Contributors to circadian rhythm misalignment potentially include dysregulated melatonin synthesis or altered melatonin secretion patterns, circadian clock gene anomalies, <sup>20</sup> and 21 22 decreased awareness of social and environmental clues that help habituate sleep—wake cycles. 23 Abnormalities in GABAergic, glutamatergic, serotonergic, and dopaminergic systems in ASD

1 are also possible contributors. Coexisting conditions such as epilepsy, nocturnal

2 gastroesophageal reflux disorder (GERD), anxiety, depression, bipolar disorder, psychosis, and

attention-deficit/hyperactivity disorder (ADHD) can further contribute to sleep problems. Core

or co-occurring ASD symptoms such as intellectual disability, sensory integration deficits,

ritualistic or self-injurious behaviors, poor communication skills, and limited responsiveness to

social cues can interfere with sleep training and exacerbate or prolong sleep problems.

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8 Children and adolescents with ASD and sleep disturbances often receive combined medication,

behavioral, and complementary and alternative medicine (CAM) treatments. Exogenous

melatonin is a synthetic form of endogenous melatonin, a hormone that is the primary biomarker

for circadian sleep regulation. Melatonin has chronobiologic (circadian) functions and hypnotic

effects. Over-the-counter (OTC) preparations are considered supplements and not subject to US

Food and Drug Administration (FDA) purity regulations. Pharmaceutical grade preparations are

prescribed for exact dosing. Behavioral therapies for children aged ≤5 years include unmodified,

graduated extinction; positive routines; and bedtime fading.<sup>21</sup> Older children and adolescents

may respond to cognitive behavioral therapy (CBT) adapted from adult paradigms. <sup>22, 23</sup> These

interventions are short-term, multicomponent, goal-oriented psychotherapeutic treatments aiming

to modify thinking patterns and behaviors that perpetuate insomnia (e.g., irregular sleep—wake

schedules, poor sleep hygiene, and maladaptive habits).

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This guideline addresses the following question:

- 1 In children and adolescents with ASD, which pharmacologic, behavioral, and CAM interventions
- 2 improve (1) bedtime resistance (BR), (2) sleep onset latency (SOL), (3) sleep continuity, (4) total
- 3 sleep time (TST), and (5) daytime behavior?

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### DESCRIPTION OF THE ANALYTIC PROCESS

- 6 This guideline follows the 2011 American Academy of Neurology (AAN) guideline
- development process manual, as amended.<sup>24</sup> The AAN Guideline Development, Dissemination,
- 8 and Implementation (GDDI) Subcommittee approved initiation of autism treatment guidelines in
- 9 2012 (appendices e-1 and e-2). Panel leadership reviewed conflict of interest (COI) forms and
- 10 curriculum vitae of potential panel members, according to AAN COI policy.<sup>24</sup> The GDDI
- approved formation of a multidisciplinary panel including child neurologists, psychiatrists,
- 12 neuropsychologists, and developmental pediatricians. Evidence-based medicine methodologists
- supported the project. Six of the 26 authors had COI which were not significant enough to
- preclude participation. Restrictions on their roles reflect AAN policy.<sup>24</sup> The lead author had no
- 15 COI.

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- 17 Studies used various strategies for defining ASD, particularly because some were conducted
- before the *Diagnostic and Statistical Manual of Mental Disorders*, Fifth Edition, <sup>25</sup> publication.
- 19 This guideline uses the most recently established and inclusive term, ASD. Readers should
- 20 consult source publications for details regarding studies' diagnostic approaches.

- The initial plan was to use previously published systematic reviews (SRs). However, identified
- 23 reviews contained insufficient information for assessing the level of evidence of individual

- studies. The guideline panel thus rated studies included in each SR using standard AAN
- 2 methodology. Panelists evaluated 900 articles from SRs for inclusion. A medical research
- 3 librarian performed updated literature searches using a comprehensive search strategy
- 4 (6/24/2016, 12/21/2017; appendix e-3). Of 1,087 additional abstracts, 139 were potentially
- 5 relevant. Twelve articles met criteria for data extraction. Eight were rated Class III or higher and
- 6 were included in the review (figure e-1 [available upon request]). Classification of evidence,
- 7 evidence synthesis, and recommendation development followed AAN methodology.<sup>24</sup> The panel
- 8 based practice recommendations on the evidence strength, axiomatic principles, strong related
- 9 evidence, and inferences. Level of obligation was assigned through modified Delphi voting.<sup>24</sup>
- 11 There are no established clinically important differences for study outcomes. Panelists were
- surveyed to achieve consensus regarding clinically important and unimportant differences (e.g.,
- for actigraphy) (table e-1). Three questionnaires were used in included studies: the Children's
- 14 Sleep Habits Questionnaire (CSHQ; 45 items, each graded 1–3), 26 the Developmental Behavior
- 15 Checklist (DBC; 96 items, each graded 0–2),<sup>27</sup> and the Aberrant Behavior Checklist (ABC; 58
- items, each graded 0–3).<sup>28</sup> Higher scores indicate greater symptom burden. A change of < 1%
- was considered unimportant, and a change of > 10% was considered important when assessing
- 18 questionnaire scores.

### ANALYSIS OF EVIDENCE

- 21 All trials occurred in the United States or Europe and included children and adolescents with
- ASD and aged  $\leq$ 18 years.

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### **Bedtime resistance**

- 2 Bedtime resistance is a behavioral phenomenon manifesting as refusing to go to bed, stalling, or
- 3 requiring a parent's presence at sleep onset. One Class II study examined the use of melatonin
- 4 and family-based CBT.<sup>29</sup> No other studies were identified.

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### Melatonin and CBT

- 7 The Class II study was placebo controlled and had 4 primary outcomes.<sup>29</sup> Children (4–10 years
- 8 old) with ASD and sleep onset insomnia or maintenance insomnia or both were randomized to
- 9 one of 4 arms: 3 mg of prolonged-release melatonin, taken at 9 PM (n=34); four weekly 50-
- minute sessions of family-based CBT followed by twice-monthly maintenance sessions (n=33);
- melatonin plus CBT (n=35); or placebo (n=32).<sup>30</sup> The high-purity melatonin (99.9%) released 1
- mg immediately and 2 mg over 6 hours. Bedtime resistance was measured with the CSHQ-
- Bedtime Resistance (CSHQ-BR) subscale (6–18 points). Baseline and 12-week scores were
- reported, but information was insufficient to calculate mean change differences between groups
- with confidence intervals (CIs). Bedtime resistance scores were lower for children in each active
- treatment group vs placebo (raw mean difference [RMD] in 12-week scores vs placebo:
- 17 combination therapy -5.64 [95% CI -6.45 to -4.83]; melatonin -3.60 [95% CI -4.60 to -2.60];
- 18 CBT -2.48 [95% CI -3.49 to -1.47]). Melatonin was well-tolerated. No adverse events (AEs)
- were reported.

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### Sleep onset latency

- 1 Sleep onset latency refers to the amount of time from lights turned off until the onset of any sleep
- 2 stage.

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### Melatonin and CBT

- 5 One Class I study and 2 Class II studies were identified. In the Class I study, 125 children (2–
- 6 17.5 years old) with ASD, sleep problems for  $\geq$ 3 months, and no response to 4 weeks of
- 7 behavioral therapy were randomized to prolonged-release melatonin 2–5 mg/d (titration up to 10
- 8 mg/d) or placebo after a 2-week, single-blind placebo run-in. 31 At 13 weeks, children receiving
- 9 melatonin had a larger mean decrease in diary-reported SOL compared with those receiving
- 10 placebo (-25.3 min, 95% CI -44.7 to -5.9).

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- 12 In the previously described Class II study, <sup>29</sup> SOL was measured by actigraphy and the CSHQ-
- 13 Sleep Onset Delay (CSHQ-SOD) subscale (1–3 points). <sup>26</sup> Children receiving prolonged-release
- melatonin with family-based CBT had the lowest SOL at 12 weeks vs placebo (RMD: actigraphy
- -45.91 min [95% CI -57.93 to -33.89]; CSHQ-SOD -1.24 [95% CI -1.50 to -0.98]). Prolonged-
- 16 release melatonin and CBT individually also resulted in lower 12-week SOL vs placebo
- 17 (melatonin: actigraphy -34.39 min [95% CI -47.91 to -20.88], CSHQ-SOD -0.83 [95% CI -1.07
- 18 to -0.59]; CBT: actigraphy -20.47 min [95% CI -34.98 to -5.96], CSHQ-SOD -0.42 [95% CI -
- 19 0.63 to -0.21]).

- A Class II (3 primary outcomes) crossover study using standard-release melatonin (up to 10
- 22 mg/d; modal dose 7 mg) for 12 weeks in children (3–16 years old) with ASD and sleeplessness

- 1 (N=17) measured SOL using sleep diaries. 32 Participants had excessive sleep latencies (>30 min)
- 2 and an unsuccessful behavioral management trial. The RMD for SOL reduction between weeks
- 3 receiving melatonin vs placebo was -46.7 min (95% CI -78.50 to -14.90). Melatonin was well
- 4 tolerated. No AEs were reported.

- 6 A random-effects meta-analysis was performed combining results from all 3 studies with the
- 7 assumptions that (1) prolonged-release and standard melatonin forms were substantively similar,
- 8 (2) SOL measurements from actigraphy vs diaries were similar, and (3) RMDs in 12-week SOL
- 9 scores<sup>29</sup> were similar to differences in mean change in SOL<sup>31,32</sup> given similar baseline SOL in
- melatonin and placebo groups. This meta-analysis resulted in an estimated mean reduction in
- SOL of -33.1 min (95% CI -43.5 to -22.6,  $I^2 = 0\%$ ) for children with ASD and sleep disturbance
- treated with melatonin.

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# Parent-based sleep education

- 15 Two Class II studies<sup>33, 34</sup> and one Class III study<sup>35</sup> used parental education about sleep schedules
- and hygiene. In one study (Class II for actigraphy outcomes), children (2–10 years old) with
- ASD and a mean SOL of  $\geq$ 30 minutes were randomized to have a parent receive a 4-page
- educational pamphlet (n=19) or nothing (n=17).<sup>33</sup> The pamphlet described providing a
- comfortable sleep setting, establishing regular bedtime habits, keeping a regular schedule,
- teaching one's child to fall asleep alone, avoiding naps, and encouraging daytime activities
- 21 promoting better sleep—wake schedules. There was no difference in SOL between children
- 22 whose parents received the pamphlet and those whose parents received no instruction (RMD in

- SOL at 2 weeks: -11.8 min, 95% CI -37.16 to 13.56; difference in mean change between baseline
- 2 and 2 weeks: -16.4 min, 95% CI -39.3 to 6.5).

- 4 A Class II study investigated the effect of parental sleep education for children (2–10 years old)
- 5 with ASD and SOL of ≥30 minutes at least 3 nights weekly.<sup>34</sup> This study was Class IV for the
- 6 full cohort (no comparison group) but Class II for comparing actigraphy outcomes after
- 7 individual vs group education. Children whose parents received individual training were not
- 8 more likely to have lower SOL at 4 weeks after intervention than those whose parents received
- 9 group training (RMD -0.2 min, 95% CI -9.79 to 9.39).

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- In a Class III placebo-controlled study (>20% lost for actigraphy outcomes, 4 primary outcomes,
- 12 no allocation concealment) in children with ASD and at least one sleep disturbance (average age
- 3.5 years), parents were randomized to receive either sleep-specific behavioral training (n=20) or
- non-sleep-related education (n=20). 35 Both arms received 5 sessions over 8 weeks. Sleep
- 15 changes were measured by actigraphy (n=27). Baseline, 4-, and 8-week scores were reported, but
- information was insufficient for calculating mean change differences between groups with CIs.
- Baseline SOL was shorter in the control group (29 min, SD 27) than the behavioral training
- group (35 min, SD 31). Children whose parents received sleep-focused education were not more
- 19 likely to have shorter SOL at 8 weeks than those whose parents received non–sleep-related
- 20 education (RMD 4.0 min, 95% CI -14.24 to 22.24).

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### Weighted blankets

- 1 A 2-week crossover trial in children (5–16 years old) with ASD was Class II for actigraphy
- 2 outcomes (74% of randomized participants included in analysis) and Class III for sleep diary
- 3 outcomes. 36 Children had a  $\geq$ 5-month history of sleep complaints in the absence of obstructive
- 4 sleep apnea (OSA), night terrors, or other sleep disorders (n=54–67, depending on arm). Sleep
- 5 onset latency was no shorter during weeks weighted blankets were used than during weeks
- 6 regular blankets were used (mean change difference: actigraphy 2.1 min, 95% CI -5.30 to 9.50;
- 7 sleep diary -1.6 min, 95% CI -6.61 to 3.41).

9

### Sound-to-Sleep Mattress Technology

- 10 One randomized crossover trial investigated the use of Sound-to-Sleep (STS) Mattress
- 11 Technology in 45 children (2.5–12.9 years old) with ASD and significant sleep difficulties
- 12 (CSHQ score  $\ge$ 41).<sup>37</sup> The STS mattress embeds vibrations corresponding to a chosen sound
- source into the mattress. The study was Class II for actigraphy and Class III for diary results.
- 14 There were no baseline actigraphy data. No difference was seen in 2-week SOL between the off
- 15 (18.2 min) or on (14.11 min) condition as measured by actigraphy in the 38 children completing
- 16 the study (RMD -4.09 min, 95% CI -11.2 to 3.0).

