## 990 **990**

#### **Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

OMB No. 1545-0047

Open to Public

Department of the Treasury

▶ Do not enter social security numbers on this form as it may be made public.

Internal Revenue Service ▶ Go to www.irs.gov/Form990 for instructions and the latest information. Inspection For the 2020 calendar year, or tax year beginning 01/01 2020, and ending 12/31 , 20 20 C Name of organization AMERICAN ACADEMY OF NEUROLOGY D Employer identification number Check if applicable: R Doing business as 61-1532062 Address change Number and street (or P.O. box if mail is not delivered to street address) E Telephone number Name change Room/suite 201 Chicago Avenue 612-928-6100 Initial return Final return/terminated City or town, state or province, country, and ZIP or foreign postal code Minneapolis, MN, 55415 G Gross receipts \$ 40,403,636 Amended return **H(a)** Is this a group return for subordinates? ☐ **Yes** ✓ **No** Application pending F Name and address of principal officer: Mary Post 201 Chicago Avenue, Minneapolis, MN 55415 **H(b)** Are all subordinates included? Yes No Tax-exempt status: 501(c)(3) 4947(a)(1) or **v** 501(c) ( ) ◀ (insert no.) If "No." attach a list. See instructions 6 Website: ► www.aan.com **H(c)** Group exemption number ▶ Form of organization: Corporation Trust Association L Year of formation: 2007 M State of legal domicile: Part I **Summary** 1 Briefly describe the organization's mission or most significant activities: The American Academy of Neurology (AAN) exists for the benefit of its members, to do the things collectively that would be nearly, if not completely, impossible to do individually. Activities & Governance (Continued on Schedule O, Statement 2) 2 Check this box ▶ ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets. 3 Number of voting members of the governing body (Part VI, line 1a) . . . . . 19 4 Number of independent voting members of the governing body (Part VI, line 1b) 4 16 5 Total number of individuals employed in calendar year 2020 (Part V, line 2a) 5 215 6 6 1,448 Total unrelated business revenue from Part VIII, column (C), line 12 7a 7a 678,167 Net unrelated business taxable income from Form 990-T, Part I, line 11 7b 86,437 **Prior Year Current Year** 8 Contributions and grants (Part VIII, line 1h) . . . . . . 5,000 2,000 Revenue 9 Program service revenue (Part VIII, line 2g) 38,448,067 39,529,429 10 Investment income (Part VIII, column (A), lines 3, 4, and 7d) . . . . 1,639,630 771.277 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) . . . 11 57,097 87,589 12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12) 40.149.794 40,390,295 13 Grants and similar amounts paid (Part IX, column (A), lines 1-3) . . . . . 0 14 Benefits paid to or for members (Part IX, column (A), line 4) . . . . 0 0 15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) 22,399,812 24,421,670 Professional fundraising fees (Part IX, column (A), line 11e) . . . . . . 16a 0 0 Total fundraising expenses (Part IX, column (D), line 25) ▶ b 17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) 14,769,990 14,315,591 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25) 18 37,169,802 38,737,261 19 Revenue less expenses. Subtract line 18 from line 12 . . . . . 2,979,992 1,653,034 Assets or designation of designation of the designa **Beginning of Current Year End of Year** 20 Total assets (Part X, line 16) 26,791,349 34,221,511 21 Total liabilities (Part X, line 26) . 18,600,440 24,377,568 22 Net assets or fund balances. Subtract line 21 from line 20 8,190,909 9,843,943 Signature Block Part II Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge. Sign Signature of officer Date Here Kevin Myren, Chief Financial Officer Type or print name and title Print/Type preparer's name Preparer's signature Date PTIN Check | if **Paid** self-employed **Preparer** Firm's name Firm's EIN ▶ Use Only Firm's address ▶ Phone no.

Yes

May the IRS discuss this return with the preparer shown above? See instructions

Form 990 (2020) Page **2** 

Part	Statement of Program Service Accomplishments  Check if Schedule O contains a response or note to any line in this Part III
1	Briefly describe the organization's mission:
	The Academy's mission is to promote the highest quality patient-centered neurologic care and enhance member career satisfaction.
2	Did the organization undertake any significant program services during the year which were not listed on the
	prior Form 990 or 990-EZ?
3	Did the organization cease conducting, or make significant changes in how it conducts, any program services?
4	Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others the total expenses, and revenue, if any, for each program service reported.
4a	(Code:) (Expenses \$o including grants of \$o) (Revenue \$o)
	MEMBERSHIP: This was another record year for AAN membership recruitment and retention. Neurologists and neuroscience
	professionals from around the world now make up more than 36,000 AAN members, and the Academy can claim to be home to
	nearly 93 percent of US neurologists. Successful year-over-year recruitment and retention of members is attributed to the AAN's
	ongoing commitment to grow the available resources for neurologists as well as the various members of a neurology care team no
	matter their practice setting, career stage, or geographic location. This success also underscores that members feel the AAN
	provided tremendous value throughout the disruptive COVID-19 pandemic. Neurologists prize their memberships with the AAN
	and the AAN applied 81 cents of every dollar to member benefits. The Advanced Practice Provider membership category saw
	continued record growth and interest, with 1,662 members in 2020. The category has grown significantly in recent years; in 2014,
	there were just 228 advanced practice provider members. The AAN had another strong year with 191 approved applications for
	new Fellow of the AAN status in 2020. Fellow status acknowledges exemplary work and achievements in the neurosciences, the
	clinical practice of neurology or academic/administrative neurology, in the AAN, and in the community. To assist members during
	(Continued on Schedule O, Statement 3)
4b	(Code:) (Expenses \$0 including grants of \$0) (Revenue \$0)
	PUBLICATIONS: The publication of scientific, scholarly, and practice-related content is a vital part of the AAN's activities, and
	essential to the careers of our members. The AAN's publications also provide an opportunity to generate revenue that helps keep
	membership dues low. Founded in 1951, Neurology(R) is the official scientific journal of the AAN, and subscriptions are a benefit
	of membership. As the leading clinical neurology journal worldwide, Neurology is directed to physicians concerned with diseases
	and conditions of the brain and nervous system. José G. Merino, MD, MPhil, FAHA, FAAN, was selected to become editor-in-chief
	in April 2020. Major accomplishments this year include achievement of gender parity in the core editorial team and the editorial
	board. Neurology experienced an increase in submissions from 5,362 in 2019 to 7,548 papers in 2020; Resident & Fellow
	submissions increased from 815 in 2019 to 1,133 in 2020. Much of the increases can be attributed to manuscripts related to
	neurologic manifestations of COVID-19. The journal launched a specialty site "COVID-19" to handle additional commentaries and
	blog entries detailing experiences of neurologists throughout the world during the pandemic. The Neurology(R) Podcast broadcast
	17 Special Podcasts on COVID-19; the podcast program enjoyed more than 976,000 downloads during 2020. International
	(Continued on Schedule O, Statement 4)
4c	(Code:) (Expenses \$0 including grants of \$0) (Revenue \$0)
	TRAINING, TOOLS and RESOURCES: Academy members and staff fervently advocated in Washington, DC, for assistance for
	neurologists because of the pandemic. As relief rolled out from Congress, the Academy provided members with helpful webinars
	and resources to understand the Small Business Paycheck Protection Program, Economic Injury Disaster Loan Program, and
	other opportunities. As lockdowns lifted, members needed guidance to safely reopen their neurology practices, and the AAN
	published webinars and articles to help show the way to safely expand in-person visits and services. For many neurologists,
	telemedicine became a vital tool in connecting with their patients. Telehealth was new for many of our members, and AAN staff
	and member volunteers quickly developed a webinar on how to set up telemedicine in practice. The recorded webinar continues to
	provide value as it has been viewed more than 13,600 times. When members continued to have difficulties procuring essential
	personal protective equipment, the AAN helped connect them with a broker through Project N95 that provided access to highly
	discounted masks, shields, and gowns. Prior to the onset of pandemic lockdowns, the AAN held its 18th annual Neurology on the
	(Continued on Schedule O, Statement 5)
4d	Other program services (Describe on Schedule O.)
	(Expenses \$ 0 including grants of \$ 0) (Revenue \$ 0)
4e	Total program service expenses ► 0

Part I	Checklist of Required Schedules			
			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	1		_
2	Is the organization required to complete Schedule B, Schedule of Contributors See instructions?	2		1
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If</i> "Yes," <i>complete Schedule C, Part I</i>	3	,	
4	<b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If</i> "Yes," <i>complete Schedule C, Part II</i>	4	•	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues,			
6	assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III  Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If	5	<i>'</i>	
_	"Yes," complete Schedule D, Part I	6		~
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7		~
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If</i> "Yes," complete Schedule D, Part III	8		~
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>	9		,
10	Did the organization, directly or through a related organization, hold assets in donor-restricted endowments or in quasi endowments? If "Yes," complete Schedule D, Part V	10		,
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VIII, IX, or X as applicable.			
а	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	11a	,	
b	Did the organization report an amount for investments—other securities in Part X, line 12, that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	11b		,
С	Did the organization report an amount for investments—program related in Part X, line 13, that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c		,
d	Did the organization report an amount for other assets in Part X, line 15, that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX	11d		_
е	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	11e	~	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If</i> "Yes," complete Schedule D, Part X	11f	,	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII	12a		,
b	Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b	,	
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13		~
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		~
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate			
15	foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or	14b	•	
16	for any foreign organization? If "Yes," complete Schedule F, Parts II and IV	15	<i>'</i>	
17	assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV.</i>	16		~
18	Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i> See instructions	17		~
19	Part VIII, lines 1c and 8a? <i>If</i> "Yes," <i>complete Schedule G, Part II</i>	18		~
.0	If "Yes," complete Schedule G, Part III	19		1
20a	Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a		~
	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? .	20b		
21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or	21		

Part	Checklist of Required Schedules (continued)			
			Yes	No
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22		•
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	23	~	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a	24a		,
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
С	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I	25b		
26	Did the organization report any amount on Part X, line 5 or 22, for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part II</i>	26		~
27	Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? If "Yes," complete Schedule L, Part III	27		V
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions, for applicable filing thresholds, conditions, and exceptions):			
а	A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? <i>If</i> "Yes," complete Schedule L, Part IV	28a		~
b	A family member of any individual described in line 28a? If "Yes," complete Schedule L, Part IV	28b		~
С	A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b? If "Yes," complete Schedule L, Part IV	28c		~
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29		~
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30		~
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31		<b>/</b>
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II	32		~
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If</i> "Yes," <i>complete Schedule R, Part I</i>	33		~
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1	34	~	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	<b>'</b>	
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b	~	
36	<b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2 </i>	36		
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37		~
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? <b>Note:</b> All Form 990 filers are required to complete Schedule O.	38	~	
Part				
	Check if Schedule O contains a response or note to any line in this Part V		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable   1a   171		.03	.40
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable			
С	Did the organization comply with backup withholding rules for reportable payments to vendors and			
	reportable gaming (gambling) winnings to prize winners?	1c	~	