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### **Sleep continuity: sleep efficiency**

- 19 Sleep continuity is the amount of consolidated sleep attained over a sleep period. Continuity is
- 20 reported using sleep efficiency (SE), TST, wake after sleep onset (WASO), and number of
- 21 nighttime awakenings. Sleep efficiency is the percentage of time spent asleep while in bed
- 22 (including time in bed while falling asleep and time between waking and arising from bed).

### Melatonin and CBT

- 3 In a previously described Class II study, mean actigraphy SE scores at 12 weeks were higher in
- 4 children receiving prolonged-release melatonin plus family-based CBT (RMD 12.53%, 95% CI
- 5 10.40–14.66), prolonged-release melatonin (RMD 10.78%, 95% CI 8.69–12.87), and CBT
- 6 (RMD 7.65%, 95% CI 5.78–9.52) vs placebo.

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# Parent-based sleep education

- 9 In the Class II educational pamphlet study, children whose parents received the pamphlet had
- greater improvement in actigraphy-measured SE at 12 weeks compared with those whose parents
- received no instruction (mean change +2.3% vs -1.7%, difference in mean change 4.0%, 95% CI
- 12 0.18–7.82). However, the children did not have a statistically higher SE at 12 weeks (77.8%  $\pm$
- 13 7.0% vs 75.1%  $\pm$  6.7%; RMD 2.70%, 95% CI -1.78 to 7.18). The Class II study comparing
- individual with group parent sleep education found no difference in actigraphy-measured SE at 4
- weeks between children whose parents were in individual vs group sessions (78.7% vs 79.8%;
- RMD -1.10%, 95% CI -3.61 to 1.41).<sup>34</sup> In the Class III study comparing sleep-specific
- behavioral training with control parental education over 8 weeks, baseline SE was >80% in both
- 18 groups. Actigraphy-measured SE was similar between groups at 8 weeks (SE 85%  $\pm$  6% in
- children whose parents received sleep-specific training vs 86%  $\pm 10\%$  in children whose parents
- received non-sleep-based education, RMD -1.0%, 95% CI -7.17 to 5.17).<sup>35</sup>

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# Weighted blankets

- In a previously described Class II trial, SE was not different during weeks of weighted blanket
- 2 use than weeks of regular blanket use (RMD -0.3%, 95% CI, -1.41 to 0.81).<sup>36</sup>

- 4 STS mattress technology
- 5 In the STS mattress technology study (Class II for actigraphy), children had higher SE over 2
- 6 weeks of using the STS system turned on (78.27%) compared with 2 weeks with the technology
- 7 off (75.45%) (RMD 2.82%, 95% CI 1.14–4.50).<sup>37</sup>

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# Sleep continuity: night awakenings

- Wake after sleep onset describes the time individuals spend awake after sleep onset and before
- sleep offset. Night awakenings reference the number of complete awakenings occurring after
- 12 sleep initiation.

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### Melatonin and CBT

- 15 In a previously described Class I study, children receiving prolonged-release melatonin had no
- difference in the duration of wake time (-0.08 min, 95% CI -7.02 to 6.86) or number of
- awakenings (-0.09, 95% CI -0.35 to 0.16) at 13 weeks vs children receiving placebo.<sup>31</sup> The Class
- 18 II study including melatonin and family-based CBT used actigraphy to measure WASO and the
- 19 CSHQ-Night Wakings (CSHQ-NW) subscale to measure night awakenings.<sup>29</sup> Children in the
- combined therapy group had the biggest difference in WASO at 12 weeks vs placebo (RMD -
- 40.46, 95% CI -55.89 to -25.03). Children in the melatonin-only group also had lower WASO vs

- 1 the placebo group (RMD -27.94 min, 95% CI -44.55 to -11.33). No difference in WASO was
- 2 seen for CBT alone vs placebo (RMD -8.98 min, 95% CI, -26.78 to 8.82). On the CSHQ-NW
- 3 (range 3–9), children in all 3 treatment groups had lower 12-week scores than children in the
- 4 placebo group (RMD for combination group: -3.44, 95% CI -3.85 to -3.03; melatonin-only
- 5 group: -2.83, 95% CI -3.29 to -2.37; CBT-only group: -0.80, 95% CI -1.26 to -0.34). In the Class
- 6 II crossover study using melatonin 2–10 mg/d, there was no difference in the number of diary-
- 7 reported night awakenings after weeks participants received melatonin vs weeks they received
- 8 placebo (RMD -0.1, 95% CI -0.26 to -0.06).<sup>32</sup>

- Random-effects meta-analyses used the prior assumptions. There was no difference between
- melatonin and placebo for WASO (-12.95 min, 95% CI -40.17 to 14.28, I<sup>2</sup> 89%)<sup>29, 32, 36</sup> and
- number of awakenings (-0.097, 95% CI -2.33 to 0.038, I<sup>2</sup> 0%).<sup>32, 36</sup>

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### Parent-based sleep education

- 15 In the Class II educational pamphlet study, there was no difference in actigraphy-measured
- 16 WASO when parents received the pamphlet vs when parents did not (RMD in scores at 2 weeks:
- 17 0.5 min, 95% CI -17.96 to 18.96; difference in mean change: -8.2 min, 95% CI -21.30 to 4.90).<sup>33</sup>
- 18 In the Class II study comparing individual and group sleep education, there was no difference in
- 19 actigraphy-measured WASO when children whose parents received individual sessions vs group
- 20 sessions (RMD at 4 weeks: 1.00 min, 95% CI, -10.24 to 12.24; difference in mean change: -2.4
- 21 min, 95% CI -7.65 to 2.85).<sup>34</sup>

### Weighted blankets

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- 2 In the weighted-blanket crossover study (Class II for actigraphy, Class III for diary outcomes),
- 3 sleep discontinuity was measured 4 ways: (1) number of times that children awoke (actigraphy),
- 4 (2) actigraphy-measured WASO, (3) proportion of nights weekly that children awoke (sleep
- 5 diary), and (4) average WASO (sleep diary). <sup>36</sup> There was no difference in actigraphy-measured
- 6 WASO (RMD -2.5 min, 95% CI -9.49 to 4.49) or awakenings (RMD -0.2, 95% CI -1.05 to 0.65)
- between weeks of weighted blanket use vs weeks of control blanket use. Sleep diaries showed no
- 8 difference in the proportion of nights with at least one awakening (RMD -0.01, 95% CI -0.05 to
- 9 0.03) or average time awake (RMD 0.01 min, 95% CI -1.41 to 1.43) between conditions.

# 11 STS mattress technology

- 12 In the STS mattress technology crossover trial, WASO was not different when measured by
- actigraphy over 2 weeks (18.79 min with technology off, 17.85 min with technology on; RMD -
- 14 0.94 min, 95% CI -1.912 to 0.032) or sleep diary (off: 0.13 min, on: 0.12 min; RMD -0.01 min,
- 15 95% CI -0.043 to 0.023).<sup>37</sup>

### **Total sleep time**

- Total sleep time signifies sleep duration over 24 hours (including naps). Reduced TST relates to
- 19 prolonged SOL, night awakenings, and early-morning waking. Included studies compare TST
- 20 changes with treatment rather than referencing age-specific sleep duration recommendations.

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### Melatonin and CBT

- 1 In the Class I study, children receiving prolonged-release melatonin had a greater increase in
- diary-reported TST (baseline to 13 weeks; 32.43 min, 95% CI 2.48–62.38).<sup>31</sup> In the Class II
- 3 study using melatonin and family-based CBT, actigraphy-measured TST at 12 weeks was longer
- 4 in treatment groups compared with placebo (combined therapy group: RMD 88.78 min, 95% CI
- 5 70.30–107.26; melatonin-only group: RMD 64.87 min, 95% CI 46.10–83.64); CBT-only group:
- 6 28.90, 95% CI 6.53–51.27).<sup>29</sup> CSHQ-Sleep Duration (CSHQ-SD) subscale outcomes (score
- 7 range 3–9) at 12 weeks in the melatonin groups revealed the same pattern vs placebo (combined
- 8 therapy group: RMD -2.02, 95% CI -2.58 to -1.46; melatonin-only group: RMD -1.58, 95% CI -
- 9 2.13 to -1.03). There was no difference on the CSHQ-SD between the CBT-only and placebo
- groups (RMD 0.28, 95% CI -0.32 to 0.88). In the Class II crossover study, diary-based TST
- improved more during weeks children and adolescents received melatonin than weeks they
- received placebo (RMD 52.3 min, 95% CI 19.3–85.47).<sup>32</sup> A random-effects meta-analysis
- resulted in an estimated increased TST of 52.63 min (95% CI 33.10–72.16, I<sup>2</sup> 39%) for children
- with ASD and sleep disturbance treated with melatonin vs placebo.

### Parent-based sleep education

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- 17 Actigraphy-measured TST did not differ between children whose parents received the
- educational pamphlet vs no instruction (Class II study; RMD in TST at 2 weeks: 12.2 minutes,
- 19 95% CI -22.6 to 47.0; difference in mean change between baseline and 2 weeks: 7.9 minutes,
- 20 95% CI -18.03 to 33.8).<sup>33</sup> Change in actigraphy-measured TST also did not differ between
- 21 baseline and 4 weeks for children whose parents received individual vs group instruction (Class
- 22 II study; RMD at 4 weeks: -7.2 min, 95% CI -29.44 to 15.04; difference in mean change: -11.7
- 23 minutes, 95% CI -37.3 to 13.9). 34 In the Class III placebo-controlled study comparing sleep-

- specific behavioral training vs control education, actigraphy-measured TST at 8 weeks was not
- 2 significantly different between children whose parents attended the sleep education sessions and
- 3 the control group (RMD 26.0 minutes, 95% CI -31.33 to 83.33).<sup>35</sup>

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# Weighted blankets

- 6 In the weighted-blanket study (Class II for actigraphy, Class III for diary outcomes), there was
- 7 no difference in actigraphy-measured TST during weeks of weighted blanket use vs weeks of
- 8 regular blanket use (RMD weighted-control -4.2 minutes, 95% CI -13.40 to 5.00). <sup>36</sup> Diary-based
- 9 TST also did not differ (RMD weighted-control 15.9 minutes, 95% CI -6.37 to 38.17).

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# STS mattress technology

- 12 In the STS mattress technology trial (Class II for actigraphy, Class III for diary results),
- 13 actigraphy-measured TST was longer during the 2 weeks that the STS technology was on vs the
- 2 weeks it was off (on: 8.35 hours, off: 7.99 hours; RMD 0.36 hours, 95% CI 0.15–0.57).<sup>37</sup> There
- was no difference in diary-based TST (on: 9.78 hours, off: 9.66 hours; RMD 0.12 hours, 95% CI
- 16 -0.18 to 0.42).

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### **Daytime behavior**

### 19 *Melatonin*

- 20 In the Class II crossover study using melatonin 2–10 mg/d vs placebo, total DBC scores were
- 21 lower after weeks of melatonin use vs weeks of placebo use (RMD -6.0, 95% CI -12.0 to 0). The

- only statistically significant difference in subscale scores was for communication (RMD -1.6,
- 2 95% CI -3.16 to 0.04).<sup>32</sup>

### 4 Weighted blankets

- 5 In the Class II weighted-blanket trial, total ABC score did not differ between periods of weighted
- 6 blanket use vs periods of regular blanket use (-2.3, 95% CI -5.75 to 1.15). There were also no
- 7 differences on subscale scores.<sup>36</sup>

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### STS mattress technology

- 10 In the STS mattress technology crossover trial (Class III for questionnaire results), ABC scores
- did not differ at the end of the 2-week off-technology and on-technology periods (RMD -6.8,
- 12 95% CI -14.8 to 1.3).<sup>37</sup>

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### **CONCLUSIONS (EVIDENCE SYNTHESIS)**

- Various forms of melatonin with or without CBT improve multiple sleep outcomes compared
- with placebo (table e-2 and table e-3). Evidence for other interventions is largely lacking. It is
- possible that a parental educational pamphlet, individual vs group parental sleep education,
- weighted blankets, and STS mattress technology have no benefits for sleep outcomes (outcomes
- vary slightly by intervention; table e-4). Evidence is insufficient to determine the effect of
- 21 parental sleep-specific behavioral training based on the basis of one Class III study.<sup>35</sup> Evidence

1 profile tables (appendix e-4) and evidence synthesis tables (appendix e-5) are available from the

2 AAN, by request.

3

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### PUTTING THE EVIDENCE INTO CLINICAL CONTEXT

augment and outlast short-term pharmacologic interventions.