Part '	V Statements Regarding Other IRS Filings and Tax Compliance (continued)			
			Yes	No
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax			
	Statements, filed for the calendar year ending with or within the year covered by this return 2a 215			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? .	2b	~	
	<b>Note:</b> If the sum of lines 1a and 2a is greater than 250, you may be required to <i>e-file</i> (see instructions)			
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	~	
b	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation on Schedule O .	3b	~	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over,			
	a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a		~
b	If "Yes," enter the name of the foreign country ▶			
	See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).			
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		~
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		~
С	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	5с		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the			
	organization solicit any contributions that were not tax deductible as charitable contributions?	6a	~	
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or			
	gifts were not tax deductible?	6b	~	
7	Organizations that may receive deductible contributions under section 170(c).			
а	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods			
	and services provided to the payor?	7a		
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b		
С	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was			
	required to file Form 8282?	7с		
d	If "Yes," indicate the number of Forms 8282 filed during the year			
е	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? .	7f		
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h		
8	<b>Sponsoring organizations maintaining donor advised funds.</b> Did a donor advised fund maintained by the			
^	sponsoring organization have excess business holdings at any time during the year?	8		
9	Sponsoring organizations maintaining donor advised funds.	0-		
a	Did the sponsoring organization make any taxable distributions under section 4966?	9a		
b 10	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person? Section 501(c)(7) organizations. Enter:	9b		
10				
a b	·	-		
11	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities . <b>10b Section 501(c)(12) organizations.</b> Enter:	-		
	Gross income from members or shareholders			
	Gross income from other sources (Do not net amounts due or paid to other sources	-		
D	against amounts due or received from them.)			
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
	If "Yes," enter the amount of tax-exempt interest received or accrued during the year   12b			
13	Section 501(c)(29) qualified nonprofit health insurance issuers.	-		
а	Is the organization licensed to issue qualified health plans in more than one state?	13a		
	<b>Note:</b> See the instructions for additional information the organization must report on Schedule O.			
b	Enter the amount of reserves the organization is required to maintain by the states in which			
~	the organization is licensed to issue qualified health plans			
С	Enter the amount of reserves on hand			
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a		~
	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation on Schedule O.	14b		
15	Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or			
	excess parachute payment(s) during the year?	15		~
	If "Yes," see instructions and file Form 4720, Schedule N.			
16	Is the organization an educational institution subject to the section 4968 excise tax on net investment income?	16		~
	If "Yes," complete Form 4720, Schedule O.			

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Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No"

response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes on Schedule O. See instructions. Section A. Governing Body and Management Yes No 1a Enter the number of voting members of the governing body at the end of the tax year . . . 1a 19 If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain on Schedule O. Enter the number of voting members included on line 1a, above, who are independent . 1b 16 2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with 2 1 Did the organization delegate control over management duties customarily performed by or under the direct 3 3 supervision of officers, directors, trustees, or key employees to a management company or other person? . 4 4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? 5 Did the organization become aware during the year of a significant diversion of the organization's assets? . 5 6 6 ~ Did the organization have members, stockholders, or other persons who had the power to elect or appoint 7a Are any governance decisions of the organization reserved to (or subject to approval by) members, 7b 8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following: 8b Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses on Schedule O . . . . . Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.) Yes No 10a **b** If "Yes," did the organization have written policies and procedures governing the activities of such chapters. affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? 10b 11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? 11a **b** Describe in Schedule O the process, if any, used by the organization to review this Form 990. **12a** Did the organization have a written conflict of interest policy? *If "No," go to line 13* 12a ~ Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? 12b Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," 12c 13 Did the organization have a written whistleblower policy? . . . . . . . . . . . . 13 14 14 Did the organization have a written document retention and destruction policy? Did the process for determining compensation of the following persons include a review and approval by 15 independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? The organization's CEO, Executive Director, or top management official . . . . . . . . . . . . . . . 15a 1 15b If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions). 16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement 16a 1 If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the Section C. Disclosure List the states with which a copy of this Form 990 is required to be filed ► MN 17 Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A, if applicable), 990, and 990-T (Section 501(c) 18 (3)s only) available for public inspection. Indicate how you made these available. Check all that apply. Own website Another's website ☐ Upon request Other (explain on Schedule O) Describe on Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, 19 and financial statements available to the public during the tax year. 20 State the name, address, and telephone number of the person who possesses the organization's books and records Kevin C Myren CPA, (612)928-6023

Part VI

Form 990 (2020)

# Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII . . . . . . . . . . . . . . . . .

#### Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

**1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
  - List all of the organization's current key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations. See instructions for the order in which to list the persons above.

☐ Check this box if neither the organization no	•		aniz	atic	n c	ompe	nsa	ated any current	officer, director,	or trustee.
	(C)									
(A)	(B)	(do n	ot of		sition		ano	(D)	(E)	(F)
Name and title	Average	(do not check more than one box, unless person is both an				is both	n an	Reportable	Reportable	Estimated amount
	hours per week			nd a director/t				compensation from the	compensation from related	of other compensation
	(list any	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest co	Former	organization	organizations	from the
	hours for related	/idua	ttic	ĕ	emp	loye	ner	(W-2/1099-MISC)	(W-2/1099-MISC)	organization and related organizations
	organizations	al tr	nal		oloye	eom				Ŭ
	below dotted line)	ıste	trus		ф	pens				
	,		[ee			Highest compensated employee				
Catherine M Rydell	41.00									
Chief Executive Officer Emeritus January-June	4.00			~				571,525	0	31,721
Christine E Phelps	13.00									
Deputy Executive Director, AANI	35.00				~			408,614	0	46,533
Mary E Post	54.00									
Chief Executive Officer March - December	4.00			~				406,167	0	47,429
Bruce Levi	46.00									
Chief Legal and Strategy Officer	0.00				~			397,043	0	46,533
Jason Kopinski	29.00									
Deputy Executive Director/Chief Operating Officer	14.00				~			389,265	0	53,264
John Hutchins	28.00									
General Counsel	25.00				~			294,418	0	49,347
Angela Babb	48.00									
Chief Communications and Membership Officer	5.00				~			273,502	0	48,143
Timothy J Engel	26.00									
Chief Financial Officer January - August	11.00			~				287,114	0	23,524
Deanna Ekholm	49.00									
Chief Human Resource Officer	0.00				~			284,867	0	22,807
Derek Brandt	43.00	1								
Director Congressional Affairs	0.00					~		191,811	0	33,323
Amy Kaloides	44.00									
Senior Director, Advocacy	1.00					~		167,036	0	40,379
Kristine Fridgen	2.00								_	
Deputy Chief, CESC	44.00					~		182,017	0	25,307
Daniel Spirn	42.00	-						400 515		05
Senior Regulatory Counsel	0.00					~		180,018	0	25,781
Christopher M Keran	40.00	-						4/0 700	_	20.7:-
Senior Director, Member Insights	3.00					~		160,783	0	39,740

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# Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

								T		Ι
					C)					
(A)	(B)	(do r	not ch	Position check more than one ess person is both an			one	(D)	(E)	(F)
Name and title	Average hours	box,	unles				n an	Reportable compensation	Reportable	Estimated amount of other
	per week		_	_	_	or/trust		from the	compensation from related	compensation
	(list any	Individual trustee or director	Institutional trustee	Officer	Key employee	High	Former	organization	organizations	from the
	hours for related	/idu	tutic	ĕ	emp	loye	ner	(W-2/1099-MISC)	(W-2/1099-MISC)	organization and related organizations
	organizations	or en	nal		oloy	com				
	below dotted line)	uste	trus		ee	pen				
	dottod iirio)	Ф	tee			Highest compensated employee				
James C Stevens MD FAAN	15.00									
President	15.00	~		~				191,908	0	0
Christopher Becker	45.00							,		
Chief Business Innovation Officer	5.00	1			1			164,479	0	13,894
Orly Avitzur MD MBA FAAN	2.00									
President Elect	2.00	~		~				165,616	0	0
Kevin C Myren	51.00									
Chief Financial Officer August - December	0.00			~				90,877	0	9,832
Ann H Tilton MD FAAN	1.50									
Vice President	1.50	~		~				30,000	0	0
Gregory D Cascino MD FAAN	2.00									
Director		~						5,965	0	0
Charlene Gamaldo MD FAAN	1.00									
Director	1.00	~						2,500	0	0
Elaine C Jones MD FAAN	1.00									
Director	1.00	~						1,146	0	0
Ralph L Sacco MD FAAN	1.50									
Past President	1.50	~		~				0	0	0
Janis Miyasaki MD MeD FAAN	3.00									
Treasurer	3.00	~		~				0	0	0
Carlayne E Jackson MD FAAN	1.50									
Secretary	1.50	~		~				0	0	0
Brenda Banwell MD FAAN	1.50									
Director	1.50	~						0	0	0
Sarah M Benish MD FAAN	1.00									
Director	1.00	~						0	0	0
James N Goldenberg MD FAAN	2.00	_								
Director	2.00	~						0	0	0