5 Treatment of sleep disorders in ASD is an important goal, as sleep disruption is associated with behavioral problems, daytime sleepiness, and poorer health.<sup>38</sup> Individuals with ASD are at risk 6 7 for primary sleep disorders, including OSA, restless legs syndrome, and periodic limb movement disorder (not reviewed).<sup>2,39</sup> They are also at risk for sleep disorders secondary to coexisting 8 9 conditions (e.g., epilepsy, GERD, anxiety, depression, bipolar disorder, psychosis, or ADHD), 10 and are more likely to use medications that disrupt normal sleep patterns (e.g., antiseizure 11 medicines, psychotropic medications). A practice pathway for identifying, evaluating, and 12 managing insomnia in children and adolescents with ASD emphasized the importance of identifying and treating coexisting conditions. 40 Learned maladaptive sleep patterns, including 13 14 lack of parental boundaries regarding sleep, may be harder to correct in children and adolescents with ASD than in typically developing peers. For this reason, behavioral strategies might 15

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This review reveals a dearth of evidence-based treatments for sleep dysregulation in ASD. No identified studies examined pharmacologic approaches (e.g., antidepressants, alpha adrenergic agonists, benzodiazepines, antiseizure medicines, or antipsychotics), and the identified literature could not inform what population might be most likely to respond to treatment (e.g., based on age, comorbid symptoms). The best studies examined pharmacologic treatment with melatonin and used study-specific formulations to overcome limitations of unknown purity in OTC

- 1 formulations. No medications for insomnia are FDA approved for pediatric use. Melatonin is the
- 2 most commonly dispensed hypnotic drug in children. 41 However, melatonin concentrations in
- 3 OTC formulations differ, and some formulations are contaminated with other products (e.g.,
- 4 serotonin). 42, 43 In 2014, the European Consensus Conference published consensus guidelines
- 5 acknowledging that pediatric melatonin safety/tolerability trials are limited but there is no
- 6 evidence that short-term melatonin use has serious AEs. 44 The most frequently reported AEs are
- 7 morning drowsiness, increased enuresis, headache, dizziness, diarrhea, rash, and hypothermia. 45-
- 8 47 Given that many children with ASD use melatonin for months/years, the lack of long-term
- 9 safety data is concerning. Melatonin affects the hypothalamic–gonadal axis and can potentially
- 10 influence pubertal development.<sup>48</sup>

### PRACTICE RECOMMENDATIONS

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- 15 Recommendation rationales are presented; tables summarize recommendation statements (tables
- e-5 through e-7). Rationale profile tables are available online (appendix e-6).

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- Recommendation 1 rationale: Addressing coexisting medical conditions and concomitant
- 19 medications
- 20 Children and adolescents with ASD are at increased risk of co-occurring conditions that
- 21 contribute to sleep disturbance, such as intellectual disability, sleep apnea, epilepsy,
- 22 gastrointestinal disturbances (including GERD), depression, anxiety, psychosis, bipolar disorder,
- and ADHD. Children and adolescents with ASD are also more likely to use medications that

1 disrupt normal sleep patterns, such as stimulants, some antiseizure medicines, and psychotropic

2 medications.

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### **Recommendation 2 rationale: Behavioral strategies**

- 5 Environment and family factors, including child-rearing practices and bedtime routines that are
- 6 not conducive to good sleep, contribute to sleep disturbance in children with ASD.<sup>49</sup> Although
- 7 robust evidence for parental education and behavioral strategies to improve sleep in children and
- 8 adolescents with ASD is lacking, suggested approaches include:
- unmodified extinction: parents impose a set bedtime and wake-up time and ignore protest
   behavior that occurs after the bedtime and before the wake-up time
- graduated extinction: parents ignore bedtime resistance for specified periods that are fixed or get progressively longer and then respond without reinforcing the resistant behavior (i.e., brief and boring verbal reassurance)
  - positive routines: parents develop and strictly adhere to regular pre-bed calming rituals,
     and
- bedtime fading: parents put their child to bed close to the time the child begins to fall
   asleep.<sup>21</sup>
- In addition, this SR has shown that family-based CBT with or without melatonin improves
  several aspects of sleep. In the study, families attended four weekly 50-minute sessions of CBT,
  where parents/caregivers received education and instruction on how to modify behavior
  regarding sleep and were required to complete homework practicing strategies, and then twicemonthly maintenance sessions over the 12 study weeks.<sup>29</sup> As a general tenet of pediatric practice,

- 1 behavioral strategies are the preferred first treatment option before initiation of pharmacologic
- 2 approaches. Successful application of behavioral approaches will require knowledgeable
- 3 clinicians who can teach parents appropriate techniques and that parents implement the
- 4 techniques consistently despite discomforts and challenges associated with behavioral
- 5 modification.

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### **Recommendation 3 rationale: Melatonin**

- 8 When managing coexisting conditions and adopting behavioral strategies are unsuccessful at
- 9 improving sleep of children and adolescents with ASD, pharmacologic strategies are an
- additional treatment approach. There is low to moderate confidence that melatonin improves
- various aspects of sleep in children and adolescents with ASD. In the studies included in the SR,
- 12 pharmaceutical-grade melatonin preparations were used and the exact administration amounts
- ascertained. One study used prolonged-release melatonin up to 10 mg/d,<sup>32</sup> one used 3 mg of
- prolonged-release melatonin, <sup>29</sup> and one started 2 mg of immediate-release melatonin with
- 15 titration to effect up to 10 mg (modal dose 7 mg).<sup>31</sup> In practice, variable concentrations of
- melatonin are found in OTC preparations, 43 such that melatonin obtained by prescription is more
- 17 representative of what was used in studies than OTC forms. Melatonin is generally administered
- 18 30–60 minutes before bedtime. 50 Because immediate-release melatonin has a short half-life (40
- minutes), it is assumed that the immediate-release formulations are more helpful for sleep onset
- 20 insomnia and controlled-release forms more helpful for sleep maintenance.

- 1 No study in the SR reported serious AEs. Adverse events reported with melatonin include
- 2 morning drowsiness, increased enuresis, headache, dizziness, diarrhea, rash, and hypothermia.<sup>44-</sup>
- 3 <sup>47</sup> Melatonin is currently used safely as neuroprotection in preterm infants,<sup>51</sup> suggesting that it
- 4 may also be safe in other pediatric populations, but long-term safety data are lacking for all
- 5 pediatric populations. Possible long-term AEs are of particular concern given melatonin's ability
- 6 to suppress the hypothalamic–gonadal axis and potentially initiate precocious puberty.<sup>52</sup> Risk
- 7 associated with melatonin use in ASD should be weighed against the harms of persistently
- 8 disordered sleep for individuals with ASD and their families. It is axiomatic of good care that use
- 9 of any behavioral or medical treatment be periodically reevaluated to ensure that there is
- 10 continued benefit and no new AEs.

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# **Recommendation 4 rationale: CAM Approaches**

- Families of children and adolescents with ASD are often interested in CAM approaches. The SR
- identified that STS mattress technology possibly results in higher SE over 2 weeks but possibly
- fails to improve SOL, WASO, or TST. Weighted blankets possibly fail to improve SOL, SE,
- 16 WASO, night awakenings, TST, and daytime behavior over 2 weeks. No high-quality studies of
- other CAM approaches were identified. Adverse events were not described in the STS mattress
- study. The only AE in the weighted blanket study was a 2-day skin rash on one child that might
- 19 have been blanket related. Weighted blankets vary in approach to production; in the available
- study, weighted blankets were chosen to avoid extreme thickness and weighed 2.25 kg (small) or
- 4.5 kg (large) by using 3-mm steel shot pellets embedded evenly throughout the blanket.

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### SUGGESTIONS FOR FUTURE RESEARCH

1 There are few well-designed studies of sleep-related treatments in ASD. Optimal outcome 2 measures (e.g., questionnaires, polysomnography, actigraphy) that balance tolerability and 3 accuracy are undefined, as are clinically important differences for most measures. Melatonin has 4 the strongest evidence for use. Given melatonin's ability to suppress the hypothalamic-gonadal 5 axis and potentially initiate precocious puberty, future directions should include the evaluation of long-term AEs with chronic melatonin use. Studies of individuals with ASD and concomitant 6 7 mood disorders are also needed. The bidirectional relationship between poor sleep and mood disorders is well documented. Many people with ASD and mood disorders may also take 8 9 medications that affect sleep disturbances. Finally, research tying the underlying neurobiology in 10 early-life sleep disruption to behavior might help clinicians and researchers understand which 11 treatments might work for which people with ASD.

1 Figure e-1—Available Upon Request

## 1 Table e-1. Consensus-based clinically important and unimportant differences on sleep

### 2 measures

Outcome	Relevant	Clinically	Uncertain clinical	Clinically
	outcome measure(s)	important difference	significance	unimportant
SOL	PSG, actigraphy, diary entry, questionnaire results	20 min or more	More than 10 min to less than 20 min	10 min or less
Risk difference in achieving	PSG, actigraphy, diary entry,	10% or greater	More than 5% to less than 10%	5% or less
SOL of less than 30 min or a reduction of	questionnaire results			
50% or greater in SOL				
SE	PSG or actigraphy	5% or greater	More than 2% to less than 5%	2% or less
Risk difference in achieving an SE greater than 85%	PSG or actigraphy	10% or greater	More than 5% to less than 10%	5% or less
WASO	PSG or actigraphy	15 min or more	More than 5 min to less than 15 min	5 min or less
Decrease in night awakenings		3 or more	More than 1 to fewer than 3	1 or fewer
Improvement in total sleep time		30 min or greater	More than 15 min to less than 30 min	15 min or less

Abbreviations: PSG: polysomnography; SE: sleep efficiency; SOL: sleep onset latency; WASO: wake after sleep onset.

Table e-2. Evidence summary for interventions targeting sleep disorders in children and adolescents with autism spectrum disorder (ASD)<sup>a</sup>

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adolescents w	Bedtime	Sleep	Sleep	Sleep	Total	Daytime
	resistance	onset	continuity:	continuity:	sleep time	behavior
		latency	sleep	WASO,	-	
		-	efficiency	night		
				awakenings		
Probably	Melatonin	Melatonin	Melatonin	Melatonin	Melatonin	
effective	plus CBT	plus CBT	plus CBT	plus CBT	plus CBT	
	Melatonin	Melatonin	Melatonin	Melatonin	Melatonin	
	alone	alone	alone	alone	alone	
Possibly effective	CBT alone	CBT alone	CBT alone	CBT alone	CBT alone	
			Parent			
			educational			
			pamphlet			
			STS			
			mattress			
			technology			
Possibly		Parent	Individual	Parent	Parent	Melatonin
ineffective		educational	(vs group)	educational	educational	CR
		packet	parent	packet	pamphlet	
			education			Weighted
		Individual	*** 1 . 1	Individual	Individual	blankets
		(vs group)	Weighted	(vs group)	(vs group)	
		parent	blankets	parent	parent	
		education		education	education	
		Weighted		Weighted	Weighted	
		blankets		blankets	blankets	
		STS		STS	STS	
		mattress		mattress	mattress	
		technology		technology	technology	
Insufficient		Parental	Parental		Parental	STS
evidence		sleep-	sleep-		sleep-	mattress
		specific	specific		specific	technology
		behavioral	behavioral		behavioral	
		training	training		training	

<sup>&</sup>lt;sup>a</sup> Specific conclusion details regarding the interventions (e.g., type of melatonin, dose), outcomes measured, and timing are available in the systematic review text and the full conclusions outlined

<sup>5</sup> in appendix e-4 of the full-length guideline, available from the AAN, upon request; for

interventions for which there are multiple conclusions for a single sleep category, conclusions 6 7

with the highest degree of confidence and potential benefit are reflected here.

Text presented in italics signifies other outcomes for this intervention and this sleep category show either no benefit or have insufficient evidence.

Abbreviations: CBT: cognitive behavioral therapy, CR: controlled release; STS: Sound-to-Sleep, WASO: wake after sleep onset.

# Table e-3. Evidence synthesis of interventions showing benefit for insomnia and disrupted sleep behavior in children and adolescents with autism spectrum disorder (ASD)

Outcome	Intervention	Conclusion
Bedtime	Controlled-	Children with ASD (aged 4–10 y) with sleep onset insomnia
resistance	release	and impaired sleep maintenance taking controlled-release
	melatonin 3 mg	melatonin 3 mg at 9 PM or taking controlled-release
	with or without	melatonin at 9 PM in conjunction with CBT probably have
	CBT	lower bedtime resistance at 12 wk compared with those
		taking placebo (moderate confidence; one Class II study
		with increased confidence in the evidence due to effect size,
	CDT 1	Cortesi 2012).
	CBT alone	Children with ASD (aged 4–10 y) with sleep onset insomnia
		and impaired sleep maintenance using CBT alone possibly
		have lower bedtime resistance at 12 wk compared with those
		taking placebo (low confidence; one Class II study, Cortesi 2012).
Sleep onset	Melatonin (any	Children with ASD and sleep disturbance taking various
latency	form – meta-	forms of melatonin probably have lower SOL as measured
auciic <sub>j</sub>	analysis)	by different approaches compared with those taking placebo
	ariary sisy	(moderate confidence; raw mean difference -33.1, 95% CI -
		43.5 to -22.6, meta-analysis of one Class I study and 2 Class
		II studies, Cortesi 2012, Wright 2011, Gringas 2017).
	Controlled-	Children with ASD (aged 4–10 y) with sleep onset insomnia
	release	and impaired sleep maintenance taking controlled-release
	melatonin 3 mg	melatonin at 9 PM in conjunction with CBT possibly have
	with CBT	lower SOL at 12 wk as measured by actigraphy compared
		with those taking placebo (low confidence; one Class II
		study, Cortesi 2012).
		Children with ASD (aged 4–10 y) with sleep onset insomnia
		and impaired sleep maintenance taking controlled-release
		melatonin at 9 PM in conjunction with CBT probably have
		an increased likelihood of achieving SOL less than 30 min at
		12 wk as measured by actigraphy compared with those
		taking placebo (moderate confidence; one Class II study, Cortesi 2012, with increased confidence due to magnitude of
		effect).
		Children with ASD (aged 4–10 y) with sleep onset insomnia
		and impaired sleep maintenance taking controlled-release
		melatonin at 9 PM in conjunction with CBT probably have
		lower SOL at 12 wk as measured by the CSHQ subscale
		score compared with those taking placebo (moderate
		confidence; one Class II study with increased confidence in
		the evidence due to effect size, Cortesi 2012).