Par	Section A. Officers, Directors,	rustees,	ney i	EM	pio	yee	s, an	a r	ignest Compe	nsated E	mpio	yees (c	onunu	iea)
	(4)	(D)				<b>C)</b> sition			(0)	<b>(</b> E)			<b>(</b> 5)	
	<b>(A)</b> Name and title	(B) Average	,		heck	k more than or person is both			( <b>D</b> ) Reportable	(E) Reportable	able	Estima	( <b>F)</b> ted amou	unt
		hours per week			d a c	direct	or/trust	tee)	compensation	compens from rela			other pensation	1
		(list any	Individual trustee or director	Instit	Officer	Key employee	High empl	Former	organization (W-2/1099-MISC)	organiza	tions	fro	m the	
		hours for related	rectc	Lution	ě	emp	est c loyee	Ter	(W-2/1099-WISC)	(W-2/1099	-IVIISC)	related of	zation ar organizat	
		organizations below	T trug	nal tr		loyee	omp							
		dotted line)	stee	Institutional trustee			Highest compensated employee							
Nicho	olas E Johnson MD	2.00					ed							
Direc		2.00	~						0		0			0
	than P Hosey MD FAAN	1.50												
Direc		1.50	~						0		0			0
Shan	non M Kilgore MD FAAN	1.50												
Direc	tor	1.50	~						0		0			0
Brett	M Kissela MD MS FAAN	1.00												
Direc	tor	1.00	~						0		0			0
Brad	C Klein MD MBA FAAN	2.00												
Direc		0.00	~		-				0		0			0
	G Merino MD MPhil FAAN	1.00												•
Direc	tor nas R Vidic MD FAAN	1.00	~						0		0			0
Direc		1.50 1.50	-						0		0			0
Direc	toi	1.50	_								-			
								L						
1b	Subtotal			•	•	•		<b>•</b>	4,846,671		0		557,	557
c d	Total (add lines 1b and 1c)	•		•	•	•			4.04/ /71					
	Total (add lines 1b and 1c)							2) 144	4,846,671	a than \$1(	0 000	of	557,	551
2	reportable compensation from the organi		ו טו נו	1056	5 115	ieu	above	3) VV	55	e man φn	30,000	Oi		
	reportable compensation from the organi	Zationi											Yes	No
3	Did the organization list any former of	officer, dire	ector.	tru	ıste	e. k	ev e	lam	lovee, or highes	t compe	nsated			
	employee on line 1a? If "Yes," complete											3		~
4	For any individual listed on line 1a, is the	sum of re	porta	ble	con	npe	nsatio	n a	and other compe	nsation fro	om the			
	organization and related organizations													
	individual											4	~	
5	Did any person listed on line 1a receive of		•				,		•					
	for services rendered to the organization	? If "Yes," c	compi	ete	Sch	hedi	ule J t	for s	such person .			5		<u> </u>
	ion B. Independent Contractors													
1	Complete this table for your five high compensation from the organization. Report												,	
	<b>(A)</b> Name and business add	ress							(B) Description of serv	rices	(	( <b>C</b> ) Compens	ation	
Amer	ican Academy of Neurology Institute, 201 Chi	icago Aveni	ue, Mi	nne	apo	lis, I	VIN 55	Ac	cess facilities/equ	ipment			1,663,	454
	Printers, 645 Olive Street, Saint Paul, MN 551								inting jobs				163,	666
Curti	s 1000, 5601 East River Rd, Fridley, MN 55432							Pri	inting AANnews p	ublicatior			164,	167
Omni	Shoreham Hotel, 2500 Calvert St NW, Washii	naton, DC 2	0008					Ho	spitality Services	T			211	118

Medstar Georgetown University Hospital, 3800 Reservoir Rd NW 7PHC, Washington, Reimbursement for NJ Editor

received more than \$100,000 of compensation from the organization ▶

Total number of independent contractors (including but not limited to those listed above) who

13

174,000

## Part VIII Statement of Revenue

		Check if Schedule	Осо	ntains a re	spon	se or note to ar	ny line in this Pa	rt VIII		🗌
							(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512–514
ts ts	1a	Federated campaig	ns .		1a	0				
Contributions, Gifts, Grants and Other Similar Amounts	b	Membership dues			1b	0				
۾ ۾	С	Fundraising events			1c	0				
r A	d	Related organization	ns .		1d	0				
اءً ۾	е	Government grants	(cont	ributions)	1e	0				
Sin	f	All other contribution	ns, gi	fts, grants,						
e E		and similar amounts no	ot incl	uded above	1f	2,000				
들 된	g	g Noncash contributions included in								
ont od (					1g	\$ 0				
क ठ	h	Total. Add lines 1a-	-1f .			<u> </u>	2,000			
_						Business Code				
<u>i</u>	2a	Publishing				511120	16,402,287	15,724,120	678,167	0
e S	b	Salaries and Benefit	S			561000	10,621,551	10,621,551	0	0
en S	С	Membership Dues				900099	8,841,624	8,841,624	0	0
gram Ser Revenue	d	Reimbursement of A	dmin	Expenses		561000	3,398,572	3,398,572	0	0
Program Service Revenue	е	Conferences				541900	48,346	48,346	0	0
ፈ	f	All other program se					217,049	217,049	0	0
	g	Total. Add lines 2a-					39,529,429			
	3	Investment income								
	_	other similar amoun					771,277	771,277	0	0
	4	Income from investr			-		0	0	0	0
	5	Royalties	<u> </u>				0	0	0	0
	•			(i) Real		(ii) Personal				
	6a	Gross rents	6a		3,341	0				
	b	Less: rental expenses Rental income or (loss)	6b 6c	1.	3,341	0				
	c d	Net rental income o		c)	0	0	0	0	0	0
			(105	(i) Securit	ies	(ii) Other	U	U	U	U
	7a	Gross amount from	10111			(ii) Otrici				
		sales of assets other than inventory	7a		0	0				
a)	h	Less: cost or other basis	14							
Revenue	D	and sales expenses .	7b		0	0				
Š	С	Gain or (loss)	7c		0	0				
		Net gain or (loss)				•	0	0	0	0
Other		Gross income from								3
ಕ	ou	events (not including		0						
		of contributions rep		d on line						
		1c). See Part IV, line	e 18		8a	0				
	b	Less: direct expens	es .		8b	0				
	С	Net income or (loss)	) from	fundraisin	g eve	nts <b>&gt;</b>	0		0	0
	9a	Gross income f	from	gaming						
		activities. See Part I	IV, lin	e 19 .	9a	0				
		Less: direct expens			9b	0				
	С	Net income or (loss)	) from	n gaming ac	ctivitie	es <b>&gt;</b>	0	0	0	0
	10a	Gross sales of ir	nvent	ory, less						
		returns and allowan			10a	0				
	b	Less: cost of goods			10b	0				
	С	Net income or (loss)	) from	sales of in	vento		0	0	0	0
sn						Business Code				
ne eo	11a									
lar	b									
scellaneo Revenue	C									
Miscellaneous Revenue	d	All other revenue			-		87,589	87,589	0	0
	e	Total. Add lines 11a			•	<u> </u>	87,589	00.740.45	.=- ::=	-
	12	Total revenue. See	HIST	นบบบาร .		<u> 🚩 </u>	40,390,295	39,710,128	678,167	0

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## Part IX Statement of Functional Expenses

(4)	(=)									
Check if Schedule O contains a response or note to any line in this Part IX	[									
Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).										

	Check if Schedule O contains a response	or note to any line	in this Part IX .		📙
	t include amounts reported on lines 6b, 7b, , and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	<b>(D)</b> Fundraising expenses
1	Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21 .	0			
2	Grants and other assistance to domestic individuals. See Part IV, line 22	0			
3	Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16	0			
4	Benefits paid to or for members	0			
5	Compensation of current officers, directors, trustees, and key employees	0			
6	Compensation not included above to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0			
7	Other salaries and wages	18,643,508			
8	Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	1,753,414			
9	Other employee benefits	2,738,913			
10	Payroll taxes	1,285,835			
11	Fees for services (nonemployees):				
а	Management	0			
b	Legal	95,961			
C	Accounting	0			
d	Lobbying	48,000			
e	Professional fundraising services. See Part IV, line 17	0			
f	Investment management fees	0			
g g	Other. (If line 11g amount exceeds 10% of line 25, column	· ·			
9	(A) amount, list line 11g expenses on Schedule O.)	2,466,333			
12	Advertising and promotion	749,998			
13	Office expenses	575,012			
14	Information technology	1,335,110			
15	Royalties	1,333,110			
16	Occupancy	1,814,655			
17	Travel	464,880			
18	Payments of travel or entertainment expenses	404,000			
	for any federal, state, or local public officials	0			
19	Conferences, conventions, and meetings .	337,121			
20	Interest	11,648			
21	Payments to affiliates	0			
22	Depreciation, depletion, and amortization .	342,330			
23	Insurance	144,760			
24	Other expenses. Itemize expenses not covered				
	above (List miscellaneous expenses on line 24e. If				
	line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
_	· · · · · · · · · · · · · · · · · · ·	4.270.770			
a h	Subscriptions, memberships, contributions	4,379,640			
b	Honoraria and Stipends	1,457,913			
c C	Income Tax	49,117			
d	All other expenses	43,113			
e 25	All other expenses  Total functional expenses. Add lines 1 through 24e	20 727 241	^		
25 26	Joint costs. Complete this line only if the	38,737,261	0	0	0
20	organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ▶ ☐ if				
	following ŠOP 98-2 (ASC 958-720)				Form <b>990</b> (2020)
					Form 33U (2020)

Part X Balance Sheet

(A) Beginning of year	<b>(B)</b> nd of year
<b>1</b> Cash—non-interest-bearing	10,536,229
2 Savings and temporary cash investments	10,876,397
3 Pledges and grants receivable, net	0
4 Accounts receivable, net	2,309,523
5 Loans and other receivables from any current or former officer, director,	
trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons	0
6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B) . 0 6	0
	0
7 Notes and loans receivable, net	0
9 Prepaid expenses and deferred charges	327,874
10a Land, buildings, and equipment: cost or other	·
basis. Complete Part VI of Schedule D   10a   1,651,499	/74.007
b         Less: accumulated depreciation          10b         977,102         904,373         10c           11         Investments—publicly traded securities          8,158,950         11	674,397
12 Investments—publicly traded securities	9,497,091
13 Investments—program-related. See Part IV, line 11	0
14 Intangible assets	0
15 Other assets. See Part IV, line 11	0
16 Total assets. Add lines 1 through 15 (must equal line 33)	34,221,511
17 Accounts payable and accrued expenses	4,215,044
18 Grants payable	0
19 Deferred revenue	19,473,131
20 Tax-exempt bond liabilities	0
21 Escrow or custodial account liability. Complete Part IV of Schedule D 0 21	0
22 Loans and other payables to any current or former officer, director,	
trustee, key employee, creator or founder, substantial contributor, or 35%	
Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons	0
20 Good of Mortgagos and Notos payable to unifolded third parties	0
24 Unsecured notes and loans payable to unrelated third parties 0 24	0
Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17–24). Complete Part X	
of Schedule D	689,393
<b>26</b> Total liabilities. Add lines 17 through 25	24,377,568
Organizations that follow FASB ASC 958, check here ► ✓ and complete lines 27, 28, 32, and 33.	
27 Net assets without donor restrictions	9,843,943
28 Net assets with donor restrictions	0
Organizations that follow FASB ASC 958, check here and complete lines 27, 28, 32, and 33.  7 Net assets without donor restrictions	
29 Capital stock or trust principal, or current funds	
30 Paid-in or capital surplus, or land, building, or equipment fund	
31 Retained earnings, endowment, accumulated income, or other funds	
32 Total net assets or fund balances	9,843,943
33 Total liabilities and net assets/fund balances	34,221,511

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Par	XI Reconciliation of Net Assets			•				
	Check if Schedule O contains a response or note to any line in this Part XI							
1	Total revenue (must equal Part VIII, column (A), line 12)			40,39	0,295			
2	Total expenses (must equal Part IX, column (A), line 25)	!		38,73	7,261			
3	Revenue less expenses. Subtract line 2 from line 1	,		1,65	3,034			
4	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A)) 4			8,19	0,909			
5	Net unrealized gains (losses) on investments							
6	Donated services and use of facilities	i			0			
7	Investment expenses	'			0			
8	Prior period adjustments	,			0			
9	Other changes in net assets or fund balances (explain on Schedule O)	1			0			
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line							
	32, column (B))	<b>)</b>		9,84	3,943			
Part	XII Financial Statements and Reporting				_			
	Check if Schedule O contains a response or note to any line in this Part XII	<u> </u>	<u> </u>		Ц			
				Yes	No			
1	Accounting method used to prepare the Form 990:  Cash  Accrual  Other		_					
	If the organization changed its method of accounting from a prior year or checked "Other," explain	ain i	in					
_	Schedule O.							
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?		2a		\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \			
	If "Yes," check a box below to indicate whether the financial statements for the year were compile	ed c	or					
	reviewed on a separate basis, consolidated basis, or both:							
	Separate basis Consolidated basis Both consolidated and separate basis			•				
b	Were the organization's financial statements audited by an independent accountant?		2b	~				
	If "Yes," check a box below to indicate whether the financial statements for the year were audited	on	a					
	separate basis, consolidated basis, or both:							
	Separate basis Consolidated basis • Both consolidated and separate basis							
С	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversign the contribution of the first scale of the contribution of the first scale of the contribution of the first scale o		1 1					
	the audit, review, or compilation of its financial statements and selection of an independent accountant?		2c	~				
	If the organization changed either its oversight process or selection process during the tax year, expla Schedule O.	o niƙ	'n					
За	As a result of a federal award, was the organization required to undergo an audit or audits as set forth i	in th	ie T					
ou	Single Audit Act and OMB Circular A-133?		3a		~			
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not underg							
	required audit or audits, explain why on Schedule O and describe any steps taken to undergo such audit	its .	3b					

Form **990** (2020)

#### SCHEDULE C (Form 990 or 990-EZ)

### **Political Campaign and Lobbying Activities**

OMB No. 1545-0047

Open to Public Inspection

Department of the Treasury Internal Revenue Service For Organizations Exempt From Income Tax Under section 501(c) and section 527

Complete if the organization is described below.
 ► Attach to Form 990 or Form 990-EZ.
 ► Go to www.irs.gov/Form990 for instructions and the latest information.

If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (See separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (See separate instructions), then

• Section 501(c)(4), (5), or (6) organizations: Complete Part III. Name of organization **Employer identification number** AMERICAN ACADEMY OF NEUROLOGY 61-1532062 Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization. Provide a description of the organization's direct and indirect political campaign activities in Part IV. (See instructions for 1 definition of "political campaign activities") Volunteer hours for political campaign activities (See instructions) . Complete if the organization is exempt under section 501(c)(3). Enter the amount of any excise tax incurred by the organization under section 4955 \$ Enter the amount of any excise tax incurred by organization managers under section 4955 . 2 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? . Yes No No If "Yes," describe in Part IV. Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3). Enter the amount directly expended by the filing organization for section 527 exempt function 2 Enter the amount of the filing organization's funds contributed to other organizations for section Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, 3 Did the filing organization file **Form 1120-POL** for this year? . . . . . . . . . . . . . . . Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV. (a) Name (b) Address (c) EIN (d) Amount paid from (e) Amount of political filing organization's contributions received and funds. If none, enter -0-. promptly and directly delivered to a separate political organization. If none, enter -0-. **BrainPAC** 201 Chicago Avenue (1) 27-0644272 Minneapolis, MN, 55415 0 33,165 (2)(3)(4)(5) (6)

Page	2

Pa	rt II-A	Complete if the organization section 501(h)).	on is exempt u	ınder section 50	01(c)(3) and file	d Form 5768 (ele	ection under		
Α	Check ►	if the filing organization beloaddress, EIN, expenses, and		liated group memb	er's name,				
В	Check ►	if the filing organization chec	ovisions apply.						
		Limits on Lob	bying Expendit	ures		(a) Filing	(b) Affiliated		
		(The term "expenditures" n	neans amounts	paid or incurred.	)	organization's totals	group totals		
1:	a Total lo	obbying expenditures to influence	e public opinion	(grassroots lobbyi	ng)				
ı	<b>o</b> Total lo	obbying expenditures to influence	e a legislative bo	ody (direct lobbying	g)				
		obbying expenditures (add lines	_						
		exempt purpose expenditures .	•						
		xempt purpose expenditures (ad							
1		ng nontaxable amount. Enter							
	If the ar	mount on line 1e, column (a) or (b) is	s: The lobbying	nontaxable amoun	t is:				
		r \$500,000		nount on line 1e.					
		00,000 but not over \$1,000,000		15% of the excess	over \$500.000.				
		,000,000 but not over \$1,500,000		10% of the excess					
		,500,000 but not over \$17,000,000	<u> </u>	5% of the excess o					
		7,000,000	\$1,000,000.						
		oots nontaxable amount (enter 2							
		ct line 1g from line 1a. If zero or							
i		ct line 1f from line 1c. If zero or le							
i		e is an amount other than zero		1h or line 1i. did	I the organization	file Form 4720			
		ng section 4911 tax for this year			_		Yes No		
	(Som	e organizations that made a se See th	ection 501(h) ele e separate insti	ructions for lines	e to complete all 2a through 2f.)	of the five colum	ns below.		
		Lobbyin	g Expenditures	During 4-Year Av	veraging Period				
	Cale	endar year (or fiscal year beginning in)	<b>(a)</b> 2017	<b>(b)</b> 2018	(c) 2019	(d) 2020	(e) Total		
2	<b>a</b> Lobbyi	ng nontaxable amount							
I		ng ceiling amount of line 2a, column (e))							
(	c Total lo	obbying expenditures							
(	d Grassr	oots nontaxable amount							
(		oots ceiling amount of line 2d, column (e))							
1	Grassr	oots lobbying expenditures							

Schedule C (Form 990 or 990-EZ) 2020

Part I	Complete if the organization is exempt under section 501(c)(3) and has NOT (election under section 501(h)).	filed	Forn	า 5768		
For e	ach "Yes" response on lines 1a through 1i below, provide in Part IV a detailed	(á	a)		(b)	
	otion of the lobbying activity.	Yes	No	Ar	mount	
1	During the year, did the filing organization attempt to influence foreign, national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:					
а	Volunteers?					
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?					
С	Media advertisements?					
d	Mailings to members, legislators, or the public?					
е	Publications, or published or broadcast statements?					
f	Grants to other organizations for lobbying purposes?					
g	Direct contact with legislators, their staffs, government officials, or a legislative body?					
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?					
i	Other activities?					
j	Total. Add lines 1c through 1i					
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?					
b	If "Yes," enter the amount of any tax incurred under section 4912			⊢—		
C	If "Yes," enter the amount of any tax incurred by organization managers under section 4912 .					
d Dort	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?	\/E\ .				
Part l	II-A Complete if the organization is exempt under section 501(c)(4), section 501(c) 501(c)(6).	;)(ɔ), (	or se	ction		
					Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members? $ \dots  \dots  \dots $			1		~
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?			2		~
3	Did the organization agree to carry over lobbying and political campaign activity expenditures from the			_		~
Part I	501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" O answered "Yes."				ine 3	, is
1	Dues, assessments and similar amounts from members		1		8,84	1,624
2	Section 162(e) nondeductible lobbying and political expenditures (do not include amounts political expenses for which the section 527(f) tax was paid).					
а	Current year		2a		1,23	4,987
b	Carryover from last year ....................................		2b			0
С	Total	•	2c			4,987
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues .		3	_	1,06	0,995
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of excess does the organization agree to carryover to the reasonable estimate of nondeductible lobb and political expenditure next year?	ying	4			
5	Taxable amount of lobbying and political expenditures (See instructions)		4		17	3,992
Part		•	5			0
Provid	e the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated grainstructions); and Part II-B, line 1. Also, complete this part for any additional information.	up lis	t); Pa	rt II-A, I	ines 1	and
Sched	ule C, Part I-A, Line 1 - The organization provided in-kind administrative services to its FEC registered St	SF, Bra	ainPA	C.		

# SCHEDULE D (Form 990)

## **Supplemental Financial Statements**

► Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

► Attach to Form 990

OMB No. 1545-0047

Open to Public Inspection

Department of the Treasury Internal Revenue Service

► Attach to Form 990.
 Go to www.irs.gov/Form990 for instructions and the latest information.

Name o	f the organization		Employer identification number
AMER	ICAN ACADEMY OF NEUROLOGY		61-1532062
Par	t I Organizations Maintaining Donor Advi	sed Funds or Other Similar Fund	ls or Accounts.
	Complete if the organization answered "	Yes" on Form 990, Part IV, line 6.	
		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate value of contributions to (during year) .		
3	Aggregate value of grants from (during year)		
4	Aggregate value at end of year		
5	Did the organization inform all donors and donor	advisors in writing that the assets he	
	funds are the organization's property, subject to the	= -	
6	Did the organization inform all grantees, donors, are only for charitable purposes and not for the benefit conforming impormissible private benefit?	t of the donor or donor advisor, or fo	r any other purpose
	conferring impermissible private benefit?		· · · · · · · · Yes U No
Par	Conservation Easements.		
	Complete if the organization answered "		
1	Purpose(s) of conservation easements held by the c	=	
	Preservation of land for public use (for example, recre	•	f a historically important land area
	Protection of natural habitat	☐ Preservation o	f a certified historic structure
	☐ Preservation of open space		
2	Complete lines 2a through 2d if the organization hel	d a qualified conservation contribution	n in the form of a conservation
	easement on the last day of the tax year.		Held at the End of the Tax Year
а	Total number of conservation easements		. <b>2a</b>
b	Total acreage restricted by conservation easements	8	. 2b
С	Number of conservation easements on a certified hi	istoric structure included in (a)	. 2c
d	Number of conservation easements included in (historic structure listed in the National Register .		
3	Number of conservation easements modified, trans	ferred, released, extinguished, or tern	ninated by the organization during the
	tax year ▶	<b>3</b>	
4	Number of states where property subject to conserv	vation easement is located ▶	
5	Does the organization have a written policy reg violations, and enforcement of the conservation eas	arding the periodic monitoring, insp	
6	Staff and volunteer hours devoted to monitoring, inspec		
7	Amount of expenses incurred in monitoring, inspecting  \$\blacktriangleright*	g, handling of violations, and enforcing	conservation easements during the year
8	Does each conservation easement reported on line 2	2(d) above satisfy the requirements of s	section 170(h)(4)(B)(i)
	and section 170(h)(4)(B)(ii)?	•	
9	In Part XIII, describe how the organization reports c	onservation easements in its revenue	and expense statement and
	balance sheet, and include, if applicable, the text of	the footnote to the organization's fina	incial statements that describes the
	organization's accounting for conservation easement	nts.	
Par	Organizations Maintaining Collections	of Art, Historical Treasures, or	Other Similar Assets.
	Complete if the organization answered "	Yes" on Form 990, Part IV, line 8.	
	If the organization elected, as permitted under FAS		e statement and halance sheet works
ıu	of art, historical treasures, or other similar assets		
	service, provide in Part XIII the text of the footnote t	·	•
b	If the organization elected, as permitted under FAS		
b	art, historical treasures, or other similar assets held		
	provide the following amounts relating to these item		search in furtherance of public service,
	(i) Revenue included on Form 990, Part VIII, line 1		<b>•</b> •
	(ii) Assets included in Form 000 Doct V		<b>&gt;</b> \$
_	(ii) Assets included in Form 990, Part X		· · · • •
2	If the organization received or held works of art, following amounts required to be reported under FA	ASB ASC 958 relating to these items:	
а	Revenue included on Form 990, Part VIII, line 1 .		• \$
b	Assets included in Form 990, Part X		> \$