	C 4 11 1	CI 11 14 ACD ( 14 10 ) 14 1
	Controlled-release melatonin 3 mg alone  CBT alone	Children with ASD (aged 4–10 y) with sleep onset insomnia and impaired sleep maintenance taking controlled-release melatonin at 9 PM (without CBT) probably have an increased likelihood of achieving SOL less than 30 min at 12 wk as measured by actigraphy compared with those taking placebo (moderate confidence; one Class II study, Cortesi 2012, with increased confidence due to magnitude of effect).  Children with ASD (aged 4–10 y) with sleep onset insomnia and impaired sleep maintenance doing CBT alone possibly have lower SOL at 12 wk as measured by actigraphy and as
		measured by the CSHQ subscale score compared with those taking placebo (low confidence; one Class II study, Cortesi 2012). However, there is insufficient evidence to determine whether children with ASD (aged 4–10 y) with sleep onset insomnia and impaired sleep maintenance doing CBT alone are more or less likely than children taking placebo to achieve SOL less than 30 min at 12 wk as measured by actigraphy (very low confidence; one Class II study, Cortesi 2012, with decreased confidence in the evidence due to precision).
Sleep continuity: sleep efficiency	Controlled- release melatonin 3 mg with CBT	Children with ASD (aged 4–10 y) with sleep onset insomnia and impaired sleep maintenance taking controlled-release melatonin at 9 PM in conjunction with CBT probably have higher sleep efficiency at 12 ws as measured by actigraphy compared with those taking placebo (moderate confidence; one Class II study, Cortesi 2012, with increased confidence in the evidence due to magnitude of effect).  Children with ASD (aged 4–10 y) with sleep onset insomnia and impaired sleep maintenance taking controlled-release melatonin at 9 PM in conjunction with CBT probably have a higher likelihood of achieving >85% SE at 12 wk compared with those taking placebo (moderate confidence; one Class II study with increased confidence in the evidence due to magnitude of effect, Cortesi 2012).
	Controlled- release melatonin 3 mg alone	Children with ASD (aged 4–10 y) with sleep onset insomnia and impaired sleep maintenance taking controlled-release melatonin at 9 PM (without CBT) possibly have higher sleep efficiency at 12 wk as measured by actigraphy compared with those taking placebo (low confidence; one Class II study, Cortesi 2012).  Children with ASD (aged 4–10 y) with sleep onset insomnia and impaired sleep maintenance taking controlled-release melatonin at 9 PM probably have a higher likelihood of achieving >85% SE at 12 wk compared with those taking

		placebo (moderate confidence; one Class II study with
		<del>-</del>
		increased confidence in the evidence due to magnitude of
		effect, Cortesi 2012).
	CBT alone	Children with ASD (aged 4–10 y) with sleep onset insomnia
		and impaired sleep maintenance doing CBT alone possibly
		have higher sleep efficiency at 12 wk as measured by
		actigraphy compared with those taking placebo (low
		confidence; one Class II study, Cortesi 2012). However,
		there is insufficient evidence to determine whether children
		with ASD (aged 4–10 y) with sleep onset insomnia and
		impaired sleep maintenance doing CBT alone are more or
		•
		less likely than children taking placebo to achieve >85% SE
		at 12 wk as measured by actigraphy (very low confidence;
		one Class II study, Cortesi 2012, with decreased confidence
		in the evidence due to precision).
	Parent	Children with ASD (aged 2–10 y) and a mean sleep latency
	educational	of 30 min whose parents receive an educational pamphlet are
	pamphlet	possibly more likely than children of parents receiving no
		pamphlet to have improved SE between baseline and 2 wk
		(low confidence; one Class II study, Adkins 2012).
		However, children with ASD (aged 2–10 y) and a mean
		sleep latency of 30 min whose parents receive an educational
		pamphlet are possibly no more likely than children of
		parents receiving no pamphlet to have higher SE at 2 wk
		(low confidence; one Class II study, Adkins 2012).
	STS mattress	Children with ASD and sleep difficulties are possibly more
	technology (vs	likely to have higher SE (as measured by actigraphy over 2
	regular	wk) when using the STS mattress technology turned on
	mattress)	compared with when using the mattress with the technology
		turned off (low confidence in the evidence; 1 Class II study,
GI.	G . 11 1	Frazier 2017).
Sleep	Controlled-	Children with ASD (aged 4–10 y) with sleep onset insomnia
continuity	release	and impaired sleep maintenance taking controlled-release
- WASO,	melatonin 3 mg	melatonin at 9 PM in conjunction with CBT possibly have
night	with CBT	lower WASO at 12 wk as measured by actigraphy compared
awakenings		with those taking placebo (low confidence; one Class II
		study, Cortesi 2012).
		Children with ASD (aged 4–10 y) with sleep onset insomnia
		and impaired sleep maintenance taking controlled-release
		melatonin at 9 PM in conjunction with CBT probably have
		lower scores on the CSHQ-Night Wakings subscale at 12 wk
		compared with those taking placebo (moderate confidence;
		one Class II study, Cortesi 2012, with increased confidence
		due to magnitude of effect).
L	l .	and to magnitude of effects.

	Controlled-	Children with ASD (aged 4–10 y) with sleep onset insomnia
	release	and impaired sleep maintenance taking controlled-release
	melatonin 3 mg	melatonin at 9 PM probably have lower scores on the CSHQ-
		Night Wakings subscale at 12 wk compared with those
		taking placebo (moderate confidence; one Class II study,
		Cortesi 2012, with increased confidence due to magnitude of
		effect).
	CBT	Children with ASD (aged 4–10 y) with sleep onset insomnia
		and impaired sleep maintenance participating in CBT
		possibly have lower scores on the CSHQ-Night Wakings
		subscale at 12 wk compared with those taking placebo (low
		confidence; one Class II study, Cortesi 2012).
Total sleep	Melatonin (any	Children with ASD and sleep disturbance taking various
time	form – meta-	forms of melatonin probably have longer TST as measured
	analysis)	by different approaches compared with those taking placebo
		(moderate confidence; mean difference 52.63 min, 95% CI
		$33.10 - 72.16$ , $I^2$ 39%, meta-analysis of one Class I and
		study 2 Class II studies, Cortesi 2012, Wright 2011, Gringas
		2017).
	Controlled-	Children with ASD (aged 4–10 y) with sleep onset insomnia
	release	and impaired sleep maintenance taking controlled-release
	melatonin 3 mg	melatonin at 9 PM in conjunction with CBT probably have
	with CBT	longer TST at 12 wk as measured by actigraphy compared
		with those taking placebo (moderate confidence; one Class
		II study, Cortesi 2012, with increased confidence in the
		evidence due to magnitude of effect).
		Children with ASD (aged 4–10 y) with sleep onset insomnia
		and impaired sleep maintenance taking controlled-release
		melatonin at 9 PM in conjunction with CBT probably have
		lower scores on the CSHQ Sleep Duration subscore at 12 wk
		compared with those taking placebo (moderate confidence;
		one Class II study with increased confidence in the evidence
		due to magnitude of effect, Cortesi 2012).
	Controlled-	Children with ASD (aged 4–10 y) with sleep onset insomnia
	release	and impaired sleep maintenance taking controlled-release
	melatonin 3 mg	melatonin at 9 PM (without CBT) possibly have lower scores
	alone	on the CSHQ Sleep Duration subscore at 12 wk compared
		with those taking placebo (low confidence; one Class II study, Cortesi 2012).
	CBT alone	Children with ASD (aged 4–10 y) with sleep onset insomnia
		and impaired sleep maintenance doing CBT alone possibly
		have longer TST at 12 wk as measured by actigraphy
		compared with those taking placebo (low confidence; one
		Class II study, Cortesi 2012).

- 1 Abbreviations: CBT: cognitive behavioral therapy; CSHQ: Children's Sleep Habit
- 2 Questionnaire; SE: sleep efficiency; SOL: sleep onset latency; STS: Sound-to-Sleep; TST: total
- Questionnaire; SE: sleep efficiency; SOL: s
   sleep time; WASO: wake after sleep onset.

Outcome	Intervention	Conclusion
Sleep onset	Parent	Children with ASD (aged 2–10 y) and a mean sleep latency
latency	educational	of 30 min whose parents receive an educational pamphlet are
	pamphlet	possibly no more likely than children of parents receiving no
		pamphlet to have a reduction in SOL at 2 wk (low
		confidence; one Class II study, Adkins 2012).
	Parental sleep-	There is insufficient evidence to determine whether children
	specific	with ASD and at least one sleep disturbance whose parents
	behavioral	receive a sleep-specific behavioral training are more or less
	training	likely than children of parents receiving education unrelated
		to sleep to have lower SOL at 8 wk (very low confidence;
		one Class III study, Johnson 2013).
	Individual	Children with ASD (aged 2–10 y) and prolonged sleep
	parental sleep	latency whose parents receive individual sleep training are
	education (vs	possibly no more likely than children of parents receiving
	group parental	group sleep education to have a reduction in SOL at 4 wk
	sleep education)	(low confidence; one Class II study, Malow 2015).
	Weighted	Children with ASD and 5 mo of sleep concerns are possibly
	blankets (vs	no more likely to have improved SOL (as measured by
	usual weight	actigraphy) when using a weighted blanket compared with
	blankets)	when using a regular weight blanket (low confidence in the
	·	evidence; one Class II study, Gringras 2014).
	STS mattress	Children with ASD and sleep difficulties are possibly no
	technology (vs	more likely to have lower SOL (as measured by actigraphy
	regular	over 2 wk) when using the STS mattress technology turned
	mattress)	on compared with when using the mattress with the
		technology turned off (low confidence in the evidence; one
		Class II study, Frazier 2017).
Sleep	Parent	Children with ASD (aged 2–10 y) and a mean sleep latency
continuity	educational	of 30 min whose parents receive an educational pamphlet are
– sleep	pamphlet	possibly no more likely than children of parents receiving no
efficiency		pamphlet to have higher sleep efficiency at 2 wk (low
		confidence; one Class II study, Adkins 2012).
	Parental sleep-	There is insufficient evidence to determine whether children
	specific	with ASD and at least one sleep disturbance whose parents
	behavioral	receive a sleep-specific behavioral training are more or less
	training	likely than children of parents receiving education unrelated
		to sleep to have better sleep efficiency at 8 wk (very low
		confidence; one Class III study, Johnson 2013).
	Individual	Children with ASD (aged 2–10 y) and prolonged sleep
	parental sleep	latency whose parents receive individual sleep training are
	education (vs	possibly no more likely than children of parents receiving

	group perental	group sleep education to have higher SE at 4 wk (low
	group parental sleep education)	confidence; one Class II study, Malow 2015).
	Weighted	Children with ASD and 5 mo of sleep concerns are possibly
	blankets (vs	no more likely to have improved SE (as measured by
	usual weight	actigraphy) when using a weighted blanket compared with
	blankets)	when using a regular weight blanket (low confidence in the
GI.	361	evidence; one Class II study, Gringras 2014).
Sleep	Melatonin (any	There is insufficient evidence to determine wither children
continuity	form – meta-	with ASD and sleep disturbance taking various forms of
-WASO,	analysis)	melatonin have lower WASO as measured by different
night		approaches compared with those taking placebo (very low
awakenings		confidence; raw mean difference -12.95, 95% CI -40.17 to
		14.28, I <sup>2</sup> 89%, meta-analysis of one Class I study and one
		Class II study, Cortesi 2012, Gringas 2017).
		Children with ASD and sleep disturbance taking various
		forms of melatonin are probably no more likely than those
		taking placebo to have a reduction in night awakenings
		(moderate confidence; raw mean difference -0.097, 95% CI -
		2.33 to 0.038, I <sup>2</sup> 0%, meta-analysis of one Class I [Gringas
		2017] and one Class II study [Wright 2011]).
	CBT alone	Children with ASD (aged 4–10 y) with sleep onset insomnia
	CB1 dione	and impaired sleep maintenance doing CBT alone are
		possibly no more likely to have lower WASO at 12 wk than
		children taking placebo (low confidence; one Class II study,
		Cortesi 2012).
	Parent	Children with ASD (aged 2–10 y) and a mean sleep latency
	educational	of 30 min whose parents receive an educational pamphlet are
	pamphlet	possibly no more likely than children of parents receiving no
	pampmet	pamphlet to have lower WASO at 2 weeks (low confidence;
		one Class II study, Adkins 2012).
		0110 01100 11 0111111 2012).
		Children with ASD (aged 2–10 y) and a mean sleep latency
		of 30 min whose parents receive an educational pamphlet
		possibly have no greater reduction in WASO from baseline
		to 2 wk than children of parents receiving no pamphlet (low
		confidence; one Class II study, Adkins 2012).
	Individual	Children with ASD (aged 2–10 y) and prolonged sleep
	parental sleep	latency whose parents receive individual sleep training are
	education (vs	possibly no more likely than children of parents receiving
	group parental	group sleep education to have lower WASO at 4 wk (low
	sleep education)	confidence; one Class II study, Malow 2015).
		Children with ASD (aged 2, 10 v) and prolonged along
		Children with ASD (aged 2–10 y) and prolonged sleep
		latency whose parents receive individual sleep training are
		possibly no more likely than children of parents receiving