	le D (Form 990) 2020				Page 2
Part	Organizations Maintaining C	ollections of Art, His	storical Treasures	s, or Other Similar	Assets (continued)
3	Using the organization's acquisition, accollection items (check all that apply):	cession, and other reco	rds, check any of th	ne following that make	significant use of its
а	☐ Public exhibition		Loan or exchang		
b	☐ Scholarly research	е	Other		
С	☐ Preservation for future generations				
4	Provide a description of the organization XIII.	n's collections and expl	ain how they further	the organization's ex	empt purpose in Par
5	During the year, did the organization so assets to be sold to raise funds rather the				
Part					
	Complete if the organization a 990, Part X, line 21.	nswered "Yes" on Fo	rm 990, Part IV, lin	e 9, or reported an	amount on Form
1a	Is the organization an agent, trustee, c included on Form 990, Part X?				not .
b	If "Yes," explain the arrangement in Part	XIII and complete the fo	ollowing table:		A +
	B				Amount
C	Beginning balance			1c	
d	Additions during the year			1d	
е	Distributions during the year			1e	
f	Ending balance			1f	
2a	Did the organization include an amount				
b	If "Yes," explain the arrangement in Part	XIII. Check here if the e	xplanation has been	provided on Part XIII	<u> </u>
Par	EV Endowment Funds.				
	Complete if the organization a	nswered "Yes" on Fo	rm 990, Part IV, lin	e 10.	
		(a) Current year (b) Pr	ior year (c) Two yea	ars back (d) Three years b	ack (e) Four years back
1a	Beginning of year balance				
b	Contributions				
С	Net investment earnings, gains, and losses				
d	Grants or scholarships				
е	Other expenditures for facilities and				
	programs				
f	Administrative expenses				
g	End of year balance				
2	Provide the estimated percentage of the	current year end baland	ce (line 1g, column (a	a)) held as:	
а	Board designated or quasi-endowment		, , ,		
b					
С	Term endowment ▶ %	- ` `			
	The percentages on lines 2a, 2b, and 2c	should equal 100%.			
3a	Are there endowment funds not in the porganization by:	· · · · · · · · · · · · · · · · · · ·	ization that are held	and administered for	the Yes No
	(i) Unrelated organizations				. 3a(i)
	.,				- ''
b	If "Yes" on line 3a(ii), are the related orga				
4	Describe in Part XIII the intended uses o	·			. 00
Part			ioni idildo.		
	Complete if the organization a		rm 990, Part IV, lin	e 11a. See Form 99	0, Part X, line 10.
	Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a	Land	0	0		0
b	Buildings	0			0
c	Leasehold improvements	0			0
				•	

**d** Equipment

674,397

674,397

0

977,102

. ▶

0

1,651,499

0

0

Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.) .

Schedule D (Form 990) 2020 Page **3** 

Part VII	Investments – Other Securities.  Complete if the organization answered "Yes" on Form 990, Part	IV, line 11b. See F	Form 990, Part X, line 12.
	(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financia	derivatives		
(2) Closely h	neld equity interests		
(3) Other			
(A)			
(B)			
(C)			
(E)			
(F) (G)			
(H)			
	mn (b) must equal Form 990, Part X, col. (B) line 12.) . ▶	-	
Part VIII	Investments – Program Related.		
	Complete if the organization answered "Yes" on Form 990, Part	IV, line 11c. See F	Form 990, Part X, line 13.
	(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)			
(2)			
(3)			
(4)			
(5)			
(6)			
(7)			
(8)			
(9)	(1) (5 000 D (1) (7) (7)		
Part IX	mn (b) must equal Form 990, Part X, col. (B) line 13.) .   Other Assets.		
raitiA	Complete if the organization answered "Yes" on Form 990, Part	IV line 11d See F	Form 990 Part Y line 15
	(a) Description	iv, iiile i iu. Gee i	(b) Book value
(1)	(4) 2000, page		(2) 2001. Tailed
(2)			
(3)			
(4)			
(5)			
(6)			
(7)			
(8)			
(9)			
	mn (b) must equal Form 990, Part X, col. (B) line 15.)		. ▶
Part X	Other Liabilities.	IV line 11e er 11f	Can Farm 000 Dort V
	Complete if the organization answered "Yes" on Form 990, Part line 25.	iv, line i le or i ii	. See Form 990, Part X,
1.	(a) Description of liability		(b) Book value
(1) Federal in			(b) Book value
	ayable ST		23,456
	ayable LT		23,977
	d Compensation		641,960
(5)			3.11700
(6)			
(7)			
(8)			
(9)			
	mn (b) must equal Form 990, Part X, col. (B) line 25.)		. ► 689,393
2. Liability fo	r uncertain tax positions. In Part XIII, provide the text of the footnote to the organ	nization's financial sta	atements that reports the

organization's liability for uncertain tax positions under FASB ASC 740. Check here if the text of the footnote has been provided in Part XIII.

Schedule D (Form 990) 2020 Page 4 Reconciliation of Revenue per Audited Financial Statements With Revenue per Return. Part XI Complete if the organization answered "Yes" on Form 990, Part IV, line 12a. Total revenue, gains, and other support per audited financial statements . . . 2 Amounts included on line 1 but not on Form 990. Part VIII. line 12: Net unrealized gains (losses) on investments . . . . . . Donated services and use of facilities h Recoveries of prior year grants . . . . Other (Describe in Part XIII.) . . . . . . . Add lines **2a** through **2d** . . . . . . . . . . . 2e Subtract line 2e from line 1 . . . . . . . 3 3 Amounts included on Form 990. Part VIII. line 12, but not on line 1: Investment expenses not included on Form 990, Part VIII, line 7b 4a 4b Add lines 4a and 4b . . . 4c Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.) 5 Reconciliation of Expenses per Audited Financial Statements With Expenses per Return. Part XII Complete if the organization answered "Yes" on Form 990, Part IV, line 12a. 1 Amounts included on line 1 but not on Form 990. Part IX. line 25: 2 Donated services and use of facilities 2a . . . . . . . . . . 2b Prior year adjustments Other losses . . . . . . . . . . . . 2c Other (Describe in Part XIII.) . . . . . . . Add lines 2a through 2d . . . . 2е 3 Subtract line **2e** from line **1** . . . . . . . . . 3 Amounts included on Form 990, Part IX, line 25, but not on line 1: Investment expenses not included on Form 990, Part VIII, line 7b 4a 4b Add lines **4a** and **4b** . . . . . . . . . . . . 4c Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.). Supplemental Information. Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information. Schedule D, Part X, Line 2 - The Organization follows the provisions of FASB ASC 740-10-25, which requires that a tax position be recognized or derecognized based on a "more likely not" threshold. This applies to position taken or expected to be taken in a tax return. The Organization does not believe its financial statements include any uncertain tax positions. The Organization returns are subject to review and examination by Federal authorities. The tax return for 2017 through 2019 are open to examination by Federal authorities.

#### **SCHEDULE F** (Form 990)

## **Statement of Activities Outside the United States** ► Complete if the organization answered "Yes" on Form 990. Part IV, line 14b. 15. or 16.

2020

OMB No. 1545-0047

Open to Public

Department of the Treasury Internal Revenue Service

▶ Attach to Form 990. ▶ Go to www.irs.gov/Form990 for instructions and the latest information.

Inspection Name of the organization **Employer identification number** AMERICAN ACADEMY OF NEUROLOGY 61-1532062 General Information on Activities Outside the United States. Complete if the organization answered "Yes" on Part I Form 990. Part IV. line 14b. For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to ✓ Yes 
☐ No award the grants or assistance? For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance 2 outside the United States. Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.) 3 (c) Number of (d) Activities conducted in the (a) Region (b) Number (e) If activity listed in (d) is (f) Total employees, of offices in expenditures for region (by type) (such as, a program service, agents, and independent the region fundraising, program services, describe specific type of and investments investments, grants to recipients service(s) in the region in the region contractors located in the region) in the region (1) Sch F, Stmt 1 (2) (3)(4)(5) (6)(7)(8) (9) (10) (11)(12) (13)(14)(15)(16) (17)

Subtotal . . . . . Total from continuation sheets to Part I . . . . Totals (add lines 3a and 3b)

35,797

(15)

Schedi	ule F (Form 990) 202	0							Page <b>2</b>
Par	Grants Part IV,	and Other A line 15, for ar	ssistance to Org	anizations or Entitie eceived more than \$	es Outside the 5,000. Part II ca	United States. Co n be duplicated if a	mplete if the orga dditional space is	nization answered "Y needed.	es" on Form 990,
1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			Sub-Saharan Africa	Unrestricted educatio	11,257	Check	0		
(2)			Central America and	In 2013 AAN partnered	24,540	Check	0		
(3)									
(4)									
(5)									
(6)									
(7)									
(8)									
(9)									
(10)									
(11)									
(12)									
(13)									
(14)									

6)											
2	Enter total numl	ber of recipie	ent organizations lis	sted above that are	recognized as cha	arities by the foreign	country, recognized	d as a tax			
	exempt 501(c)(3)	3) organization	n by the IRS, or for v	vhich the grantee or o	counsel has provid	ed a section 501(c)(3)	equivalency letter	▶		2	
3	Enter total numb	oer of other o	rganizations or entit	ies				▶		0	
					_			•	Sch	edule F (Form 99	0) 2020

Schedule F (Form 990) 2020

Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of noncash assistance	(g) Description of noncash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

Schedule F (Form 990) 2020 Page **4** 

## Part IV Foreign Forms

1	Was the organization a U.S. transferor of property to a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)	☐ Yes	☑ No
2	Did the organization have an interest in a foreign trust during the tax year? If "Yes," the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)	☐ Yes	✓ No
3	Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect to Certain Foreign Corporations (see Instructions for Form 5471)	☐ Yes	☑ No
4	Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)	☐ Yes	<b>☑</b> No
5	Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865)	☐ Yes	☑ No
6	Did the organization have any operations in or related to any boycotting countries during the tax year? If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990)	☐ Yes	✓ No

Schedule F (Form 990) 2020

Schedule F (Form 990) 2020 Page **5** 

## Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

Schedule F, Part I, Line 2 - There is a committee formed specifically for the distribution and use of these funds. No reporting from recipients
required in an effort to reduce administrative burden on grant recipient.