	Weighted blankets (vs usual weight blankets)	group sleep education to have a greater reduction in WASO from baseline to 4 weeks (low confidence; one Class II study, Malow 2015).  Children with ASD and 5 mo of sleep concerns are possibly no more likely to have decreased WASO (as measured by actigraphy) when using a weighted blanket compared with when using a regular weight blanket (low confidence in the evidence; one Class II study, Gringras 2014).  Children with ASD and 5 mo of sleep concerns are possibly
		no more likely to have a greater reduction in the number of night wakings (as measured by actigraphy) when using a weighted blanket compared with when using a regular weight blanket (low confidence in the evidence; one Class II study, Gringras 2014).
	STS mattress technology (vs regular mattress)	Children with ASD and sleep difficulties are possibly no more likely to have lower WASO (as measured by actigraphy over 2 weeks) when using the STS mattress technology turned on compared with when using the mattress with the technology turned off (low confidence in the evidence; one Class II study, Frazier 2017).
Total sleep time	CBT alone	Children with ASD (aged 4–10 y) with sleep onset insomnia and impaired sleep maintenance doing CBT alone are possibly are no more likely to have lower scores on the CSHQ sleep duration subscore at 12 wk than children taking placebo (low confidence; one Class II study, Cortesi 2012).
	Parent educational pamphlet	Children with ASD (aged 2–10 y) and a mean sleep latency of 30 min whose parents receive an educational pamphlet are possibly no more likely than children of parents receiving no pamphlet to have longer TST at 2 weeks (low confidence; one Class II study, Adkins 2012).
		Children with ASD (aged 2–10 y) and a mean sleep latency of 30 min whose parents receive an educational pamphlet possibly have no greater improvement in TST from baseline to 2 wk than children of parents receiving no pamphlet (low confidence; one Class II study, Adkins 2012).
	Individual parental sleep education (vs group parental sleep education)	Children with ASD (aged 2–10 y) and prolonged sleep latency whose parents receive individual sleep training are possibly no more likely than children of parents receiving group sleep education to have longer TST at 4 wk (low confidence; one Class II study, Malow 2015).
		Children with ASD (aged 2–10 y) and prolonged sleep latency whose parents receive individual sleep training are possibly no more likely than children of parents receiving

		group sleep education to have a greater increase in TST from baseline to 4 wk (low confidence; one Class II study, Malow 2015).
	Parental sleep- specific behavioral training	There is insufficient evidence to determine whether children with ASD and at least one sleep disturbance whose parents receive a sleep-specific behavioral training are more or less likely than children of parents receiving education unrelated to sleep to have longer TST at 8 weeks (very low confidence; one Class III study, Johnson 2013).
	Weighted blankets (vs usual weight blankets)	Children with ASD and 5 mo of sleep concerns are possibly no more likely to have increased TST as measured by actigraphy when using a weighted blanket compared to when using a regular weight blanket (low confidence in the evidence; one Class II study, Gringras 2014).
	STS mattress technology (vs regular mattress)	Children with ASD and sleep difficulties are possibly no more likely to have longer TST (as measured by actigraphy over 2 wk) when using the STS mattress technology turned on compared with when using the mattress with the technology turned off (low confidence in the evidence; one Class II study, Frazier 2017).
Daytime behavior	Controlled- release melatonin	Children with ASD and sleep problems are possibly no more likely to have improved daytime behavior as measured by the Developmental Behavior Checklist after receiving controlled-release melatonin 2–10 mg nightly compared with when receiving placebo (low confidence in the evidence; one Class II study, Wright 2011).
	Weighted blankets (vs usual weight blankets)	Children with ASD and 5 mo of sleep concerns are possibly no more likely to have improved daytime behavior as measured by the Aberrant Behavior Checklist when using a weighted blanket compared with when using a regular weight blanket (low confidence in the evidence; one Class II study, Gringras 2014).
	STS mattress technology (vs regular mattress)	There is insufficient evidence to support or refute whether children with ASD and sleep difficulties are more or less likely to have improved daytime behavior when using the STS mattress technology turned on compared with when using the mattress with the technology turned off (very low confidence in the evidence; one Class III study [for questionnaire outcomes], Frazier 2017).

Abbreviations: CBT: Cognitive behavioral therapy; CSHQ: Children's Sleep Habit

Questionnaire; SE: sleep efficiency; SOL: sleep onset latency; STS: Sound-to-Sleep; TST: total

sleep time; WASO: wake after sleep onset.

Recommendation	Recommendation statement and level
number	
1a	Clinicians seeking to improve sleep in children and adolescents with
	ASD should perform an assessment for coexisting conditions that could
	be contributing to sleep disturbance (Level B).
1b	Clinicians seeking to improve sleep in children and adolescents with
	ASD should review concomitant medications that could be contributing
	to sleep disturbance (Level B).
1c	Clinicians seeking to improve sleep in children and adolescents with
	ASD who have a coexisting condition that is contributing to their sleep
	disturbance should ensure they receive appropriate treatment for their
	coexisting condition (Level B). b
1d	Clinicians seeking to improve sleep in children and adolescents with
	ASD who have medications that could be contributing to sleep
	disturbance should address whether the potentially contributing
	medications can be stopped or adjusted (Level B).
2	Clinicians seeking to improve sleep function in children and adolescents
	with ASD should counsel parents or guardians regarding strategies for
	improved sleep habits, with behavioral strategies as a first-line treatment
	approach either alone or in combination with pharmacologic or

nutraceutical approaches, depending on individual circumstances (Level B).

1

- <sup>a</sup> Level A is the strongest recommendation level and is denoted by use of the helping verb *must*.
- 3 These recommendations are rare. Level B corresponds to the helping verb *should*. Such
- 4 recommendations are more common, as the requirements are less stringent but are still associated
- 5 with confidence in the rationale and a favorable benefit—risk profile. Level C corresponds to the
- 6 helping verb *may*. These recommendations represent the lowest allowable recommendation level
- 7 that the American Academy of Neurology considers useful within the scope of clinical practice
- 8 and can accommodate the highest degree of practice variation.
- 9 b Level B based on feasibility and cost relative to net benefit

10

### 1 Table e-6. Recommendation statements for care of children and adolescents with autism

### $2 \quad \ \ spectrum \ disorder \ (ASD) \ and \ sleep \ disturbance \ regarding \ melaton in \ use$

Recommendation	Recommendation statement and level
number	
3a	Clinicians should offer melatonin to children and adolescents with ASD
	if behavioral strategies have not been helpful and contributing coexisting
	conditions and use of concomitant medications have been addressed
	(Level B).
3b	Clinicians offering melatonin for sleep disturbance in children and
	adolescents with ASD should write a prescription for melatonin or
	recommend using a high-purity pharmaceutical grade of melatonin when
	available (Level B).
3c	Clinicians offering melatonin for sleep dysregulation in children and
	adolescents with ASD should start by initiating a low dose (1–3 mg/d),
	30–60 minutes before bedtime, and titrate to effect, not exceeding 10
	mg/d (Level B).
3d	Clinicians offering melatonin for sleep disturbance in children and
	adolescents with ASD should counsel children and adolescents with
	ASD and sleep disturbance (as appropriate) and their parents regarding
	potential AEs of melatonin use and the lack of long-term safety data
	(Level B).

### 1 Table e-7. Recommendation statements for care of children and adolescents with ASD and

### 2 sleep disturbance regarding complementary alternative medicine

Recommendation	Recommendation statement and level
number	
4a	Clinicians should counsel children and adolescents with ASD and sleep
	disturbance (as appropriate) and their parents that there is currently no
	evidence to support the routine use of weighted blankets or specialized
	mattress technology for improving disrupted sleep (Level B). <sup>a</sup>
4b	Although evidence of efficacy is lacking, clinicians should counsel
	children and adolescents with ASD and sleep disturbance (as
	appropriate) and their parents asking about weighted blankets that the
	reviewed trial reported no serious AEs with blanket use and that blankets
	could be a reasonable nonpharmacologic approach to try for some
	individuals (Level B).

<sup>&</sup>lt;sup>a</sup> Level B based on importance of outcomes, variation in preferences.

3

### DISCLAIMER

2	Practice guidelines, practice advisories, comprehensive systematic reviews and other guidance
3	published by the American Academy of Neurology and its affiliates are assessments of current
4	scientific and clinical information provided as an educational service. The information: 1) should
5	not be considered inclusive of all proper treatments, methods of care, or as a statement of the
6	standard of care; 2) is not continually updated and may not reflect the most recent evidence (new
7	evidence may emerge between the time information is developed and when it is published or
8	read); 3) addresses only the question(s) specifically identified; 4) does not mandate any
9	particular course of medical care; and 5) is not intended to substitute for the independent
10	professional judgment of the treating provider, as the information does not account for individual
11	variation among patients. In all cases, the selected course of action should be considered by the
12	treating provider in the context of treating the individual patient. Use of the information is
13	voluntary. AAN provides this information on an "as is" basis, and makes no warranty, expressed
14	or implied, regarding the information. AAN specifically disclaims any warranties of
15	merchantability or fitness for a particular use or purpose. AAN assumes no responsibility for any
16	injury or damage to persons or property arising out of or related to any use of this information or
17	for any errors or omissions.
18	Drs. Buckley and Thurm provided scientific expertise to this paper and these recommendations
19	in no way represent a position from the National Institute of Mental Health or the NIH.

### CONFLICT OF INTEREST STATEMENT

- 1 The American Academy of Neurology (AAN) is committed to producing independent, critical,
- 2 and trustworthy clinical practice guidelines (CPGs) and evidence-based documents. Significant
- 3 efforts are made to minimize the potential for conflicts of interest to influence the
- 4 recommendations of this evidence-based document. Management and disclosure of document
- 5 developer relationships is conducted in compliance with the 2011 AAN process manual section
- 6 titled, "Revealing Conflicts of Interest," which can be viewed at <a href="www.aan.com">www.aan.com</a>. 24

### ACKNOWLEDGMENT

- 9 The authors thank Beth Malow, MD, MS, for her contributions and Julie Cox, MFA, for her
- editorial assistance. Carolyn Bridgemohan, MD, died on August 16, 2019. She made significant
- 11 contributions to the development of this guideline.

# Appendix e-1. AAN GDDI mission The mission of the GDDI is to develop, disseminate, and implement evidence-based systematic reviews and clinical practice guidelines related to the causation, diagnosis, treatment, and prognosis of neurologic disorders. The GDDI is committed to using the most rigorous methods available within its budget, in collaboration with other available AAN resources, to most efficiently accomplish this mission.

2 3

- 1 Appendix e-2. 2017–2019 AAN GDDI Subcommittee members
- 2 The AAN has structured its subcommittee overseeing guideline development in several ways in
- 3 recent years. The GDDI was first formed in 2014; it existed under a previous name and structure
- 4 when this guideline project was inaugurated. At the time this guideline was approved to advance
- 5 beyond subcommittee development, the subcommittee was constituted as below.