#### AMERICAN ACADEMY OF NEUROLOGY

Part I, Line 3

Form: **Schedule F (2020)** EIN: **61-1532062** 

Page: **1** 

#### Accounts and Activities Outside the United States

		Offices	Employees	Total
Region	Sub-Saharan Africa	0	0	11,257
Activities	Grantmaking			
Services	Unrestricted educational grant and contribution towards expenses for European Academ	y		
	of Neurology teaching course in Sub-Saharan Africa Kampala, Uganda 23-26 Septembe 2020.	r		
Region	Central America and the Caribbean	0	0	24,540
Activities	Grantmaking			
Services	In 2013 AAN partnered with the St. Luke Foundation to provide an opportunity for AAN members to become involved in the growing field of global neurologic care. This grant			
	funds trips for AAN fellow, active, resident and student members. In addition, it provides			
	funding for neurologic care including medications and imaging studies for our neediest			
	patients.			
	Total:	0	0	35,797

#### **SCHEDULE J** (Form 990)

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

▶ Attach to Form 990.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047 2020

Open to Public Inspection

61-1532062

Name of the organization

AMERICAN ACADEMY OF NEUROLOGY

Department of the Treasury Internal Revenue Service

Employer identification number

Part	Questions Regarding Compensation							
			Yes	No				
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.							
	☐ First-class or charter travel ☐ Housing allowance or residence for personal use							
	☐ Travel for companions ☐ Payments for business use of personal residence							
	☐ Tax indemnification and gross-up payments ☐ Health or social club dues or initiation fees							
	☐ Discretionary spending account ☐ Personal services (such as maid, chauffeur, chef)							
b	If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to							
	explain	1b						
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line							
	1a?	2						
_								
3	Indicate which, if any, of the following the organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.							
	✓ Compensation committee							
	✓ Independent compensation consultant ✓ Compensation survey or study							
	Form 990 of other organizations  Approval by the board or compensation committee							
4	During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:							
а	Receive a severance payment or change-of-control payment?	4a		~				
b	Participate in or receive payment from a supplemental nonqualified retirement plan?	4b		~				
С	Participate in or receive payment from an equity-based compensation arrangement?	4c		~				
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.							
_	Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5–9.							
5	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any							
	compensation contingent on the revenues of:							
a	The organization?	5a						
b	Any related organization?	5b						
	If "Yes" on line 5a or 5b, describe in Part III.							
6	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:							
а	The organization?	6a						
b	Any related organization?	6b						
-	If "Yes" on line 6a or 6b, describe in Part III.							
7	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If "Yes," describe in Part III	7						
8	Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject							
_	to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe							
	in Part III							
		8						
9	If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in							
	Regulations section 53.4958-6(c)?	9						

Schedule J (Form 990) 2020

#### Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

Note: The sum of columns (B)(i)–(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

			W-2 and/or 1099-MIS	SC compensation	(C) Retirement and		(E) Total of columns	(F) Compensation
(A) Name and Title		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	other deferred compensation	<b>(D)</b> Nontaxable benefits	(B)(i)–(D)	in column (B) reported as deferred on prior Form 990
Catherine M Rydell, Chief	(i)	263,659	123,184	184,683	27,682	4,040	603,248	69,725
1 Executive Officer Emeritus	(ii)	0	0	0	0	0	0	0
Mary E Post, Chief Executive	(i)	340,479	0	65,688	29,925	17,504	453,596	0
Officer 2	(ii)	0	0	0	0	0	0	0
Christine E Phelps, Deputy	(i)	332,413	58,454	17,747	29,925	16,608	455,147	28,152
3 Executive Director, AANI	(ii)	0	0	0	0	0	0	0
Bruce Levi, Chief Legal and	(i)	339,564	51,823	5,656	29,925	16,608	443,576	29,098
Strategy Officer	(ii)	0	0	0	0	0	0	0
Jason Kopinski, Deputy	(i)	314,617	57,831	16,817	29,925	23,339	442,529	27,529
Executive Director/Chief  Operating Officer	(ii)	0	0	0	0	0	0	0
John Hutchins, General Counsel	(i)	218,479	67,724	8,215	24,433	24,914	343,765	17,724
6	(ii)	0	0	0	0	0	0	0
Angela Babb, Chief	(i)	221,468	42,142	9,892	24,604	23,539	321,645	19,415
Communications and 7 Momborship Officer Timothy J Engel, Chief Financial	(ii)	0	0	0	0	0	0	0
Timothy J Engel, Chief Financial	(i)	173,022	52,653	61,439	18,138	5,386	310,638	22,351
Officer 8	(ii)	0	0	0	0	0	0	0
Deanna Ekholm, Chief Human	(i)	217,259	66,410	1,198	22,807	0	307,674	16,410
9 Resource Officer	(ii)	0	0	0	0	0	0	0
Dorok Brandt Director	(i)	174,434	10,316	7,062	19,072	14,251	225,135	10,316
Congressional Affairs	(ii)	0	0	0	0	0	0	0
Amy Kaloides, Senior Director,	(i)	152,435	8,747	5,854	15,766	24,613	207,415	8,747
Advocacy 11	(ii)	0	0	0	0	0	0	0
Kristine Fridgen, Deputy Chief,	(i)	163,186	12,320	6,510	17,544	7,763	207,323	9,820
CESC 12	(ii)	0	0	0	0	0	0	0
Daniel Spira Senior Degulatory	(i)	166,275	9,845	3,898	17,501	8,279	205,798	9,845
Counsel 13	(ii)	0	0	0	0	0	0	0
Christopher M Keran, Senior	(i)	145,576	9,125	6,083	16,201	23,539	200,524	9,125
Director, Member Insights	(ii)	0	0	0	0	0	0	0
James C Stevens MD FAAN,	(i)	191,908	0	0	0	0	191,908	0
President	(ii)	0	0	0	0	0	0	0
Christopher Becker, Chief	(i)	74,182	35,432	54,865	8,116	5,778	178,373	20,281
Business Innovation Officer	(ii)	0	0	0	0	0	0	0

Page
Part III Supplemental Information
rovide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this pa or any additional information.

#### **SCHEDULE J** (Form 990)

## **Continuation Sheet for Schedule J (Form 990)**

OMB No. 1545-0047

▶ Attach to Form 990 to list additional information for Schedule J (Form 990), Part II.

**Open to Public** Inspection

Department of the Treasury Name of the organization

Internal Revenue Service

Employer identification number

AMERICAN ACADEMY OF NEUROLOGY

61 1532062

Part II Continuation of O	fficers	, Directors, Trust	ees, Key Employ	ees, and Highes	t Compensated I	<b>Employees</b> (Sche	dule J, Part II)	
		(B) Breakdown of	W-2 and/or 1099-MIS	C compensation	(C) Retirement and	(D) Nontaxable	(E) Total of columns	(F) Compensation
(A) Name and Title		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	other deferred compensation	benefits	(B)(i)–(D)	in column (B) reporte as deferred on prio Form 990
Kevin C Myren, Chief Financial	(i)	90,308	0	569	7,067	2,765	100,709	
Officer August - December	(ii)	0	0	0	0	0	0	
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
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	(11)							.l. 1 (Farms 000) 20

#### **SCHEDULE O** (Form 990 or 990-EZ)

Department of the Treasury

#### Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

► Attach to Form 990 or 990-EZ.

► Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

**Open to Public** Inspection

Internal Revenue Service Name of the organization **Employer identification number** AMERICAN ACADEMY OF NEUROLOGY Form 990, Part III, Line 4d - BrainPAC: Advocating for the profession of neurology and preserving access to care by patients is a core activity of the Academy. The Academy's political action committee, BrainPAC, is an effective tool to advance this mission by enabling access to get our message to lawmakers. It also helps level the playing field with other physician specialties that have PACs and compete for attention. Voluntary contributions by US members and staff are key to a successful PAC. Form 990, Part VI, Section A, Line 2 - Filers CEO, CFO, and the Deputy Executive Director of the corporation's subsidiary, American Academy of Neurology Institute (AANI), are co-employed by both entities. Fifteen Directors of the corporation were also Board members of AANI in the tax year. Accordingly, the individuals in the enumerated posts each had a business relationship with the overlapped Directors. Form 990, Part VI, Section A, Line 6 - The Academy's membership includes individuals who meet the requirements of the following membership classes: Senior, Student, Intern, Resident/Fellow, Business Administrators, Advance Practice Providers, Researchers, International and US Physician. Members and applicants must demonstrate ethical and professional conduct. Please see www.aan.com for details on each membership class. Form 990, Part VI, Section A, Line 7a - All classes of membership vote for the election of one or more members of the governing body, who must be fellows or honorary or senior members whose prior classification was fellow. Eligible voting members select members of the governing body periodically as variances arise or otherwise. Form 990, Part VI, Section A, Line 7b - The Academy's members having voting rights and accordingly have rights to elect members of the Board (and approve certain officers). Amendments to the Articles of Incorporation and Bylaws must be brought before the members to effect adoption. Form 990, Part VI, Section B, Line 11b - The CFO performed extensive review of the draft 990 as initially prepared by AAN's staff accountant and reviewed by the Controller. The draft was reviewed by the Academy's exempt organization's tax professional. The form was then presented to the Audit Committee by the CFO and other members of the management team for the Committee's comments. The finalized 990 is brought to the Board of Directors for discussion during a regularly schedule meeting. At that time, a complete overview of the final 990 form is to be provided by the CFO and general Counsel. The form 990 is filed after this process. Form 990, Part VI, Section B, Line 12c - The Academy operates with a conflict of interest policy covers individuals serving as officers, directors, committee members, teachers, authors, consultants as well as any other capacity with leadership responsibility as an "official" of the organization. Covered individuals are required to complete a relationship disclosure statement annually, or more frequently if changes in personal circumstances occur. The statements are reviewed and actions determined in line with the organization's hierarchy based on the individuals position and the the recommendation of General Counsel. Conflicts are handled on an individual basis. Actions taken reflect the severity of the actual/potential conflict ranging from "no action required", to an on-going monitoring with appropriate disclosures of such facts, or required withdrawal of the individual from the conflicting relationship. Conflict of interest proceedings are documented in meeting minutes or as otherwise appropriate. Form 990, Part VI, Section B, Line 15 - The Academy's Board utilizes a Compensation Committee to set the compensation for the CEO; that Committee employs the procedures inquired here and last set of compensation set in 2020. The CEO is charged with setting compensation for the employed Officers and Key Employees and in doing so, compensation surveys are utilized. The Committee reviews comprehensive market studies every 2-3 years and will make market adjustments accordingly. Annual salary adjustments are made as performance warrants. The last market adjustment was made in 2020. Form 990, Part VI, Section C, Line 19 - The Academy makes its governing documents, conflict of interest policy, and financial statements available to anyone on its website.

Schedule O, Statement 1

**Explanation** 

#### **AMERICAN ACADEMY OF NEUROLOGY**

Form: **Form 990 (2020)** EIN: **61-1532062** 

Page: 1 Header Section

## Reasonable Cause Explanations

Form 8860 Application for Extension was filed and accepted by IRS on March 22, 2021. Filing Due November 15, 2021.

#### AMERICAN ACADEMY OF NEUROLOGY

Form: Form 990 (2020) EIN: 61-1532062

Page: 1 Part I, Line 1

#### **Activity Or Mission Description**

#### Description

No matter what stage our members are in their career, or what their role is within the field of neurology, our commitment to be indispensable to our members' professional lives is evident in what we do throughout the year. We knew 2020 would bring significant change to the AAN: For the first time in 21 years, we were going to have a new chief executive officer with the April retirement of Catherine M. Rydell, CAE. To succeed her, the Board of Directors chose Mary E. Post, MBA, CAE, who had formerly worked at the AAN for 16 years in a variety of positions, culminating as deputy executive director. We also were searching for a successor for our Chief Financial Officer Tim Engel, CPA, who was scheduled to retire after 14 years with the AAN. Kevin C. Myren, CPA, was ultimately hired for the position.

#### **AMERICAN ACADEMY OF NEUROLOGY**

Form: Form 990 (2020) EIN: 61-1532062 Page: 2 Part III, Line 4a

#### First Program Service Accomplishments Description

#### Description

the pandemic, the Neurology Career Center at Careers.AAN.com shared a listing of employers in need of temporary, short-term physician resources to help neurologists displaced due to clinic closures or other issues. Additionally, without a physical Annual Meeting in 2020, there was no event for employers and job seekers to connect in person. The Neurology Career Center staff brainstormed a possible online solution and surveyed AAN members regarding their preferences. As a result, a new event was created within the existing Neurology Career Center software by incorporating an existing events page with Zoom breakout rooms. The new Virtual Career Fair is now a recurring Neurology Career Center event averaging 927 job views and 77 applications per event. In 2020, AAN.com saw a 37-percent growth in visitors and a 23-percent increase in sessions. Organic search remains the primary driver of traffic to the site, accounting for 58 percent of total visitors. Mobile use of AAN.com continues to rise, increasing by 47 percent in 2020. Press & Media, Online Learning, and Guidelines were the most popular areas of the site. The strength of SynapseSM Online Communities continues to grow. There were more than 21,000 AAN members belonging to one or more of the 60 Synapse open or private communities. Synapse provides a platform for AAN section members to openly share special interest and subspecialty-related information and resources with colleagues. The AAN remains dedicated to serving the needs of our members around the globe. Members outside of the United States make up 22 percent of total membership and represent 144 countries.

Description

#### **AMERICAN ACADEMY OF NEUROLOGY**

Form: Form 990 (2020) EIN: 61-1532062
Page: 2 Part III, Line 4b

Second Program Service Accomplishments Description

#### Second Program Service Accomplishments Description

editions of the publication include those in Argentina, Japan, India, China, Spain, and Brazil. The journal's impact factor increased to 8.770 in 2020. Neurology(R) Clinical Practice submissions increased from 753 in 2019 to 1,348 in 2020. The feature "Practice Current-An Interactive Exchange on Controversial Topics" continues to be popular, garnering responses from more than 60 countries for each of the worldwide surveys; a companion feature, "Practice Buzz," was launched in 2020 and surveys readers about contemporary issues such as work/life balance during the pandemic and diversity, equity, and inclusion. Neurology(R) Genetics, a free open access journal, continued to publish new papers, which are searchable in PubMed. The journal received its first impact factor in 2020, 3.509. Submissions increased from 156 in 2019 to 264 in 2020. Neurology(R) Neuroimmunology and Neuroinflammation, a free open access journal, continued to publish new papers, and is indexed in MEDLINE, with NLM Medical Subject Headings (MeSH) within a few weeks of publication. The journal's impact factor increased to 7.724 in 2020. Submissions increased from 462 in 2019 to 837 in 2020. Continuum: Lifelong Learning in Neurology(R), published six times a year on core subspecialty topics in neurology, has served an important role in the AAN's publications portfolio by educating practicing neurologists since 1993. Continuum was relied upon more than ever by members in 2020. Paid member circulation for Continuum(R) grew to 8,226-an increase of 3.1 percent over 2019. Total circulation, which includes nonmembers and Junior members, was 13,067, a 3.2 percent increase over the same time last year. The number of unique users completing Continuum(R) CME grew by 10 percent, and the number of tests taken increased by 14 percent. Twitter followers jumped 227 percent, from 987 to 3,230, and Facebook followers increased nearly 10 percent, from 7,794 to 8,589. Neurology Today(R), the AAN's official news source published biweekly, reported widely and broadly on the impact of COVID-19 on the field of neurology and neurologic patients in 2020, offering more than 100 articles online and in print on clinical, professionalism, wellness, and societal-impact topics related to the pandemic. Among the most popular articles were "The Spread of COVID-19: Questions Raised, Some Answered by Neuroinfectious Disease Experts" and "COVID-19: Neurologists in Italy to Colleagues in US: Look for Poorly Defined Neurologic Conditions in Patients with the Coronavirus." Coverage of COVID-19 resulted in a more than three-time uptick in the traffic to the publication website and an online landing page devoted to COVID-19 coverage. Social media followers grew, as well, with more than 47,000 followers on Facebook and nearly 14,000 on Twitter. AANnews(R), the official monthly member magazine of the Academy, is mailed to more than 28,000 US members and is posted online in the "About the AAN" area of AAN.com for international and US members to easily access. While promoting Academy events and resources in 2020, the magazine kept members informed of regulatory changes, federal legislation and advocacy opportunities, nominations of the new Board of Directors, and success stories of neurology advocates and AAN Leadership Program graduates. Brain & Life(R) magazine, published six times a year, is free to patients with neurologic disorders, their caregivers, and anyone interested in brain health. In 2020, Brain & Life mailed to more than 313,000 individuals with more than 180,000 copies sent to AAN member offices for waiting room distribution in 2020. BrainandLife.org, the companion website to the publication, saw a 118-percent increase in traffic, with more than 2.26 million sessions in 2020. Digital subscribers to the website also grew by 108 percent to 43,229. Social followers across Facebook, Twitter, and Instagram grew 19 percent to 120,136. Brain & Life en Español mails as a supplement to print issues four times a year and has a print run of 100,000 copies per issue. The number of individuals specifically opting in to receive Spanish issues with their English copies grew to 11,023 in December 2020, up 49 percent since December 2019.

Part III, Line 4c

Form: Form 990 (2020) EIN: 61-1532062

**Third Program Service Accomplishments Description** 

#### Description

Page: 2

Hill event on February 24 in Washington, DC. Representing 48 states, 211 members participated in nearly 300 congressional visits. Advocates focused on reducing the regulatory burden of prior authorization; reducing Medicare Part D price caps to improve medication access by patients; and increasing research funding. Two other in-person advocacy events continued through online meetings. Neurology off the Hill took place over the August recess, when members of Congress were back in their home states and districts and virtually met with constituent neurologists. The second annual AAN Legislative Summit also was held virtually, instead of in Washington, DC, in September with 95 members from 47 states meeting with their Senate offices via video or conference call to urge Congress to support the implementation of the new payment structure for E/M services as proposed in the 2021 Medicare Physician Fee Schedule, as well as continuation of relaxed telehealth regulations following the end of the public health emergency. Despite the difficulties imposed by the pandemic, the AAN had a strong year advancing its legislative priorities in Washington, DC. Over the year, we sent 11 extensive AAN letters and joined 40 coalition sign-on letters to Congress, the US vice president, Centers for Medicare & Medicaid Services, and private payers. The Academy's efforts were supported by more than 2,800 US members who engaged with their lawmakers. AAN President James C. Stevens, MD, FAAN, coauthored an op-ed in MedPage Today titled "Medicare Is Doing the Right Thing on E/M Payment-But We're Not Across the Goal Line Yet." Some other accomplishments include: Reimbursement A new coding and payment structure is set to be implemented for Evaluation and Management (E/M) services on January 1, 2021, which will simplify existing documentation requirements and provide a substantial increase in reimbursement for neurologists. The Centers for Medicare & Medicaid Services (CMS) projects the overall positive impact of the new E/M structure after budget neutrality adjustments to result in a six-percent increase in reimbursement for neurologists overall, although the impacts will vary across the specialty. This positive outcome is a product of a multi-year advocacy effort that reversed a previous proposal that was projected to be highly detrimental to neurology and other cognitive specialties. We estimate the overall impact of the AAN's advocacy on this issue as resulting in an additional \$150 million annually in Medicare payments to neurologists for E/M services. Telehealth The AAN has strongly advocated for additional flexibilities related to promoting telehealth access for telehealth services. During the COVID-19 public health emergency (PHE), there have been significant advocacy wins including coverage and payment parity for medically necessary telehealth services, including telephone services. In the Medicare Physician Fee Schedule final rule, CMS is implementing several permanent flexibilities that we support associated with providing telehealth services, including additional services being added to the Medicare telehealth list, new coding and payment for an extended virtual check-in service, and modifications to direct supervision requirements. Responding to COVID-19 Immediately in response to the COVID-19 PHE, the AAN strongly advocated for improved access to personal protective equipment, financial support for practices struggling in the wake of the PHE, improved access to telehealth services, and a reduction in regulatory burdens. In addition to the telehealth changes mentioned above, there have also been numerous changes made by the Department of Health and Human Services and CMS to reduce regulatory burdens throughout the PHE, including the delay of the Appropriate Use Criteria program, modifications to the Quality Payment Program, and the relaxation of certain prior authorization requirements. The AAN also supported the allocation of funding through the provider relief fund and other financial assistance programs. Reducing Administrative Burdens The Trump administration permanently implemented changes to the Anti-kickback Statute and Stark Law to promote value-based care and improve access to Alternative Payment Models. The AAN previously submitted comments that were highly supportive of these changes. In line with AAN recommendations, CMS proposed several permanent changes to prior authorization requirements for Medicaid and CHIP managed care plans, state Medicaid and CHIP fee-for-service programs, and Qualified Health Plans (QHP) issuers on the Federally Facilitated Exchanges (FFEs). The AAN submitted comments in support of these changes and will urge the agency to extend them to Medicare Advantage and Medicare Part D plans. The AAN's award-winning patient and caregiver magazine, Brain & Life(R), and its companion website, BrainandLife.org, centralize all AAN patient education content in one location. A Spanish version, Brain & Life(R) en Español, published quarterly in 2020. Brain & Life won two Awards for Excellence in the category Interviews and Profiles, for the October/November 2019, article, "Actor Dash Mihok on How Tourette Syndrome Shaped His Career," and for Feature Writing for the August/September 2019 article, "Why MS Patients May Benefit from Aggressive Early Treatment." Midway through 2020, the AAN released a compelling compilation of heartfelt video messages from numerous celebrities who have graced the covers of Brain & Life, including musician Peter Frampton and actors Emilia Clarke and Blair Underwood. Themselves touched by neurologic disorders, these stars inspired viewers with how they were coping with the crisis and meeting each day with strength and courage to persevere. The number of individual digital subscribers to Brain & Life more than doubled to 43,229 compared to 21,185 in 2019. With each issue of Brain & Life estimated to reach 1.6 million readers, and each quarterly copy of Brain & Life en Español shared by more than 100,000 readers, it should not be surprising that the award-winning patient magazine and its companion online website BrainandLife.org became the centerpiece of the Patient-Public Strategy Task Force recommendations that were accepted by the Board of Directors in September.