- 7 Cynthia Harden, MD (Chair); Steven R. Messé, MD (Co-Vice-Chair); Sonja Potrebic, MD, PhD
- 8 (Co-Vice-Chair); Stephen Ashwal, MD; Lori L. Billinghurst, MD; Brian Callaghan, MD;
- 9 Gregory S. Day, MD, MSc; Diane Donley, MD; Richard M. Dubinsky, MD, MPH; Jeffrey
- 10 Fletcher, MD; Gary S. Gronseth, MD (Senior Evidence-based Medicine Methodology Expert);
- 11 Michael Haboubi, DO; John J. Halperin, MD; Yolanda Holler-Managan, MD; Annette M.
- Langer-Gould, MD, PhD; Nicole Licking, DO; Mia T. Minen, MD; Pushpa Narayanaswami,
- 13 MBBS, DM; Maryam Oskoui, MD; Alejandro A. Rabinstein, MD; Alexander Rae-Grant, MD;
- 14 Kevin Sheth, MD; Kelly Sullivan, PhD; Eric J. Ashman, MD (Ex-Officio); Jacqueline French,
- 15 MD (Ex-Officio, Guideline Process Historian)

1 **Appendix e-3: Complete search strategies** 2 3 *Updated search strategy* 4 5 Ovid MEDLINE 6 7 Ovid MEDLINE(R) In-Process & Other Non-Indexed Citations and Ovid MEDLINE(R) 1946 to 8 Present 9 10 # Searches **Results Type** child development disorders, pervasive.mp. or exp autistic disorder/ [mp=title, abstract, 11 1 12 original title, name of substance word, subject heading word, keyword heading word, protocol 13 supplementary concept word, rare disease supplementary concept word, unique identifier] 14 23451 Advanced 15 2 child development disorders, pervasive/dt, dh, th, px or exp autistic disorder/dt, dh, th, px 16 10035 Advanced 17 3 exp complementary therapies/ Advanced 194402 18 4 2 and 3381 Advanced 19 5 (complementary or alternative or "mind body").mp. [mp=title, abstract, original title, 20 name of substance word, subject heading word, keyword heading word, protocol supplementary 21 concept word, rare disease supplementary concept word, unique identifier 563213 22 Advanced 23 2 and 5303 Advanced 6 24 7 4 or 6 598 Advanced 25 (exp dietary supplements/ or exp vitamins/ or diet\*.mp.) and 2 [mp=title, abstract, 26 original title, name of substance word, subject heading word, keyword heading word, protocol 27 supplementary concept word, rare disease supplementary concept word, unique identifier] 28 Advanced 252 29 9 2 and nutrition\*.mp. [mp=title, abstract, original title, name of substance word, subject 30 heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier] 31 Advanced 92 32 8 or 9 287 Advanced 10 33 11 exp Behavior Therapy/ or exp Psychotherapy/ 168950 Advanced 34 35 12 1370 Advanced 2 and 11 36 13 2 and parents/ 653 Advanced 2 and (skill\* or training or intervention\*).mp. [mp=title, abstract, original title, name of 37 38 substance word, subject heading word, keyword heading word, protocol supplementary concept 39 word, rare disease supplementary concept word, unique identifier 2811 40 41 15 7 or 10 or 12 or 13 or 14 4208 Advanced attention\*.mp. or exp "attention deficit and disruptive behavior disorders"/ or adhd.mp. or 42 16 43 "attention deficit disorder with hyperactivity"/ or conduct disorder/ or "obsessive-44 compulsive\*".mp. or hoarding.mp. or "irritable mood\*".mp. or exp anxiety disorders/ or 45 anxiety\*.mp. [mp=title, abstract, original title, name of substance word, subject heading word,