#### **SCHEDULE R** (Form 990)

(1)

## **Related Organizations and Unrelated Partnerships** ► Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

OMB No. 1545-0047

(f)

Direct controlling

entity

Department of the Treasury Internal Revenue Service

▶ Attach to Form 990. ▶ Go to www.irs.gov/Form990 for instructions and the latest information. Open to Public Inspection

(e)

End-of-year assets

Name of the organization

**Employer identification number** AMERICAN ACADEMY OF NEUROLOGY 61-1532062 Identification of Disregarded Entities. Complete if the organization answered "Yes" on Form 990, Part IV, line 33. Part I

(b)

Primary activity

(c)

Legal domicile (state

or foreign country)

(d)

Total income

(2)								
(3)								
(4)								
(5)								
(6)								
Part II Identification of Related Tax-Exempt Organiz one or more related tax-exempt organizations d	ations. Co	omplete if that ax year.	he organization ar	nswered "Yes" or	Form 990, Part	IV, line 34, beca	use it ha	ad
(a) Name, address, and EIN of related organization	(b) Primary activity		(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	Section 5 contro enti	12(b)(13) olled ty?
							Yes	No
(1) American Academy of Neurology Institute (41-0726167) 201 Chicago Avenue, Minneapolis, MN 55415	Promote a administer		MN	501 (C)(3)	Line 7 American Academy of		·	
(2)	-							
(3)	-							
(4)	-							
(5)	-							
(6)	-							
(7)	-							

Name, address, and EIN (if applicable) of disregarded entity

Part III Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512—514)	(f) Share of total income	(g)	(h) Disproportionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(1)												
(2)												
(3)												
(4)												
(5)												
(6)												
(7)												

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	Section 5 contr enti	) i12(b)(13) rolled ity?
								Yes	No
(1)									
(2)									
(3)									
(4)									
(5)									
(6)									
(7)									

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Not	complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.	Ye	s No
1	During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II–IV?		
а	Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	а	V
b	Gift, grant, or capital contribution to related organization(s)	b	V
С		С	V
d	Loans or loan guarantees to or for related organization(s)	d v	•
е	Loans or loan guarantees by related organization(s)	е	V
f	Dividends from related organization(s)	f	V
g	Sale of assets to related organization(s)	g	V
h	Purchase of assets from related organization(s)	h	V
i	Exchange of assets with related organization(s)	i	V
j	Lease of facilities, equipment, or other assets to related organization(s)	j	V
-			
k	Lease of facilities, equipment, or other assets from related organization(s)	k v	/
- 1		ı	V
m		m	V
n		n	V
0		0 1	,
р	Reimbursement paid to related organization(s) for expenses	р	V
q		q v	,
•			
r	Other transfer of cash or property to related organization(s)	r	V
s		s	V
2	If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction		olds.
	(a) (b) (c) (d)		
	Name of related organization Transaction Amount involved Method of determining an	nount in	volved
	type (a-s)		
Se	e Schedule R, Part VII, Statement 1		
(1)			
. ,			
(2)			
(3)			
(4)			
(5)			
(6)			

## Part VI Unrelated Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

	(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	income (related, unrelated, excluded from tax under	Are all sec 501 organia	e) partners ction (c)(3) zations?	<b>(f)</b> Share of total income	(g) Share of end-of-year assets	Disprop	h) ortionate ations?	(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	Gene mana parti	ral or aging	(k) Percentage ownership
				Sections 512—514)	Yes	No			Yes	No		Yes	No	
(1)														
(2)														
(3)														
(4)														
(5)														
(6)														
(7)														
(8)														
(9)														
(10)														
(11)														
(12)														
(13)														
(14)														
(15)														
(16)														

Page <b>5</b>						
Part VII	Supplemental Information Provide additional information for responses to questions on Schedule R. See instructions.					

#### AMERICAN ACADEMY OF NEUROLOGY

Form: **Schedule R (2020)** EIN: **61-1532062** 

Page: 3 Part V, Line 2

	Description of Covered Relationships and Transaction Thresholds	,
		Amt. involved
Name	American Academy of Neurology Institute	8,625,000
Transaction type	d	
Method of determining amt. involved	Loan guaranteed (new facility), AAN is required to co-sign (amount shown is balance as of December 31,2020)	
Name	American Academy of Neurology Institute	1,663,454
Transaction type	k	
Method of determining amt. involved	Lease related to leased facilities/equipment - FMV via independent determination as	
	set out in resource allocation agreement.	
Name	American Academy of Neurology Institute	8,075,834
Transaction type	0	
Method of determining amt. involved	Sharing of employees. Amount is based on the fair market value.	
Name	American Academy of Neurology Institute	2,842,963
Transaction type	q	
Method of determining amt. involved	Finance, HR, IT, Marketing FMV recovered per resource allocation agreement	

## Form **8453-E0**

## **Exempt Organization Declaration and Signature for Electronic Filing**

OMB	No.	1545-0047
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For calendar year 2020, or tax year beginning 01/01 , 2020, and ending 12/31 , 20 20

Department of the Treasury Internal Revenue Service

Name of exempt organization or person subject to tax

For use with Forms 990, 990-EZ, 990-PF, 990-T, 1120-POL, 4720, and 8868 ▶ Go to www.irs.gov/Form8453EO for the latest information.

Taxpayer identification number

AMERICAN ACADEMY OF NEUROLOGY								61-1532062		
Part I Type of Return and Return Information (Whole Dollars Only)										
Check the box for the type of return being filed with Form 8453-EO and enter the applicable amount, if any, from the return. If you check the box on line <b>1a, 2a, 3a, 4a, 5a, 6a,</b> or <b>7a</b> below, and the amount on that line of the return being filed with this form was blank, then leave line <b>1b, 2b, 3b, 4b, 5b, 6b,</b> or <b>7b,</b> whichever is applicable, blank (do not enter -0-). If you entered -0- on the return, then enter -0- on the applicable line below. <b>Do not</b> complete more than one line in Part I.										
2a Fe 3a Fe 4a Fe 5a Fe	Form 990 check here ► □ b Total revenue, if any (Form 990, Part VIII Form 990-EZ check here ► □ b Total revenue, if any (Form 990-EZ, line 9 Total tax (Form 1120-POL, line 22) Form 990-PF check here ► □ b Tax based on investment income (Form 8868 check here ► □ b Balance due (Form 8868, line 3c) Form 990-T check here ► □ b Total tax (Form 990-T, Part III, line 4) .						 /I, line	2b		
7a F	orm 47	20 check here ► □ b Total	tax (Form 47	20, Part III, lin	e1)			7b		
Part I	I D	eclaration of Officer or Person								
8 ⊠ ∑	withdrawal (direct debit) entry to the financial institution account indicated in the tax preparation software for payment of the federal taxes owed on this return, and the financial institution to debit the entry to this account. To revoke a payment, I must contact the U.S. Treasury Financial Agent at 1-888-353-4537 no later than 2 business days prior to the payment (settlement) date. I also authorize the financial institutions involved in the processing of the electronic payment of taxes to receive confidential information necessary to answer inquiries and resolve issues related to the payment.									
respect to (name of organization) AMERICAN ACADEMY OF NEUROLOGY , (EIN) 61-1532062, and that I have examined a copy of the 2020 electronic return and accompanying schedules and statements, and, to the best of my knowledge and belief, they are true, correct, and complete. I further declare that the amount in Part I above is the amount shown on the copy of the electronic return. I consent to allow my intermediate service provider, transmitter, or electronic return originator (ERO) to send the return to the IRS and to receive from the IRS (a) an acknowledgement of receipt or reason for rejection of the transmission, (b) the reason for any delay in processing the return or refund, and (c) the date of any refund.  Sign  Kevin Myren, Chief Financial Officer Title, if applicable										
Part I	II D	eclaration of Electronic Return	Originator	(ERO) and I	Paid Prepare	er (see ins	struc	ctions)		
I declare that I have reviewed the above return and that the entries on Form 8453-EO are complete and correct to the best of my knowledge. If I am only a collector, I am not responsible for reviewing the return and only declare that this form accurately reflects the data on the return. The organization officer or person subject to tax will have signed this form before I submit the return. I will give a copy of all forms and information to be filed with the IRS to the officer or person subject to tax, and have followed all other requirements in Pub. 4163, Modernized e-File (MeF) Information for Authorized IRS e-file Providers for Business Returns. If I am also the Paid Preparer, under penalties of perjury I declare that I have examined the above return and accompanying schedules and statements, and, to the best of my knowledge and belief, they are true, correct, and complete. This Paid Preparer declaration is based on all information of which I have any knowledge.										
ERO's		,	Date		also paid s	Check if self-	ERC	O's SSN or PTIN		
Use							EIN			
Only	address	ss, and ZIP code Phon								
Under penalties of perjury, I declare that I have examined the above return and accompanying schedules and statements, and, to the best of my knowledge and belief, they are true, correct, and complete. Declaration of preparer is based on all information of which the preparer has any knowledge.										
Paid Prepa	arer	Print/Type preparer's name	Preparer's signature  Date  Check if self-employed							
Use (		Firm's name ▶ F					Firm's EIN ▶			
		Firm's address ▶					Phone no.			