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1
      keyword heading word, protocol supplementary concept word, rare disease supplementary
 2
      concept word, unique identifier]
                                          539842
                                                         Advanced
 3
             (panic* or phobia* or phobic* or anxious* or neurotic or neuroses or depress* or
 4
      aggress*).mp. or exp depressive disorders/ [mp=title, abstract, original title, name of substance
 5
      word, subject heading word, keyword heading word, protocol supplementary concept word, rare
 6
      disease supplementary concept word, unique identifier]
                                                                484915
                                                                               Advanced
 7
 8
      18
             2 and (16 or 17)
                                   2509
                                          Advanced
 9
             2 and (defiance or defiant or oppositional or disrupt*).mp. [mp=title, abstract, original
      19
10
      title, name of substance word, subject heading word, keyword heading word, protocol
      supplementary concept word, rare disease supplementary concept word, unique identifier]
11
12
                    Advanced
             318
13
      20
             15 or 18 or 19 5834 Advanced
14
      21
             limit 20 to (English language and yr="2012 - 2016")1849
             limit 21 to (clinical trial, all or clinical trial, phase i or clinical trial, phase ii or clinical
15
16
      trial, phase iii or clinical trial, phase iv or clinical trial or comparative study or controlled clinical
17
      trial or evaluation studies or meta analysis or multicenter study or observational study or
      pragmatic clinical trial or randomized controlled trial or "review" or systematic reviews or
18
19
      validation studies)
                                   Advanced
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20
      23
             exp case-control studies/ or exp cohort studies/ or exp cross-sectional studies/ or exp
21
      feasibility studies/ or exp intervention studies/
                                                         1932689
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22
             21 and 23
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                            945
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      Cochrane CENTRAL - same strategy = 97
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      Embase
31
      Embase 1988 to 2016 Week 25
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33
      #
             Searches
                            Results Type
34
             exp autism/dm, dt, pc, rh, th [Disease Management, Drug Therapy, Prevention,
      1
35
      Rehabilitation, Therapy]
                                   5852 Advanced
36
             exp *autism/dm, dt, pc, rh, th 4593 Advanced
      2
      3
             exp alternative medicine/
37
                                          38802 Advanced
38
      4
             exp diet therapy/
                                   259883
                                                  Advanced
39
      5
             exp psychotherapy/
                                   161404
                                                  Advanced
             exp attention deficit disorder/ or attention/ 97824 Advanced
40
      6
41
             behavior disorder/ or abnormal behavior/ or attention deficit disorder/ or disruptive
      behavior/ or exp impulse control disorder/ or oppositional defiant disorder/90729 Advanced
42
43
44
      8
             conduct disorder/
                                   5436 Advanced
```

17349 Advanced

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46

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10

obsessive compulsive disorder/

17852 Advanced

irritability/

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1
             anxiety disorder/ or anxiety neurosis/ or "mixed anxiety and depression"/ or exp
      11
 2
      obsessive compulsive disorder/ or exp panic/ or exp phobia/103749
                                                                              Advanced
 3
                    exp depression/
                                          329867
             12
                                                        Advanced
 4
      13
             3 or 4 or 5 or 6 or 7 or 8 or 9 or 10 or 11 or 12
                                                                911732
                                                                              Advanced
 5
      14
             2 and 13
                           2385 Advanced
 6
      15
             limit 14 to (English language and yr="2012 - 2016")819
                                                                       Advanced
 7
      16
             2 and (skill* or parent* or intervention*).mp. [mp=title, abstract, heading word, drug
 8
      trade name, original title, device manufacturer, drug manufacturer, device trade name, keyword]
 9
             2208 Advanced
10
      17
             limit 16 to (English language and yr="2012 - 2016")804
                                                                       Advanced
11
      18
             15 or 17
                            1139 Advanced
12
             exp comparative study/ or exp controlled study/ or exp feasibility study/ or exp
13
      observational study/ or exp pilot study/ or exp quasi experimental study/
14
             Advanced
             exp case control study/ or exp case study/ or exp clinical trial/ or exp "clinical trial
15
      20
16
      (topic)"/ or exp intervention study/ or exp longitudinal study/ or exp major clinical study/ or exp
17
      prospective study/ or exp retrospective study/
                                                        3469522
                                                                       Advanced
             18 and (19 or 20)
18
                                   588
                                          Advanced
      21
19
      22
             18 and (meta-analysis/ or systematic review/)
                                                                66
                                                                       Advanced
20
      23
             21 or 22
                           613
                                   Advanced
21
      24
             23 not (case report/ or note.pt. or comment.pt. or letter.pt.) 579
                                                                              Advanced
22
                    remove duplicates from 24
                                                 560
23
24
      PsycINFO
25
      PsycINFO 1987 to June Week 3 2016
26
27
      #
             Searches
                           Results Type
28
      1
             autism spectrum disorders/
                                          30137 Advanced
29
             alternative medicine/ or acupuncture/ or aromatherapy/ or faith healing/ or fold medicine/
      or biofeedback training/ or dietary supplements/ or holistic health/ or exp hypnotherapy/ or exp
30
      massage/ or exp "medicinal herbs and plants"/ or exp meditation/ or exp mind body therapy/
31
32
             16886 Advanced
33
      3
             1 and 2124
                           Advanced
34
      4
             exp Drug Therapy/
                                   111225
                                                 Advanced
35
             1 and 41122 Advanced
      5
             exp sleep disorders/ or exp sleep/
36
      6
                                                 24817 Advanced
37
      7
             1 and 6264
                            Advanced
38
      8
             exp epilepsy/ or exp anticonvulsive drugs/
                                                        26441 Advanced
39
             1 and 8395
      9
                           Advanced
40
      10
             exp behavior analysis/10992 Advanced
41
             exp cognitive therapy/ or exp cognitive behavior therapy/ or exp group psychotherapy/ or
      parent training/ or exp treatment outcomes/ or social skills training.mp. [mp=title, abstract,
42
43
      heading word, table of contents, key concepts, original title, tests & measures]
                                                                                     73120
44
             Advanced
45
      12
             exp communications skills/ or exp group intervention/ or intervention/ or family
      intervention/ or early intervention/ or exp school based intervention/
                                                                              71474 Advanced
46
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1		13 1 and (10 or 11 or 12 or treatment effectiveness evaluation/) 4485					
2		Advanced					
3	14	exp ATTENTION DEFICIT DISORDER/ or exp ATTENTION/ or exp ATTENTION					
4	DEFIC	CIT DISORDER WITH HYPERACTIVITY/ 68794 Advanced					
5	15	exp behavior problems/ 21782 Advanced					
6	16	behavior problems/ or exp behavior disorders/ or exp conduct disorder/ or exp					
7	rebelli	rebelliousness/148028 Advanced					
8	17	obsessive compulsive disorder/ or exp hoarding behavior/ or exp hoarding disorder/ or					
9	exp neurosis/ or exp obsessive compulsive personality disorder/ 14275 Advanced						
10	_	18 exp Major Depression/ 103691 Advanced					
11	19	irritability/ 635 Advanced					
12	20	exp Anxiety Disorders/ 64017 Advanced					
13	21	or/14-20 363735 Advanced					
14	22	1 and 21 3478 Advanced					
15	23	3 or 5 or 7 or 9 or 13 or 22 8653 Advanced					
16	24	23 and ("evidence based" or trial* or meta-analysis or "systematic review").mp.					
17	[mp=ti	tle, abstract, heading word, table of contents, key concepts, original title, tests &					
18	measur	res] 1328 Advanced					
19	25	limit 23 to ("0430 followup study" or "0450 longitudinal study" or "0451 prospective					
20	study" or "0453 retrospective study" or "0600 field study" or "0700 interview" or "0800 literature						
21	review" or "0830 systematic review" or 1200 meta analysis or 1600 qualitative study or 1800						
22	quantit	ative study or "2000 treatment outcome/clinical trial") 4997 Advanced					
23							
24	26	24 or 25 5481 Advanced					
25	27	limit 26 to (all journals and English language and yr="2012 - 2016") 2125					
26		Advanced					
27	28	*autism spectrum disorders/ and 27 1882 Advanced					
28	29	exp *Drug Therapy/ or (exp *sleep disorders/ or exp *sleep/) or (exp *epilepsy/ or exp					
29		onvulsive drugs/) or exp *behavior analysis/ or (exp *cognitive therapy/ or exp *cognitive					
30		or therapy/ or exp *group psychotherapy/ or *parent training/ or exp *treatment outcomes/					
31		cial skills training/) or (exp *communications skills/ or exp *group intervention/ or					
32		rention/ or *family intervention/ or *early intervention/ or exp *school based intervention/)					
33	` 1	*ATTENTION DEFICIT DISORDER/ or exp *ATTENTION/ or exp *ATTENTION					
34		CIT DISORDER WITH HYPERACTIVITY/) or exp *behavior problems/ or (*behavior					
35		ms/ or exp *behavior disorders/ or exp *conduct disorder/ or exp *rebelliousness/) or					
36	`	ssive compulsive disorder/ or exp *hoarding behavior/ or exp *hoarding disorder/ or exp					
37		sis/ or exp *obsessive compulsive personality disorder/) or exp *Major Depression/ or					
38		oility/ or exp *Anxiety Disorders/ 499096 Advanced					
39	30	28 and 29 1490					
40							
41							
42	Origin	al search strategy					
43	<i>a</i> ,						
44	Cochra	ane Central					
45							

- 1 EBM Reviews Cochrane Central Register of Controlled Trials December 2012 # Searches
- 2 Results Search Type
- 3 1 (autism or autistic\* or Asperger\*).mp. [mp=title, original title, abstract, mesh headings,
- 4 heading words, keyword] 591 Advanced
- 5 2 limit 1 to yr="2002 2012" 317 Advanced
- 6 3 \*autistic disorder/DT, th, pc, px or autia\*.ti. 478 Advanced
- 7 4 2 and 3 256

9 PsychInfo

10

- 11 PsycINFO 1987 to January Week 3 2013 # Searches Results Search Type
- 12 1 autism/ or pervasive developmental disorders/ or aspergers syndrome/ 20537 Advanced
- 2 alternative medicine/ or acupuncture/ or aromatherapy/ or faith healing/ or folk medicine/ or
- 14 biofeedback training/ or dietary supplements/ or holistic health/ or exp hypnotherapy/ or exp
- massage/ or exp "medicinal herbs and plants"/ or exp meditation/ or exp mind body therapy/
- 16 13546 Advanced
- 17 3 1 and 2 92 Advanced
- 18 4 drug therapy/ 83456 Advanced
- 19 5 1 and 4 838 Advanced
- 20 6 sleep disorders/ or exp sleep/ 15262 Advanced
- 21 7 1 and 6 164 Advanced
- 8 exp epilepsy/ or exp anticonvulsive drugs/ 19864 Advanced
- 23 9 1 and 8 271 Advanced
- 24 10 exp behavior analysis/ 8278 Advanced
- 25 11 1 and 10 495 Advanced
- 26 12 exp cognitive therapy/ or exp cognitive behavior therapy/ or exp group psychotherapy/ or
- parent training/ or exp treatment outcomes/ or social skills training.mp. [mp=title, abstract,
- heading word, table of contents, key concepts, original title, tests & measures | 57508 Advanced
- 29 13 exp communications skills/ or exp group intervention/ or intervention/ or family intervention/
- 30 or early intervention/ or exp school based intervention/ 43253 Advanced
- 31 14 1 and (12 or 13 or treatment effectiveness evaluation/) 2449 Advanced
- 32 15 3 or 5 or 7 or 9 or 11 or 14 3977 Advanced
- 33 16 limit 15 to (all journals and English language and yr="2000 2012") 2390 Advanced
- 34 17 (\*autism/ or \*pervasive developmental disorders/ or \*aspergers syndrome/) and 16 2199
- 35 Advanced
- 36 18 17 and (evidence adj based).mp. [mp=title, abstract, heading word, table of contents, key
- 37 concepts, original title, tests & measures 97 Advanced
- 38 19 17 and (trial\* or meta-analysis or "systematic review").mp. [mp=title, abstract, heading word,
- 39 table of contents, key concepts, original title, tests & measures 361 Advanced
- 40 20 limit 17 to ("0430 followup study" or "0450 longitudinal study" or "0451 prospective study"
- 41 or "0453 retrospective study" or "0600 field study" or "0700 interview" or "0800 literature
- review" or "0830 systematic review" or 1200 meta analysis or 1600 qualitative study or 1800
- 43 quantitative study or "2000 treatment outcome/randomized clinical trial") 1380 Advanced
- 44 21 18 or 19 or 20 1522

45

46 Ovid MEDLINE

1 Ovid MEDLINE(R) 1946 to January Week 2 2013 # Searches Results Search Type

2

- 3 1 child development disorders, pervasive/ or exp autistic disorder/ 17031 Advanced
- 4 2 \*child development disorders, pervasive/dt, th or exp \*autistic disorder/dt, th 2083 Advanced
- 5 3 exp Complementary Therapies/ 163375 Advanced
- 6 4 2 and 3 152 Advanced
- 7 5 exp Dietary Supplements/ or exp Vitamins/ 53550 Advanced
- 8 6 child development disorders, pervasive/dt, dh, th or exp autistic disorder/dt, dh, th 3155
- 9 Advanced
- 10 7 5 and 6 56 Advanced
- 11 8 4 or 7 199 Advanced
- 9 \*child development disorders, pervasive/dt, th, px, pc or exp \*autistic disorder/dt, th, px, pc
- 13 4613 Advanced
- 14 10 \*child development disorders, pervasive/dt or exp \*autistic disorder/dt 804 Advanced
- 15 11 exp Psychotherapy/ 140916 Advanced
- 16 12 parents/ and 9 285 Advanced
- 17 13 9 and (skill\* or training or intervention\*).mp. [mp=title, abstract, original title, name of
- substance word, subject heading word, keyword heading word, protocol supplementary concept,
- rare disease supplementary concept, unique identifier] 1346 Advanced
- 20 14 8 or 10 or 12 or 13 2293 Advanced
- 21 15 limit 14 to (English language and yr="2000 2012") 1567 Advanced
- 22 16 limit 15 to (clinical trial, all or clinical trial, phase i or clinical trial, phase ii or clinical trial,
- 23 phase iii or clinical trial, phase iv or clinical trial or comparative study or controlled clinical trial
- or evaluation studies or meta analysis or multicenter study or randomized controlled trial or
- 25 "review" or systematic reviews or validation studies) 718 Advanced
- 26 17 exp case-control studies/ or exp cohort studies/ or exp cross-sectional studies/ or exp
- 27 feasibility studies/ or exp intervention studies/ 1480198 Advanced
- 28 18 15 and 17 274 Advanced
- 29 19 16 or 18 873 Advanced
- 30 20 19 not (letter or editorial).pt. 860 Advanced
- 31 21 remove duplicates from 20 851

32

- 33 Embase
- Embase 1988 to 2013 Week 03 # Searches Results Search Type

- 36 1 child development disorders, pervasive/ or exp autistic disorder/ 28064 Advanced
- 37 2 \*child development disorders, pervasive/dt, th or exp \*autistic disorder/dt, th 3093 Advanced
- 38 3 exp Complementary Therapies/ 29886 Advanced
- 39 4 2 and 3 70 Advanced
- 40 5 exp Dietary Supplements/ or exp Vitamins/ 367785 Advanced
- 41 6 \*child development disorders, pervasive/dt or exp \*autistic disorder/dt 1331 Advanced
- 42 7 exp Psychotherapy/ 127816 Advanced
- 43 8 exp case-control studies/ or exp cohort studies/ or exp cross-sectional studies/ or exp feasibility
- studies/ or exp intervention studies/ 346539 Advanced
- 45 9 exp behavior therapy/ 29177 Advanced

- 1 10 \*child development disorders, pervasive/dt, dm, th, rh or exp \*autistic disorder/dt, dm, th, rh
- 3496 Advanced 2
- 3 11 (7 or 9) and 10 1039 Advanced
- 4 12 10 and (skill\* or parent\* or intervention\*).mp. [mp=title, abstract, subject headings, heading
- 5 word, drug trade name, original title, device manufacturer, drug manufacturer, device trade
- 6 name, keyword] 1637 Advanced
- 7 13 4 or 6 or 11 or 12 2898 Advanced
- 8 14 limit 13 to (English language and yr="2000 - 2012") 2207 Advanced
- 9 15 exp comparative study/ or exp controlled study/ or exp feasibility study/ or exp observational
- 10 study/ or exp pilot study/ or exp quasi experimental study/ 4598280 Advanced
- 11 16 exp case control study/ or exp case study/ or exp clinical trial/ or exp "clinical trial (topic)"/ or
- exp intervention study/ or exp longitudinal study/ or exp major clinical study/ or exp prospective 12
- study/ or exp retrospective study/ 2595366 Advanced 13
- 14 17 14 and (15 or 16) 1043 Advanced
- 15 18 14 and (meta-analysis/ or systematic review/) 89 Advanced
- 16 19 17 or 18 1069 Advanced

28

- 17 20 limit 19 to embase 907 Advanced
- 18 21 remove duplicates from 20 903
- Scopus ((TITLE-ABS-KEY((autism OR autistic OR asperger\* OR (pdd AND pervasive))) AND TITLE-ABS-
- KEY(skill\* OR train\* OR intervention\* OR therapy OR aba OR "behavioral analysis" OR "behavioural
- analysis"))) AND (outcome\* OR effective\* OR followup) AND NOT (PMID(1\* OR 2\* OR 3\* OR 4\* OR 5\* OR 6\* OR
- 7\* OR 8\* OR 9\*)) AND (LIMIT-TO(PUBYEAR, 2013) OR LIMIT-TO(PUBYEAR, 2012) OR LIMIT-TO(PUBYEAR,
- 19 20 21 22 23 24 25 26 2011) OR LIMIT-TO(PUBYEAR, 2010) OR LIMIT-TO(PUBYEAR, 2009) OR LIMIT-TO(PUBYEAR, 2008) OR LIMIT-
- TO(PUBYEAR, 2007) OR LIMIT-TO(PUBYEAR, 2006) OR LIMIT-TO(PUBYEAR, 2005) OR LIMIT-TO(PUBYEAR,
- 2004) OR LIMIT-TO(PUBYEAR, 2003) OR LIMIT-TO(PUBYEAR, 2002) OR LIMIT-TO(PUBYEAR, 2001) OR LIMIT-
- TO(PUBYEAR, 2000)) AND (LIMIT-TO(LANGUAGE, "English")) AND (EXCLUDE(DOCTYPE, "ip")) 1952

1 Appendix e-4. Evidence profile tables

2

3 The evidence profile tables are available from the AAN, by request.

1 Appendix e-5. Evidence synthesis tables

3 The evidence synthesis tables are available from the AAN, by request.

1 Appendix e-6. Rationale of factors considered in developing the practice recommendations 2 3 In this appendix, EVID refers to evidence systematically reviewed; RELA to strong evidence 4 derived from related conditions; PRIN to axiomatic principles of care; and INFER to inferences 5 made from one or more statements in the recommendation rationale. 6 7 In the tables that follow, consensus is considered to have been reached if 80% or more of the 8 guideline panel agree on the strength of a given domain. For nonpremise domains, intensity of 9 shading corresponds to the number of panel members who were in agreement (shading of greater 10 intensity indicates a larger number of panel members who reached agreement). The strength of 11 the recommendation is anchored to the strength of the inference. The recommendation strength 12 can be downgraded for any modifier; it can be upgraded only by one level for a moderate to large 13 benefit relative to harm. In addition, domains include the premises and factors on which the 14 recommendations are based. 15 16 PRACTICE RECOMMENDATIONS 17 18 Recommendation 1: Addressing coexisting medical conditions and concomitant 19 medications 20 Rationale 21 Children and adolescents with ASD are at increased risk of co-occurring conditions that 22 contribute to sleep disturbance, such as intellectual disability, sleep apnea, epilepsy, 23 gastrointestinal disturbances (including GERD), depression, anxiety, psychosis, bipolar disorder, 24 and ADHD (PRIN). Children and adolescents with ASD are also more likely to use medications

1	that disrupt normal sleep patterns, such as stimulants, some anticonvulsants and psychotropic
2	medications (PRIN).
3	Statement 1a
4	Clinicians seeking to improve sleep in children and adolescents with ASD should perform an
5	assessment for coexisting conditions that could be contributing to sleep disturbance (Level B).
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### Statement 1b

- 4 Clinicians seeking to improve sleep in children and adolescents with ASD should review
- 5 concomitant medications that could be contributing to sleep disturbance (Level B).

Domain		Consensus			
Rationale is logical	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Evidence statements accurate	< 50%	50% to < 80%	80% to < 100%	100%	N/A
Axioms true	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Related evidence strong and applicable	< 50%	50% to < 80%	80% to < 100%	100%	N/A
Internal inferences logically follow	< 50%	50% to < 80%	80% to < 100%	100%	N/A
Confidence in Inference (and evidence)	Very low	Low	Moderate 10	High	
Benefit relative to harm	Harm ≥ benefit 0	Benefit > harm	Benefit >> harm 2	Benefit >>> harm 14	Yes
Importance of outcomes	Not important or 0	Mildly 1	<b>Very</b> 9	Critically important 7	Yes
Variation in preferences	<b>Large</b> 0	Moderate 1	Modest 5	Minimal 11	Yes
Feasible	<b>Rarely</b> 0	Occasionally 3	<b>Usually</b> 6	Always 8	Yes
Cost relative to net benefit	Very large	<b>Large</b> 0	Moderate 4	Small 13	Yes
Strength of recommendation	R/U	С	В	Α	

Domain		Consensus			
Rationale is logical	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Evidence statements accurate	< 50%	50% to < 80%	80% to < 100%	100%	N/A
Axioms true	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Related evidence strong and applicable	< 50%	50% to < 80%	80% to < 100%	100%	N/A
Internal inferences logically follow	< 50%	50% to < 80%	80% to < 100%	100%	N/A
Confidence in Inference (and evidence)	Very low	Low	Moderate 10	High	
Benefit relative to harm	Harm ≥ benefit 0	Benefit > harm	Benefit >> harm 1	Benefit >>> harm 15	Yes
Importance of outcomes	Not important or 0	Mildly 1	Very 6	Critically important 10	Yes
Variation in preferences	Large O	Moderate 2	Modest 4	Minimal 11	Yes
Feasible	Rarely O	Occasionally 1	Usually 4	Always 12	Yes
Cost relative to net benefit	Very large 0	Large 0	Moderate 2	Small 15	Yes
Strength of recommendation	R/U	С	В	A	

### 2 Statement 1c

- 3 Clinicians seeking to improve sleep in children and adolescents with ASD who have a coexisting
- 4 condition that is contributing to their sleep disturbance should ensure they receive appropriate
- 5 treatment for their coexisting condition (Level B).

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Damaia		Ratii			C
Domain		Consensus			
Rationale is logical	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Evidence statements accurate	< 50%	50% to < 80%	80% to < 100%	100%	N/A
Axioms true	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Related evidence strong and applicable	< 50%	50% to < 80%	80% to < 100%	100%	N/A
Internal inferences logically follow	< 50%	50% to < 80%	80% to < 100%	100%	N/A
Confidence in Inference (and evidence)	Very low	Low	Moderate 10	High	
Benefit relative to harm	Harm ≥ benefit 0	Benefit > harm	Benefit >> harm 2	Benefit >>> harm 14	Yes
Importance of outcomes	Not important or 0	Mildly 1	<b>Very</b> 9	Critically important 7	Yes
Variation in preferences	Large O	Moderate 2	Modest 9	<b>Minimal</b> 6	Yes
Feasible	Rarely O	Occasionally 3	Usually 10	Always 4	Yes
Cost relative to net benefit	Very large O	Large 0	Moderate 14	Small 3	Yes
Strength of recommendation	R/U	С	В	A	

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# 6 Statement 1d

7 Clinicians seeking to improve sleep in children and adolescents with ASD who have medications

X

- 8 that could be contributing to sleep disturbance should address whether the potentially
- 9 contributing medications can be stopped or adjusted (Level B).

Domain			Consensus		
Rationale is logical	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Evidence statements accurate	< 50%	50% to < 80%	80% to < 100%	100%	N/A
Axioms true	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Related evidence strong and applicable	< 50%	50% to < 80%	80% to < 100%	100%	N/A
Internal inferences logically follow	< 50%	50% to < 80%	80% to < 100%	100%	N/A
Confidence in Inference (and evidence)	Very low	Low	Moderate 10	High	
Benefit relative to harm	Harm ≥ benefit 0	Benefit > harm	Benefit >> harm	Benefit >>> harm	Yes
Importance of outcomes	Not important or 0	Mildly	<b>Very</b> 9	Critically important	Yes
Variation in preferences	<b>Large</b> 0	Moderate 3	Modest	Minimal 4	Yes
Feasible	Rarely 0	Occasionally 2	<b>Usually</b> 9	<b>Always</b> 6	Yes
Cost relative to net benefit	Very large	<b>Large</b> 0	Moderate 10	Small 7	Yes
Strength of recommendation	R/U	С	В	A	

## **Recommendation 2: Behavioral strategies**

3 Rationale

1

- 4 Environment and family factors, including child-rearing practices and bedtime routines that are
- 5 not conducive to good sleep, contribute to sleep disturbance in children with ASD.<sup>49</sup> Although
- 6 robust evidence for parental education and behavioral strategies to improve sleep in children and
- 7 adolescents with ASD is lacking, suggested approaches include:
- unmodified extinction: parents impose a set bedtime and wake-up time and ignore protest
   behavior that occurs after the bedtime and before the wake-up time
- graduated extinction: parents ignore bedtime resistance for specified periods that are
- fixed or get progressively longer and then respond without reinforcing the resistant
- behavior (i.e., brief and boring verbal reassurance)

- positive routines: parents develop and strictly adhere to regular pre-bed calming rituals,
- 2 and
- bedtime fading: parents put their child to bed close to the time the child begins to fall
- 4 asleep.<sup>21</sup>
- 5 In addition, this systematic review shown that family-based CBT with or without melatonin
- 6 improves several aspects of sleep (EVID). In the study, families attended four weekly 50-minute
- 7 sessions of CBT, where parents/caregivers received education and instruction on how to modify
- 8 behavior regarding sleep and were required to complete homework practicing strategies, and
- 9 then twice-monthly maintenance sessions over the 12 study weeks.<sup>29</sup> As a general tenet of
- 10 pediatric practice, behavioral strategies are the preferred first treatment option before initiation of
- pharmacologic approaches (PRIN). Successful application of behavioral approaches will require
- 12 knowledgeable clinicians who can teach parents appropriate techniques and that parents
- implement the techniques consistently despite discomforts and challenges associated with
- behavioral modification (INFER).
- 15 Statement 2
- 16 Clinicians seeking to improve sleep function in children and adolescents with ASD should
- 17 counsel parents or guardians regarding strategies for improved sleep habits, with behavioral
- strategies as a first-line treatment approach either alone or in combination with pharmacologic or
- 19 nutraceutical approaches, depending on individual circumstances (Level B).

Domain			Consensus		
Rationale is logical	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Evidence statements accurate	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Axioms true	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Related evidence strong and applicable	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Internal inferences logically follow	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Confidence in Inference (and evidence)	Very low	Low	Moderate 10	High	
Benefit relative to harm	Harm ≥ benefit 0	Benefit > harm 0	Benefit >> harm 3	Benefit >>> harm 13	Yes
Importance of outcomes	Not important or 0	Mildly 0	Very 12	Critically 4	Yes
Variation in preferences	Large O	Moderate 4	Modest 10	Minimal 2	Yes
Feasible	Rarely O	Occasionally 2	Usually 12	Always 2	Yes
Cost relative to net benefit	Very large 0	Large O	Moderate 9	Small 7	Yes
Strength of recommendation	R/U	С	В	A	

### **Recommendation 3: Melatonin**

### 3 Rationale

1

- 4 When managing coexisting conditions and adopting behavioral strategies are unsuccessful at
- 5 improving sleep of children and adolescents with ASD, pharmacologic strategies are an
- 6 additional treatment approach (PRIN). There is low to moderate confidence that melatonin
- 7 improves various aspects of sleep in children and adolescents with ASD (EVID). In the studies
- 8 included in the systematic review, pharmaceutical-grade melatonin preparations were used and
- 9 the exact administration amounts ascertained (EVID). One study used prolonged-release
- melatonin up to 10 mg/d, one used 3 mg of prolonged-release melatonin, and one started 2 mg of
- immediate-release melatonin with titration to effect up to 10 mg (modal dose 7 mg). <sup>29, 31, 32</sup> In
- practice, variable concentrations of melatonin are found in OTC preparations, <sup>43</sup> such that
- melatonin obtained by prescription is more representative of what was used in studies than OTC

1 forms (INFER). Melatonin is generally administered 30–60 minutes before bedtime (RELA).<sup>50</sup>

2 Because immediate-release melatonin has a short half-life (40 minutes), it is assumed that the

immediate-release formulations are more helpful for sleep onset insomnia and controlled-release

4 forms more helpful for sleep maintenance (PRIN).

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6 No study in the systematic review reported serious AEs (EVID). Adverse events reported with

7 melatonin include morning drowsiness, increased enuresis, headache, dizziness, diarrhea, rash,

8 and hypothermia (RELA). 44-47 Melatonin is currently used safely as neuroprotection in preterm

infants (RELA),<sup>51</sup> suggesting that it may also be safe in other pediatric populations (INFER), but

long-term safety data are lacking for all pediatric populations. Possible long-term AEs are of

particular concern given melatonin's ability to suppress the hypothalamic-gonadal axis and

potentially initiate precocious puberty (RELA).<sup>52</sup> Risk associated with melatonin use in ASD

should be weighed against the harms of persistently disordered sleep for individuals with ASD

and their families (PRIN). It is axiomatic of good care that use of any behavioral or medical

treatment be periodically reevaluated to ensure that there is continued benefit and no new AEs

16 (PRIN).

Statement 3a

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Clinicians should offer melatonin to children and adolescents with ASD if behavioral strategies

have not been helpful and contributing coexisting conditions and use of concomitant medications

21 have been addressed (Level B).

Domain		Consensus			
Rationale is logical	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Evidence statements accurate	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Axioms true	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Related evidence strong and applicable	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Internal inferences logically follow	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Confidence in Inference (and evidence)	Very low	Low	Moderate 10	High	
Benefit relative to harm	Harm ≥ benefit 0	Benefit > harm 0	Benefit >> harm 6	Benefit >>> harm 10	Yes
Importance of outcomes	Not important or 0	Mildly 0	Very 11	Critically 5	Yes
Variation in preferences	Large 0	Moderate 1	Modest 8	Minimal 7	Yes
Feasible	Rarely 0	Occasionally 1	Usually 9	Always 6	Yes
Cost relative to net benefit	Very large 0	Large 0	Moderate 10	Small 6	Yes
Strength of recommendation	R/U	С	В	A	

# 2 Statement 3b

- 3 Clinicians offering melatonin for sleep disturbance in children and adolescents with ASD should
- 4 write a prescription for melatonin or recommend using a high-purity pharmaceutical grade of
- 5 melatonin when available (Level B).

Domain		Consensus			
Rationale is logical	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Evidence statements accurate	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Axioms true	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Related evidence strong and applicable	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Internal inferences logically follow	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Confidence in Inference (and evidence)	Very low	Low	Moderate 10	High	
Benefit relative to harm	Harm ≥ benefit 1	Benefit > harm	Benefit >> harm 7	Benefit >>> harm 7	Yes
Importance of outcomes	Not important or 2	Mildly 0	Very 11	Critically 3	Yes
Variation in preferences	Large 0	Moderate 2	Modest 7	Minimal 7	Yes
Feasible	Rarely 0	Occasionally 3	Usually 11	Always 2	Yes
Cost relative to net benefit	Very large 0	Large 1	Moderate 11	Small 4	Yes
Strength of recommendation	R/U	С	В	A	

## 2 Statement 3c

- 3 Clinicians offering melatonin for sleep dysregulation in children and adolescents with ASD
- 4 should start by initiating a low dose (1–3 mg/d), 30–60 minutes before bedtime, and titrate to
- 5 effect, not exceeding 10 mg/d (Level B)

Domain		Consensus			
Rationale is logical	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Evidence statements accurate	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Axioms true	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Related evidence strong and applicable	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Internal inferences logically follow	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Confidence in Inference (and evidence)	Very low	Low	Moderate 10	High	
Benefit relative to harm	Harm ≥ benefit 1	Benefit > harm 0	Benefit >> harm 3	Benefit >>> harm 12	Yes
Importance of outcomes	Not important or 1	Mildly 0	Very 9	Critically 6	Yes
Variation in preferences	Large 0	Moderate 1	Modest 6	Minimal 9	Yes
Feasible	Rarely 0	Occasionally 1	Usually 8	Always 7	Yes
Cost relative to net benefit	Very large 0	Large 0	Moderate 9	Small 7	Yes
Strength of recommendation	R/U	С	В	A	

## 2 Statement 3d

- 3 Clinicians offering melatonin for sleep disturbance in children and adolescents with ASD should
- 4 counsel children and adolescents with ASD and sleep disturbance (as appropriate) and their
- 5 parents regarding potential AEs of melatonin use and the lack of long-term safety data (Level B).

Domain		Rati	ng		Consensus
Rationale is logical	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Evidence statements accurate	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Axioms true	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Related evidence strong and applicable	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Internal inferences logically follow	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Confidence in Inference (and evidence)	Very low	Low	Moderate 10	High	
Benefit relative to harm	Harm ≥ benefit 0	Benefit > harm 0	Benefit >> harm 2	Benefit >>> harm 14	Yes
Importance of outcomes	Not important or 0	Mildly 0	Very 7	Critically 9	Yes
Variation in preferences	Large 0	Moderate 0	Modest 5	Minimal 11	Yes
Feasible	Rarely 0	Occasionally 0	Usually 1	Always 15	Yes
Cost relative to net benefit	Very large 0	Large 0	Moderate 2	Small 14	Yes
Strength of recommendation	R/U	С	В	A	

### 2 Recommendation 4: CAM Approaches

3 Rationale

- 4 Families of children and adolescents with ASD are often interested in CAM approaches (PRIN).
- 5 The systematic review identified that STS mattress technology possibly results in higher SE over
- 6 2 weeks but possibly fails to improve SOL, WASO, or TST (EVID). Weighted blankets possibly
- fail to improve SOL, SE, WASO, night awakenings, TST, and daytime behavior over 2 weeks
- 8 (EVID). No high-quality studies of other CAM approaches were identified (EVID). Adverse
- 9 events were not described in the STS mattress study. The only AE in the weighted blanket study
- was a 2-day skin rash on one child that might have been blanket related (EVID). Weighted
- blankets vary in approach to production (PRIN); in the available study, weighted blankets were
- 12 chosen to avoid extreme thickness and weighed 2.25 kg (small) or 4.5 kg (large) by using 3-mm
- steel shot pellets embedded evenly throughout the blanket (EVID).

- 1 Statement 4a
- 2 Clinicians should counsel children and adolescents with ASD and sleep disturbance (as
- 3 appropriate) and their parents that there is currently no evidence to support the routine use of
- 4 weighted blankets or specialized mattress technology for improving disrupted sleep (Level B).

Domain		Consensus			
Rationale is logical	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Evidence statements accurate	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Axioms true	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Related evidence strong and applicable	< 50%	50% to < 80%	80% to < 100%	100%	N/A
Internal inferences logically follow	< 50%	50% to < 80%	80% to < 100%	100%	N/A
Confidence in Inference (and evidence)	Very low	Low	Moderate	High 10	
Benefit relative to harm	Harm ≥ benefit 0	Benefit > harm	Benefit >> harm 5	Benefit >>> harm 10	Yes
Importance of outcomes	Not important or 0	Mildly 2	Very 11	Critically 3	Yes
Variation in preferences	Large 0	Moderate 2	Modest 12	Minimal 2	Yes
Feasible	Rarely O	Occasionally 2	Usually 6	Always 8	Yes
Cost relative to net benefit	Very large 0	Large 1	Moderate 5	Small 10	Yes
Strength of recommendation	R/U	С	В	A	

6 Statement 4b

- 7 Although evidence of efficacy is lacking, clinicians should counsel children and adolescents with
- 8 ASD and sleep disturbance (as appropriate) and their parents asking about weighted blankets that
- 9 the reviewed trial reported no serious AEs with blanket use and that blankets could be a
- reasonable nonpharmacologic approach to try for some individuals (Level B).

Domain		Ratii	ng		Consensus
Rationale is logical	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Evidence statements accurate	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Axioms true	< 50%	50% to < 80%	80% to < 100%	100%	Yes
Related evidence strong and applicable	< 50%	50% to < 80%	80% to < 100%	100%	N/A
Internal inferences logically follow	< 50%	50% to < 80%	80% to < 100%	100%	N/A
Confidence in Inference (and evidence)	Very low	Low	Moderate 10	High	
Benefit relative to harm	Harm ≥ benefit 1	Benefit > harm 1	Benefit >> harm 10	Benefit >>> harm 4	Yes
Importance of outcomes	Not important or 1	Mildly 4	Very 9	Critically 2	Yes
Variation in preferences	Large 1	Moderate 4	Modest 6	Minimal 5	No
Feasible	Rarely 0	Occasionally 3	Usually 9	Always 4	Yes
Cost relative to net benefit	Very large 1	Large 4	Moderate 8	Small 3	No
Strength of recommendation	R/U	С	В	A	

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